## CHAPTER 3

## Trusses

### 3.1 Kinematic model of a rod.



Figure 3.1: A rod with its local frame.

We are going to develop structural elements starting from the simplest one. The kinematic behavior of each element will be defined first using its local or natural frame $\mathbf{X}=\left\{X_{1}, X_{2}, X_{3}\right\}$ as $\mathbf{U}=\left\{U_{1}, U_{2}, U_{3}\right\}$ with

$$
\begin{aligned}
& U_{1}=U_{1}\left(X_{1}, Y_{1}, Z_{1}\right), \\
& U_{2}=U_{2}\left(X_{1}, Y_{1}, Z_{1}\right), \\
& U_{3}=U_{3}\left(X_{1}, Y_{1}, Z_{1}\right) .
\end{aligned}
$$

The rod is the simplest structural element (see Figure 3.1): we use $X_{1}$ for its principal axis. Rods only accomodate axis loads (traction or compression). A rod can
only have displacements along its principal axis:

$$
\begin{aligned}
& U_{1}=U_{1}\left(X_{1}\right) \\
& U_{2}=0 \\
& U_{3}=0
\end{aligned}
$$

Deformations can be written as

$$
\boldsymbol{\epsilon}=\left\{\begin{array}{c}
\frac{\mathrm{d} U_{1}}{\mathrm{~d} X_{1}} \\
0 \\
0 \\
0 \\
0 \\
0
\end{array}\right)
$$

The energy of deformation of the bar is written as

$$
\begin{equation*}
U=\frac{1}{2} \int_{\Omega} E\left(\frac{\mathrm{~d} U_{1}}{\mathrm{~d} X_{1}}\right)^{2} d \mathbf{X} \tag{3.1}
\end{equation*}
$$

Assuming that the bar has a constant section $A=\int d X_{2} d X_{3}$ and that Young modulus does not depend on $\mathbf{X}$, formula (3.1) simplifies to

$$
\begin{equation*}
U=\frac{E A}{2} \int_{0}^{L}\left(\frac{\mathrm{~d} U_{1}}{\mathrm{~d} X_{1}}\right)^{2} d X_{1}=\frac{E A}{2} \int_{0}^{L}\left(U_{1}^{\prime}\right)^{2} d X_{1} \tag{3.2}
\end{equation*}
$$

Let us now use results of $\$ 2$ to establish solutions for simple configurations. Assume first that our rod is fixed at its origin $X_{1}=0$ and that a force $F[N]$ is applied to it at its end $X_{1}=L$. We have

$$
\pi=\frac{E A}{2} \int_{0}^{L}\left(U_{1}^{\prime}\right)^{2} d X_{1}-F U_{1}(L)
$$

We have

$$
\delta \pi=E A \int_{0}^{L} U_{1}^{\prime} \delta U_{1}^{\prime} d X_{1}-F \delta U_{1}(L)=0 \quad \forall \delta U_{1}
$$

Integration by parts gives

$$
-E A \int_{0}^{L} U_{1}^{\prime \prime} \delta U_{1} d X_{1}+\left.E A U_{1}^{\prime} \delta U_{1}\right|_{0} ^{L}-F \delta U_{1}(L)=0 \quad \forall \delta U_{1} .
$$

Regrouping terms and taking into account that $\delta U_{1}(0)=0$, we have

$$
E A \int_{0}^{L} U_{1}^{\prime \prime} \delta U_{1} d X_{1}+\left(F-E A U_{1}^{\prime}\right) \delta U_{1}(L)=0 \forall \delta U_{1}
$$

First, we choose a family of $\delta U_{1}$ that are zero at both ends. This means that

$$
\int_{0}^{L} U_{1}^{\prime \prime} \delta U_{1} d X_{1}=0 \forall \delta U_{1}
$$

The fundamental lemma of the calculus of variation ensure us that

$$
U_{1}^{\prime \prime}=0 \quad \rightarrow \quad U_{1}=\alpha+\beta X_{1}
$$

with $\alpha$ and $\beta$ two constants. We have first to choose $\alpha=0$ because $U_{1}$ is kinematically admissible so that $U_{1}(0)=0$.

