

# Fast oriented bounding box optimization on the rotation group $SO(3, \mathbb{R})$

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# Coming up next...

## The problem: minimum-volume OBB

- Exact methods in 2D and 3D

- Classical approaches for the 3D case

- Our goal

## Bringing optimization into the game

## How to solve an optimization problem?

## Results

## Conclusion

# The problem

Given a set of  $n$  points  $\mathcal{X}$  in 3D, find the **minimum-volume arbitrarily oriented** bounding box enclosing  $\mathcal{X}$ .

Collision detection, intersection tests, object representation, data approximation... (BV trees...)

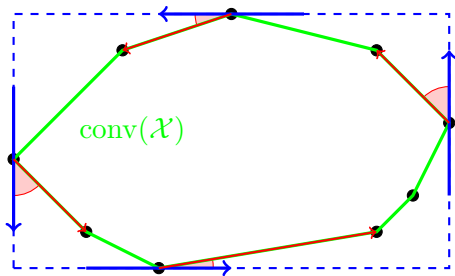
# In 2D: the rotating calipers method

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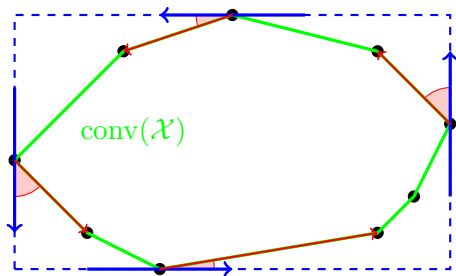
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Loop on all edges:

$\mathcal{O}(n) \rightarrow$  easy and efficient

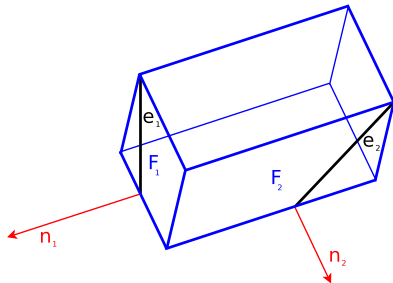


## In 3D: generalization of the rotating calipers?

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# In 3D: generalization of the rotating calipers?

A minimum-volume box circumscribing a convex polyhedron has *at least one face* two adjacent faces flush with a face edges of the polyhedron. [O'Rourke, 1985]



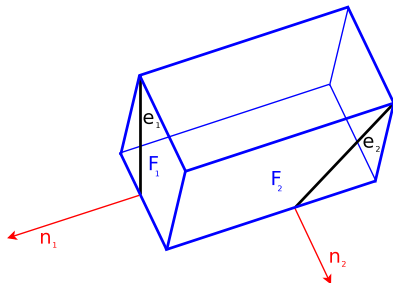


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A minimum-volume box circumscribing a convex polyhedron has *at least ~~one face~~ two adjacent faces flush with a face edges* of the polyhedron.  
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## Problem:

Loop on all pairs of edges and rotate the box while keeping edges flush  $\rightarrow \mathcal{O}(n^3)$  time complexity...



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- ◇ Guaranteed quality approximation methods:  
same problem...

# What do we want?

## Goal:

- ◇ Very good accuracy: find an **optimal** OBB in (nearly?) all cases
- ◇ If a suboptimal solution is returned, it should be **close** to the best one
- ◇ Computational cost has to be **low**

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Our approach: **iterative** algorithm based on **optimization** methods



# Coming up next...

The problem: minimum-volume OBB

## Bringing optimization into the game

- OBB fitting as an optimization problem

- Requirements

- Going hybrid

How to solve an optimization problem?