Now, let us consider the other variations that are non zero on $X_{1}=L$. We have $E A U_{1}^{\prime}=F$ for $X_{1}=L$. This means that $E A \beta=F$. The solution is then

$$
U_{1}=\frac{F}{E A} X_{1}
$$

Let's now assume that the rod is submitted to its own weight. Assume that the gravity acts on the positive $X_{1}$ direction and that the rod is made of a material of density $\rho$. Gravity is a volume force of amplitude $\rho g$. We have

$$
\pi\left(U_{1}\right)=\frac{E A}{2} \int_{0}^{L}\left(U_{1}^{\prime}\right)^{2} d X_{1}-A \int_{0}^{L} \rho g U_{1} d X_{1}
$$

We then find

$$
\delta \pi=A\left(\int_{0}^{L}\left[E U_{1}^{\prime} \delta U_{1}^{\prime}-\rho g \delta U_{1}\right] d X_{1}\right)=0 \forall \delta U_{1}
$$

Integration by parts give

$$
\int_{0}^{L}\left(-E U_{1}^{\prime \prime}-\rho g\right) \delta U_{1} d X_{1}+E U_{1}^{\prime} \delta U_{1}(L)=0 \quad \forall \delta U_{1}
$$

We finally get

$$
U_{1}\left(X_{1}\right)=-\frac{\rho g}{2 E} X_{1}^{2}+\alpha X_{1}+\beta
$$

Condition $U_{1}(0)=0$ leads to $\beta=0$. Condition $U_{1}^{\prime}(L)=0$ leads to

$$
-\frac{\rho g L}{E}+\alpha=0
$$

Finally, we get

$$
\begin{equation*}
U_{1}\left(X_{1}\right)=\frac{\rho g}{2 E}\left(2 L X_{1}-X_{1}^{2}\right) \tag{3.3}
\end{equation*}
$$

It is indeed possible to compute the normal effort in every section $X_{1}$ of the rod as

$$
N=A \sigma_{11}=E A \epsilon_{11}=E A \frac{\mathrm{~d} U_{1}}{\mathrm{~d} X_{1}}=\rho g A\left(L-X_{1}\right)
$$

### 3.2 The Ritz method

The Ritz method is a direct method to find an approximate solution for boundary value problems. Let's come back to the problem of a rod submitted to its own weight.

Exact solution of the problem is given in (3.3). Assume that we look for an approximate solution of the following form

$$
\begin{equation*}
U_{1}^{r}\left(X_{1}\right)=\alpha+\beta X_{1} . \tag{3.4}
\end{equation*}
$$

We'd like to use the principle of total potential energy. For that, we need $U_{1}^{r}$ to be compatible: constant $\alpha$ has then to be equal to zero for having $U_{1}^{r}(0)=0$. This last form $U_{1}^{r}=\beta X_{1}$ is of course an approximation of the exact solution. Taking into account the approximation, the total potential energy of the system can be written as

$$
\pi\left(U_{1}^{r}\right)=\frac{E A}{2} \int_{0}^{L} \beta^{2} d X_{1}-A \int_{0}^{L} \rho g \beta X_{1} d X_{1}=\frac{E A L \beta^{2}}{2}+\rho g A \beta \frac{L^{2}}{2}
$$

In this case, $\pi$ is a function of one parameter $\beta$ : it is not a functional anymore. Its minimum can be computed by zeroing the derivative of $\pi$ with respect to $\beta$. After simple calculations, we find

$$
\frac{\mathrm{d} \pi}{\mathrm{~d} \beta}=0 \rightarrow \beta=\frac{\rho g L}{2 E}
$$

and

$$
\begin{equation*}
U_{1}^{r}\left(X_{1}\right)=\frac{\rho g L}{2 E} X_{1} . \tag{3.5}
\end{equation*}
$$

Now let's look at the difference between the exact solution (3.3) and its linear approximation (3.5). It is interresting to see that (3.5) is exact at both end of the rod. This fact is not a stroke of luck.