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over size, position, orientation  
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$$\Delta_\xi \Delta_\eta \Delta_\zeta$$

$$-\frac{1}{2}\Delta \leq \text{all the rotated and centered points} \leq \frac{1}{2}\Delta$$

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$$\begin{array}{ll} \min & \Delta_\xi \Delta_\eta \Delta_\zeta \\ \text{over } \Delta \in \mathbb{R}^3, \Xi \in \mathbb{R}^3, \text{ orientation} & \\ \text{so that} & -\frac{1}{2}\Delta \leq \text{all the rotated points} - \Xi \leq \frac{1}{2}\Delta \end{array}$$

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Smooth but constrained optimization problem

# Unconstrained formulation

$$\min_{R \in SO(3, \mathbb{R})} \left( \underbrace{\begin{array}{c} \min_{\Delta \in \mathbb{R}^3, \Xi \in \mathbb{R}^3} \Delta_\xi \Delta_\eta \Delta_\zeta \\ \text{s.t.} \quad -\frac{1}{2}\Delta \leq R\mathbf{X}_i - \Xi \leq \frac{1}{2}\Delta \quad \forall i = 1, \dots, N \end{array}}_{f(R)} \right)$$

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Unconstrained but non-differentiable optimization problem

# Solving this problem requires...

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- ◇ ... a derivative-free method

*$f(R)$  is not differentiable everywhere...*

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- ◇ ... a derivative-free method
- ◇ ... a **global** search technique

$f(R)$  has **many** local minima...



# Solving this problem requires...

- ◇ ... a derivative-free method
- ◇ ... a global search technique
- ◇ ... a **fast convergence** rate

*That was the point!*

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1. Use a global exploration component:  
genetic algorithm (GA)
  2. Speed up convergence using a local exploitation algorithm  
Nelder-Mead simplex algorithm (NM)
- ◇ GA alone would be very slow to converge (GA more suitable for discrete search spaces)
  - ◇ NM alone would be stuck in local minima (even with restarts)

# Coming up next...

The problem: minimum-volume OBB

Bringing optimization into the game

## How to solve an optimization problem?

- Genetic algorithms (GA)

- The Nelder-Mead algorithm (NM)

- HYBBRID: let's mix GA and NM together!

Results

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# Global exploration: genetic algorithms

## Stochastic **population-based evolutionary** method

(original variant proposed by Holland in the 1970s)

- ◇ **Population-based**: keep a large set of candidates at each iteration
- ◇ **Evolutionary**: generate new candidates by combining current ones depending on their performance

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- ◇ **Mutation:** offsprings can be subject to *mutations*  
(random modification, gradient step, SA step, ...)

# The Nelder-Mead simplex algorithm

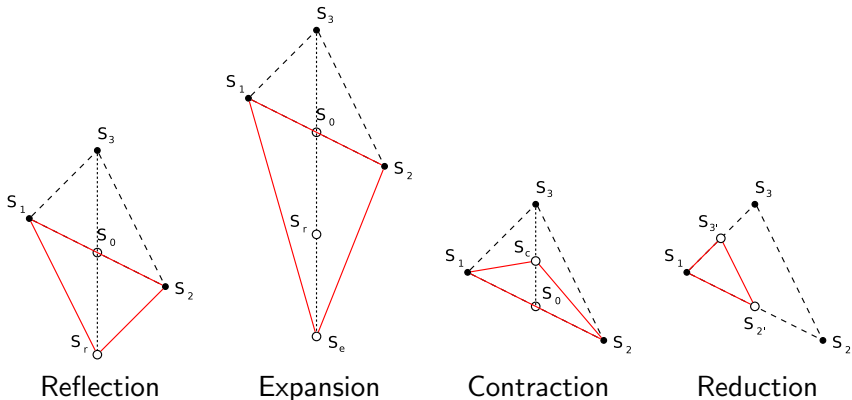
## Derivative-free simplicial optimization method

(original algorithm proposed by Nelder & Mead in 1965)