Let us come back to the problem of elasticity. Assume $\mathbf{u}^{r}$ to be an approximate solution that has been found by the method of Ritz among a family of parametrized solutions $\mathbf{u}^{*} \in \mathscr{U}^{*} \subset \mathscr{U}$. We have

$$
\pi\left(\mathbf{u}^{r}\right) \leq \pi\left(\mathbf{u}^{*}\right), \quad \forall \mathbf{u}^{*}
$$

or, if we assume no volume forces,

$$
\frac{1}{2} \int_{\Omega} \boldsymbol{\epsilon}\left(\mathbf{u}^{r}\right): \mathbf{C}: \epsilon\left(\mathbf{u}^{r}\right) d v-\int_{\Gamma_{N}} \mathbf{F} \cdot \mathbf{u}^{r} d s-\frac{1}{2} \int_{\Omega} \boldsymbol{\epsilon}\left(\mathbf{u}^{*}\right): \mathbf{C}: \boldsymbol{\epsilon}\left(\mathbf{u}^{*}\right) d v+\int_{\Gamma_{N}} \mathbf{F} \cdot \mathbf{u}^{*} d s \leq 0 .
$$

This can be re-written as

$$
\begin{equation*}
\frac{1}{2} \int_{\Omega} \epsilon\left(\mathbf{u}^{r}\right): \mathbf{C}: \epsilon\left(\mathbf{u}^{r}\right) d v-\frac{1}{2} \int_{\Omega} \boldsymbol{\epsilon}\left(\mathbf{u}^{*}\right): \mathbf{C}: \epsilon\left(\mathbf{u}^{*}\right) d v-\int_{\Gamma_{N}} \mathbf{F} \cdot\left(\mathbf{u}^{r}-\mathbf{u}^{*}\right) d s \leq 0 \tag{3.6}
\end{equation*}
$$

Assume that $\mathbf{u} \in \mathscr{U}$ is the exact solution: it verifies the principle of virtual work

$$
\int_{\Omega} \boldsymbol{\epsilon}(\mathbf{u}): \mathbf{C}: \boldsymbol{\epsilon}(\delta \mathbf{u}) d v-\int_{\Gamma_{N}} \mathbf{F} \cdot(\delta \mathbf{u}) d s=0 \quad \forall \delta \mathbf{u} \in \mathscr{U}_{0}
$$

It should be clear at that point that $\delta \mathbf{u}=\mathbf{u}^{r}-\mathbf{u}^{*} \in \mathscr{U}_{0}$ in (3.6) is a variation. Then,

$$
\int_{\Gamma_{N}} \mathbf{F} \cdot\left(\mathbf{u}^{r}-\mathbf{u}^{*}\right) d s=\int_{\Omega} \epsilon(\mathbf{u}): \mathbf{C}: \epsilon\left(\mathbf{u}^{r}-\mathbf{u}^{*}\right) d v .
$$

We have then

$$
\begin{equation*}
\frac{1}{2} \int_{\Omega} \epsilon\left(\mathbf{u}^{r}\right): \mathbf{C}: \epsilon\left(\mathbf{u}^{r}\right) d v-\frac{1}{2} \int_{\Omega} \epsilon\left(\mathbf{u}^{*}\right): \mathbf{C}: \epsilon\left(\mathbf{u}^{*}\right) d v-\int_{\Omega} \epsilon(\mathbf{u}): \mathbf{C}: \epsilon\left(\mathbf{u}^{r}-\mathbf{u}^{*}\right) d v \leq 0 \tag{3.7}
\end{equation*}
$$

Strains $\varepsilon$ are linear operators. Equation (3.7) can be re-written as

$$
\begin{equation*}
\underbrace{\frac{1}{2} \int_{\Omega} \boldsymbol{\epsilon}\left(\mathbf{u}^{r}-\mathbf{u}\right): \mathbf{C}: \boldsymbol{\epsilon}\left(\mathbf{u}^{r}-\mathbf{u}\right) d v}_{U\left(\mathbf{u}^{r}-\mathbf{u}\right)}-\underbrace{\frac{1}{2} \int_{\Omega} \boldsymbol{\epsilon}\left(\mathbf{u}^{*}-\mathbf{u}\right): \mathbf{C}: \boldsymbol{\epsilon}\left(\mathbf{u}^{*}-\mathbf{u}\right) d \nu}_{U\left(\mathbf{u}^{*}-\mathbf{u}\right)} \leq 0 \tag{3.8}
\end{equation*}
$$