Simplex (in  $\mathbb{R}^n$ ) = set of  $n + 1$  affinely independent points

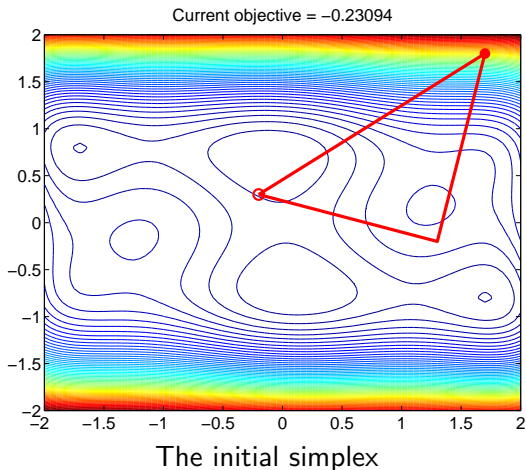
- ◇  $n = 2$  : triangle
- ◇  $n = 3$  : tetrahedron
- ◇ ...

# Ideas of the algorithm (details omitted)

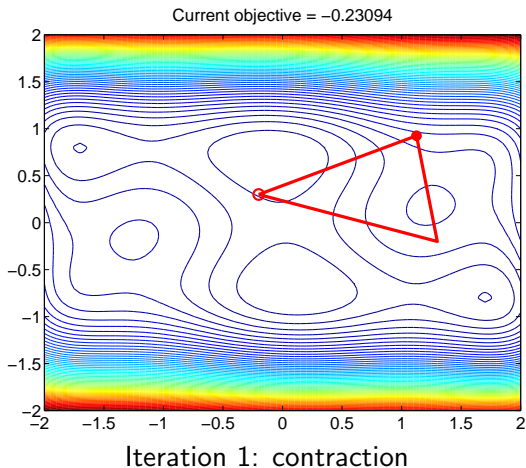


Four main ways to **move/transform the simplex** depending on the performance of its vertices: **affine combinations**

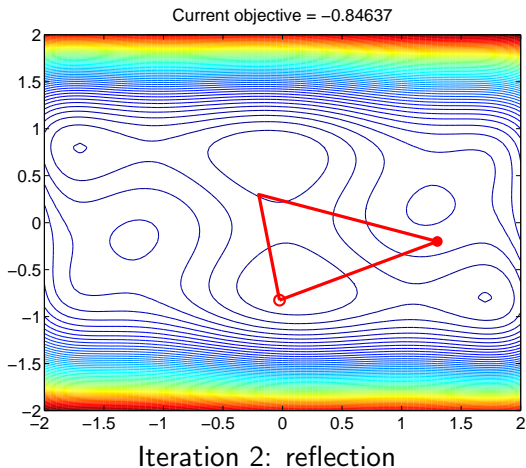
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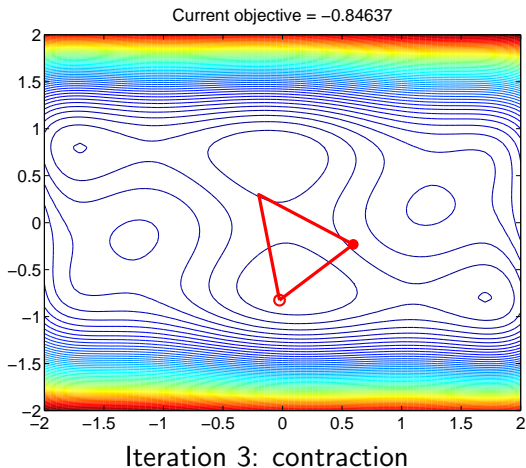
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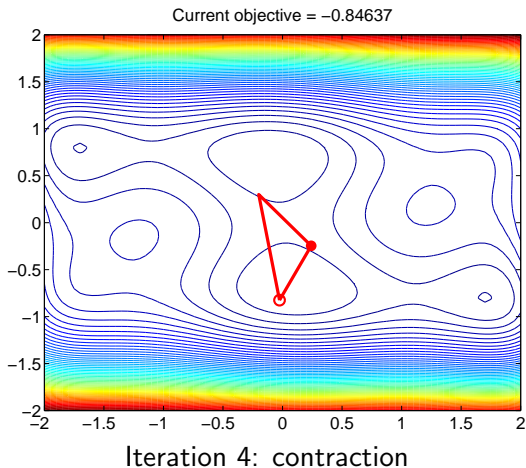