Equation (3.7) has a very interresting and useful interpretation. It says

$$
U\left(\mathbf{u}^{r}-\mathbf{u}\right) \leq U\left(\mathbf{u}^{*}-\mathbf{u}\right)
$$

which means that the difference in term of energy between the exact solution $\mathbf{u}$ and its Ritz approximation $\mathbf{u}^{r}$ is smaller than the difference between $\mathbf{u}$ and any other approximation $\mathbf{u}^{*}$. This means that the solution obtained by Ritz method is the best in terms of energy. It is an orthogonal projection of the exact solution onto the space of approximation where distances are measured in terms of energy (see Figure 3.2). It actually also means that, whenever the space of approximation contains the exact solution, Ritz method will find it.


Figure 3.2: Illustration of the orthogonality property (3.8).

### 3.3 Elastic energy of a truss structure

Equation (3.2) allows to compute the elastic energy of one single rod. In (3.4), we have then assumed that the axial displacement $U_{1}\left(X_{1}\right)$ of the rod was linear. This is indeed a very common assumption: if the rod is loaded on its two ends, this is indeed the exact solution. When volume forces are involved such as gravity, then this is an approximate solution. The form (3.4) is not the most convenient: coefficients
$\alpha$ and $\beta$ do not have a clear significations. One more interresting way of expressing the displacement along the rod is to use

$$
\begin{equation*}
U_{1}\left(X_{1}\right)=U_{1}^{1}\left(1-\frac{X_{1}}{L}\right)+U_{1}^{2} \frac{X_{1}}{L} \tag{3.9}
\end{equation*}
$$

Here, coefficients $U_{1}^{1}$ and $U_{1}^{2}$ have a clear meaning. For $X_{1}=0$, we get $U_{1}(0)=U_{1}^{1}$ which means that $U_{1}^{1}$ is the displacement of the left end of the rod. Similarly, for $X_{1}=$ $L$, we get $U_{1}(L)=U_{1}^{2}$ which means that $U_{1}^{2}$ is the displacement of the right end of the rod. In the finite element method, the unknowns are usually the displacements of the nodes of the structure. This allows in fact to compute the elastic energy of a whole truss structure.

Consider (3.9). The elastic energy (3.2) of this rod can be expressed as

$$
U=\frac{E A}{2} \int_{0}^{L}\left(U_{1}^{\prime}\right)^{2} d X_{1}=\frac{E A}{2 L}\left(U_{1}^{2}-U_{1}^{1}\right)^{2}=\frac{1}{2}\binom{U_{1}^{1}}{U_{1}^{2}}^{T}\left[\begin{array}{rr}
\frac{E A}{L} & -\frac{E A}{L} \\
-\frac{E A}{L} & \frac{E A}{L}
\end{array}\right]\binom{U_{1}^{1}}{U_{1}^{2}}
$$

Writing the elastic energy in matrix form will be very useful in what follows.
A truss consists of straight rods connected at joints or nodes. In a truss, loads are applied to nodes only. A planar truss is a truss for which all members are in a given plane and where all the loads are applied in the same plane. We consider planar trusses first.

The kinematic behavior of a planar truss can be described by its nodal displacements. Assume a truss with $N$ nodes and $B$ bars (or rods). Node $i$ has coordinates $\mathbf{x}^{i}=\left\{x_{1}^{i}, x_{2}^{i}\right\}$ and its displacement is noted $\mathbf{u}^{i}=\left\{u_{1}^{i}, u_{2}^{i}\right\}$. Note here that positions and displacements of the nodes are given using the same system of coordinates (we use lower case letters for coordinates in the common euclidian frame). Bar $j$ connects node $b_{1}^{j}$ and $b_{2}^{j}$. It has a length $L^{j}$, a section $A^{j}$ and it is made of a material of Young modulus $E^{j}$ We want now to compute the elastic energy $U^{j}$ of bar $j$ as a function of global displacements $\mathbf{u}^{b_{1}^{j}}$ and $\mathbf{u}^{b_{2}^{j}}$. Assume a bar that has its local axis $X_{1}$ inclined with an angle $\theta$ with the global $x_{1}$ axis (see Figure 3.3). Any vector has two sets of coordinates, one expressed in the global frame $\mathbf{v}=\left\{\nu_{1}, \nu_{2}\right\}$ and one in the local frame $\mathbf{V}=\left\{V_{1}, V_{2}\right\}$. We have

$$
\mathbf{V}=\binom{V_{1}}{V_{2}}=\left[\begin{array}{rr}
\cos \theta & \sin \theta \\
-\sin \theta & \cos \theta
\end{array}\right]\binom{v_{1}}{v_{2}}=[\mathbf{T}] \mathbf{v} .
$$

and

$$
\mathbf{v}=\binom{\nu_{1}}{v_{2}}=\left[\begin{array}{rr}
\cos \theta & -\sin \theta \\
\sin \theta & \cos \theta
\end{array}\right]\binom{V_{1}}{V_{2}}=[\mathbf{T}]^{T} \mathbf{V} .
$$

The elastic energy of rod $j$ can be expressed as

$$
U^{j}=\frac{1}{2}\left(\begin{array}{c}
U_{1}^{b_{1}^{j}} \\
U_{2}^{b_{1}^{j}} \\
U_{1}^{b_{2}^{j}} \\
U_{2}^{b_{2}^{j}}
\end{array}\right)^{T}\left[\begin{array}{cccc}
\frac{E^{j} A^{j}}{L^{j}} & 0 & -\frac{E^{j} A^{j}}{L^{j}} & 0 \\
0 & 0 & 0 & 0 \\
-\frac{E^{j} A^{j}}{L^{j}} & 0 & \frac{E^{j} A^{j}}{L^{j}} & 0 \\
0 & 0 & 0 & 0
\end{array}\right]\left(\begin{array}{c}
U_{1}^{b_{1}^{j}} \\
U_{2}^{b_{1}^{j}} \\
U_{1}^{b_{2}^{j}} \\
U_{2}^{b_{2}^{j}}
\end{array}\right)=\frac{1}{2}\left(\mathbf{U}^{j}\right)^{T}\left[\mathbf{K}^{j}\right]\left(\mathbf{U}^{j}\right)
$$



Figure 3.3: Local and global frames
where $\left[\mathbf{K}^{j}\right]$ is the local stiffness matrix of bar $j$ and $\left(\mathbf{U}^{j}\right)$ its local displacement vector. The zeros in the local stifness matrix indicate that no energy is stored for any displacement along the local $X_{2}$ axis. We use then the transformation formula $\left(\mathbf{U}^{j}\right)=$ $\left[\mathbf{T}^{j}\right]\left(\mathbf{u}^{j}\right)$ to express the energy as a function of global displacements ( $\mathbf{u}^{j}$ )

$$
U^{j}=\frac{1}{2}\left(\mathbf{U}^{j}\right)^{T}\left[\mathbf{K}^{j}\right]\left(\mathbf{U}^{j}\right)=\frac{1}{2}\left(\mathbf{u}^{j}\right)^{T}\left[\mathbf{T}^{j}\right]^{T}\left[\mathbf{K}^{j}\right]\left[\mathbf{T}^{j}\right]\left(\mathbf{u}^{j}\right)=\frac{1}{2}\left(\mathbf{u}^{j}\right)^{T}\left[\mathbf{k}^{j}\right]\left(\mathbf{u}^{j}\right) .
$$

Here,

$$
\begin{equation*}
\left[\mathbf{k}^{j}\right]=\left[\mathbf{T}^{j}\right]^{T}\left[\mathbf{K}^{j}\right]\left[\mathbf{T}^{j}\right] \tag{3.10}
\end{equation*}
$$

is the global stifness matrix of bar $j$. Energy is an extensive quantity and the energy of a given truss is computed as

$$
U=\sum_{j=1}^{B} U^{j} .
$$

### 3.4 Global equilibrium of a truss

### 3.4.1 Stiffness Matrix

Let's now define the global displacement vector

as a vector of size $2 N$ that contains all nodal displacements of the truss. Node $i$ of the truss correspond to entries $2 i-1$ and $2 i$, entry $2 i-1$ corresponding to the displacement along $x_{1}$ and entry $2 i$ corresponding to the displacement along $x_{2}$.