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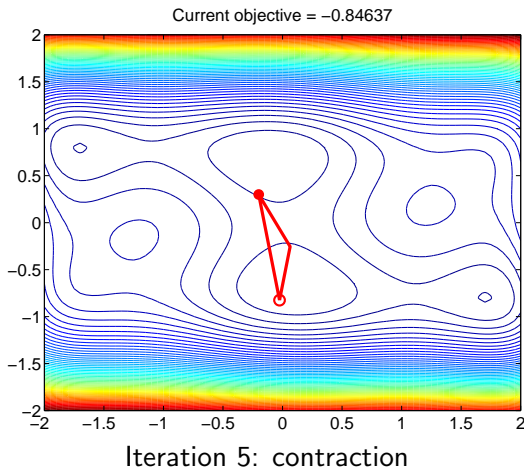




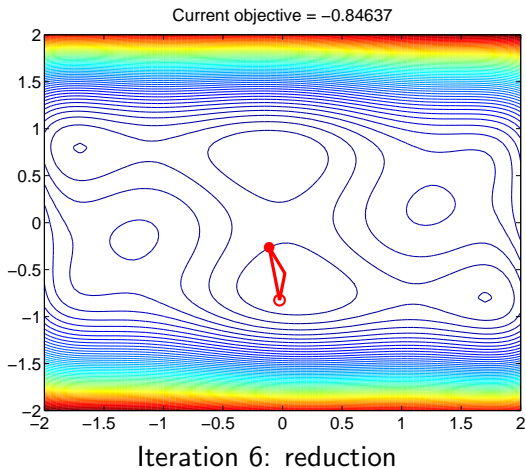
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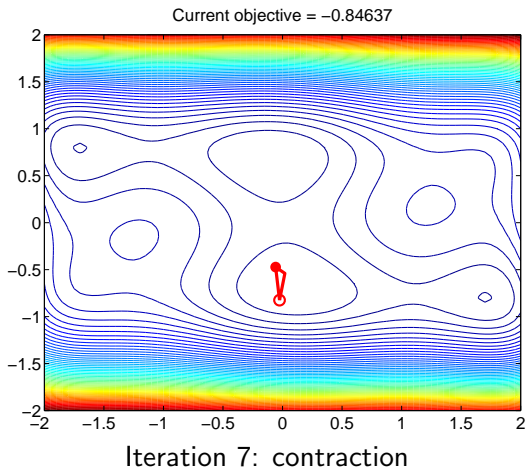
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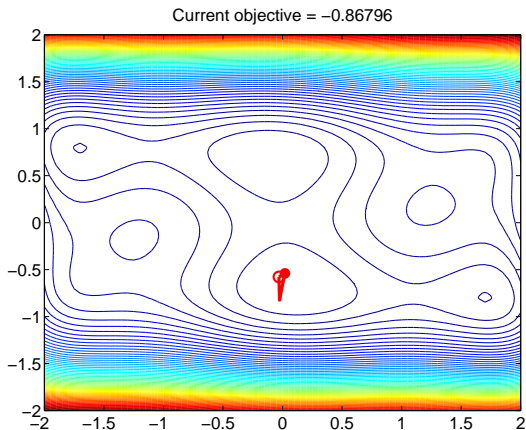
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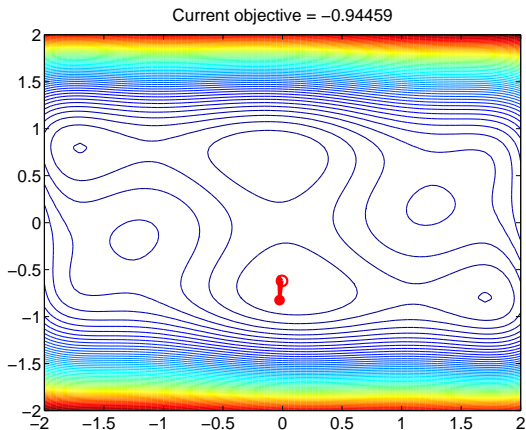
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Some more iterations...