Our aim now is to express the elastic energy of the truss as the quadratic form

$$
U=\frac{1}{2}(\mathbf{u})^{T}[\mathbf{k}](\mathbf{u})
$$

where $[\mathbf{k}]$ is the global stifness matrix of the truss of size $2 N \times 2 N$. The energy of bar


Figure 3.4: A truss with loads and fixations.
$j$ can also be written as the quadratic form

$$
U^{j}=\frac{1}{2}(\mathbf{u})^{T}\left[\mathbf{k}_{e}^{j}\right](\mathbf{u})
$$

with $\left[\mathbf{k}_{e}^{j}\right]$ the extended stiffness matrix i.e. a $2 N \times 2 N$ matrix that is essentially filled up with zeros and where the only 16 non zero entries are the ones of $\left[\mathbf{k}^{j}\right]$. The indices of the 4 degrees of freedom of bar $j$ are

$$
\iota=\left\{\iota_{1}, \iota_{2}, \iota_{3}, \iota_{4}\right\}=\left\{2 b_{1}^{j}-1,2 b_{1}^{j}, 2 b_{2}^{j}-1,2 b_{2}^{j}\right\}
$$

and the 16 non zero entries are

$$
\left[\mathbf{k}_{e}^{j}\right]_{l_{i, l_{j}}}=\left[\mathbf{k}^{j}\right]_{i, j}, \quad i, j=1, \ldots, 4
$$

The elastic energy of a truss is therefore

$$
U=\sum_{j=1}^{B} U^{j}=\frac{1}{2} \sum_{j=1}^{B}(\mathbf{u})^{T}\left[\mathbf{k}_{e}^{j}\right](\mathbf{u})=\frac{1}{2}(\mathbf{u})^{T}\left[\sum_{j=1}^{B}\left[\mathbf{k}_{e}^{j}\right]\right](\mathbf{u})=\frac{1}{2}(\mathbf{u})^{T}[\mathbf{k}](\mathbf{u})
$$

with $[\mathbf{k}]=\sum_{j=1}^{B}\left[\mathbf{k}_{e}^{j}\right]$ i.e. the global stiffness matrix $[\mathbf{k}]$ is simply "assembled" as the sum of extended stiffness matrices $\left[\mathbf{k}_{e}^{j}\right]$.

### 3.4.2 Force Vector

A truss is loaded at its vertices. If $\mathbf{f}^{i}=\left\{f_{1}^{i}, f_{2}^{i}\right\}$ (see Figure 3.4) is the external load at vertex $i$, we define the global force vector as

$$
(\mathbf{f})=\left(\begin{array}{c}
f_{1}^{1} \\
f_{2}^{1} \\
f_{2}^{1} \\
f_{2}^{2} \\
\vdots \\
f_{1}^{N} \\
f_{2}^{N}
\end{array}\right)
$$

The work of external loads can the be expressed as

$$
W_{\text {ext }}=(\mathbf{u})^{T}(\mathbf{f})
$$

and the total potential energy of the truss is

$$
\begin{equation*}
\pi=\frac{1}{2}(\mathbf{u})^{T}[\mathbf{k}](\mathbf{u})-(\mathbf{u})^{T}(\mathbf{f}) . \tag{3.11}
\end{equation*}
$$

### 3.4.3 Supports

The natural thing to do now could be to minimize $\pi$ with respect to ( $\mathbf{u}$ ). Yet, the princple of the minimum of total potential energy only applies to displacements that are admissible i.e. that verify a priori the essential boundary conditions i.e. the displacements that are compatible with the supports. On the truss of Figure 3.4, displacement of node $j$ along $x_{2}$ should be equal to zero: $(\mathbf{u})_{2 j}=0$. Similarly, the displacement vector of node $k$ should be equal to zero: $(\mathbf{u})_{2 k-1}=(\mathbf{u})_{2 k}=0$.

## Lagrange multipliers

The most elegant way of dealing with supports is to use constrained optimization. Assume that the supports of the truss consist in $M$ linear constraints

$$
\begin{equation*}
[\mathbf{c}](\mathbf{u})=(\overline{\mathbf{u}}) \tag{3.12}
\end{equation*}
$$

where [ $\mathbf{c}$ ] is a $M \times 2 N$ matrix of full rank and where $(\overline{\mathbf{u}})$ is the right hand side i.e. a vector of size $M$.

In mathematical optimization, the method of Lagrange multipliers is a strategy for finding the local maxima and minima of a function subject to equality constraints.

For instance, consider the optimization problem maximize $f(x, y)$ subject to $g(x, y)=0$. We introduce a new variable $\lambda$ called a Lagrange multiplier and study the Lagrangian defined by

$$
\mathscr{L}(x, y, \lambda)=f(x, y)+\lambda g(x, y)
$$

We then solve

$$
\nabla_{x, y, \lambda} \mathscr{L}(x, y, \lambda)=0
$$

This is the method of Lagrange multipliers. Note that $\nabla_{\lambda} \mathscr{L}(x, y, \lambda)=0$ of course implies $g(x, y)=0$.

We apply the method of Lagrange multipliers to our truss problem. Let us define $(\boldsymbol{\lambda})$ to be a $M$-vector that contains the Lagrange multipliers. We define the Lagrangian

$$
\begin{equation*}
\mathscr{L}((\mathbf{u}),(\boldsymbol{\lambda}))=\frac{1}{2}(\mathbf{u})^{T}[\mathbf{k}](\mathbf{u})-(\mathbf{u})^{T}(\mathbf{f})+[[\mathbf{c}](\mathbf{u})-(\overline{\mathbf{u}})]^{T}(\boldsymbol{\lambda}) . \tag{3.13}
\end{equation*}
$$

We then look for a stationary point of $\mathscr{L}$ :

$$
\begin{gathered}
\frac{\partial \mathscr{L}}{\partial(\mathbf{u})}=(\mathbf{0}) \quad \rightarrow \quad[\mathbf{k}](\mathbf{u})-(\mathbf{f})+[\mathbf{c}]^{T}(\boldsymbol{\lambda})=(\mathbf{0}) \\
\frac{\partial \mathscr{L}}{\partial(\boldsymbol{\lambda})}=(\mathbf{0}) \quad \rightarrow \quad[\mathbf{c}](\mathbf{u})-(\overline{\mathbf{u}})=(\mathbf{0})
\end{gathered}
$$

The following system of $2 N+M$ equations allows to find $(\mathbf{u})$ and $(\boldsymbol{\lambda})$ in one linear system solve:

$$
\left[\begin{array}{ll}
{[\mathbf{k}]} & {[\mathbf{c}]^{T}}  \tag{3.14}\\
{[\mathbf{c}]} & {[\mathbf{0}]}
\end{array}\right]\binom{(\mathbf{u})}{(\boldsymbol{\lambda})}=\binom{(\mathbf{f})}{(\overline{\mathbf{u}})} .
$$

Lagrange multipliers usually have an interresting interpretation. Here $[\mathbf{c}]^{T}(\boldsymbol{\lambda})$ are reaction forces that are associated to the constraints. In the simplest case of the simply supported node $j$ of Figure 3.4, the Lagrange multiplier relative to constraint $u_{2 j}=0$ is the vertical reaction force at node $j$. The formulation (3.14) has the great advantage to provide both displacements and reaction forces!

### 3.5 Example

Finite elements are not meant to be solved on paper: they should be programmed and solved on a computer. Nevertheless, let's solve one simple truss unsing finite elements and Lagrange multipliers in order to illustrate the method.

It is indeed easy to compute analytically the stiffness matrix (3.10) of bar $j$ with its characteristics $A^{j}, E^{j}$ and $L^{j}$ :

$$
\begin{aligned}
& {\left[\mathbf{k}^{j}\right]=\left[\mathbf{T}^{j}\right]^{T}\left[\mathbf{K}^{j}\right]\left[\mathbf{T}^{j}\right]=} \\
& \cos ^{2}(\theta) \\
& \frac{E^{j} A^{j}}{L^{j}}\left[\begin{array}{rrrr}
\sin (\theta) \cos (\theta) & -\cos ^{2}(\theta) & -\sin (\theta) \cos (\theta) \\
\sin (\theta) \cos ^{2}(\theta) & \sin ^{2}(\theta) & -\sin (\theta) \cos (\theta) & -\sin ^{2}(\theta) \\
-\cos ^{2}(\theta) & -\sin (\theta) \cos (\theta) & \cos ^{2}(\theta) & \sin (\theta) \cos (\theta) \\
-\sin (\theta) \cos (\theta) & -\sin ^{2}(\theta) & \sin (\theta) \cos (\theta) & \sin ^{2}(\theta)
\end{array}\right] .
\end{aligned}
$$

Consider the truss depicted on Figure 3.5. Assume $A$ and $E$ constant for both bars. The truss has 2 bars and 3 nodes. The stiffness matrix of bar $1\left(b_{1}^{1}=2, b_{2}^{1}=3, \theta=\right.$


Figure 3.5: A simple truss.
$-\pi / 4)$ is

$$
\left[\mathbf{k}^{1}\right]=\frac{E A}{2 \sqrt{2} L}\left[\begin{array}{rrrr}
1 & -1 & -1 & 1 \\
-1 & 1 & 1 & -1 \\
-1 & 1 & 1 & -1 \\
1 & -1 & -1 & 1
\end{array}\right]
$$

The stiffness matrix of bar $2\left(b_{1}^{2}=1, b_{2}^{2}=3, \theta=0\right)$ is

$$
\left[\mathbf{k}^{2}\right]=\frac{E A}{L}\left[\begin{array}{rrrr}
1 & 0 & -1 & 0 \\
0 & 0 & 0 & 0 \\
-1 & 0 & 1 & 0 \\
0 & 0 & 0 & 0
\end{array}\right]
$$

The stiffness matrix of the truss is assembled as

$$
[\mathbf{k}]=\underbrace{\frac{E A}{L}\left[\begin{array}{rrrrrr}
1 & 0 & 0 & 0 & -1 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 \\
-1 & 0 & 0 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 0 & 0
\end{array}\right]}_{\left[\mathbf{k}_{e}^{1}\right]}+\underbrace{\frac{E A}{2 \sqrt{2} L}\left[\begin{array}{rrrrrr}
0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & -1 & -1 & 1 \\
0 & 0 & -1 & 1 & 1 & -1 \\
0 & 0 & -1 & 1 & 1 & -1 \\
0 & 0 & 1 & -1 & -1 & 1
\end{array}\right]}_{\left[\mathbf{k}_{e}^{2}\right]} .
$$

We see here that it is mandatory to impose the value of $(\mathbf{u})_{2}$ because this degree of freedom is not associated to any stiffness: all elements of row 2 of $[\mathbf{k}]$ are identically equal to 0 . In fact, at least 3 constraints have to be imposed to a truss in order to avoid global translation ( 2 kinematic modes) and rotation (1 kinematic mode). In
the case of the truss of Figure 3.5, we find

$$
[\mathbf{c}]=\left[\begin{array}{llllll}
1 & 0 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 & 0
\end{array}\right]
$$

and $(\overline{\mathbf{u}})=[\mathbf{0}]$. The force vector is then computed as

$$
(\mathbf{f})^{T}=\left[\begin{array}{llllll}
0 & 0 & 0 & 0 & 0 & -F
\end{array}\right] .
$$

At that point, solving the problem on paper is cumbersome: we have here a system of 10 equations with 10 unknowns!

In the case of simple constraints such as zeroing a displacement, one way to simplify the resolution on paper is to remove lines and columns of the stiffness matrix that correspond to the displacements that are null. We have then:

$$
[\mathbf{k}]=\frac{E A}{2 \sqrt{2} L}\left[\begin{array}{rr}
1+2 \sqrt{2} & -1 \\
-1 & 1
\end{array}\right]
$$

Here, the constraints have been implicitely taken into account and finding the static equilibrium of the truss consist in solving

$$
(\mathbf{u})=[\mathbf{k}]^{-1}(\mathbf{f}) .
$$

We have

$$
[\mathbf{k}]^{-1}=\frac{L}{E A}\left[\begin{array}{rr}
1 & 1 \\
1 & 1+2 \sqrt{2}
\end{array}\right]
$$

and the solution is

$$
u_{1}^{3}=-\frac{F L}{E A} \quad, \quad u_{2}^{3}=-\frac{F L}{E A}(1+2 \sqrt{2})
$$