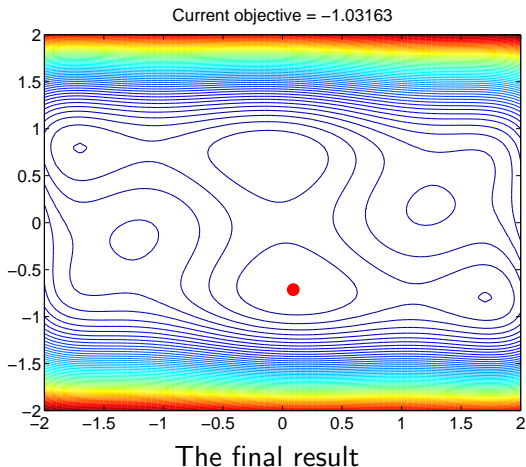
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# Nelder-Mead and the six-hump camel back...



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Population of  $M$  simplices (simplex = set of 4 rotation matrices)

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- ◇ **Crossover II:** Create  $\frac{M}{2}$  offsprings by **affinely combine vertices**:  

$$A_1 B_1 C_1 D_1 \otimes A_2 B_2 C_2 D_2 \rightarrow A_3 B_3 C_3 D_3 \text{ with } A_3 = \lambda A_1 + (1 - \lambda) A_2$$

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- ◇ **Mutation:** Apply  $K$  **Nelder-Mead iterations** on each offspring

# HYBRID

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HYbrid Bounding Box Rotation IDentification algorithm

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## Results

- Behaviour of HYBBRID

- Comparison to other algorithms

## Conclusion

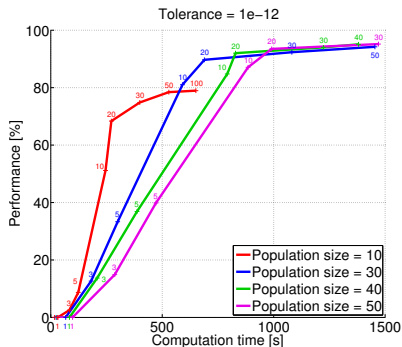
# Behavior of HYBRID

All algorithms tested on a benchmark set of  $\sim 300$  objects (Gamma db)  
Implementations done in MATLAB (built-in functions are used)



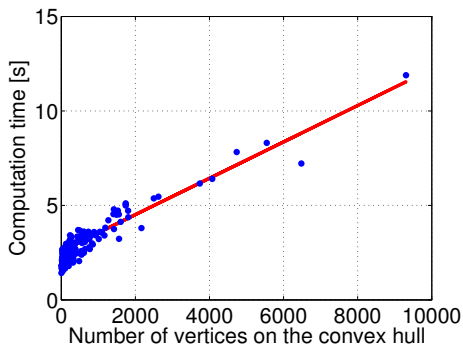
# Behavior of HYBRID: yes, it works!

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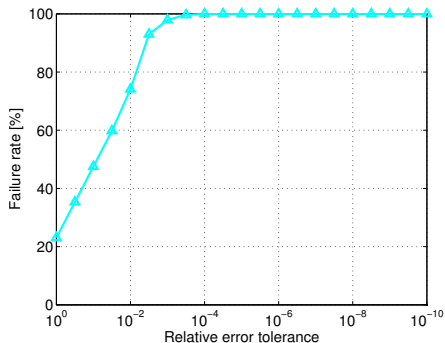
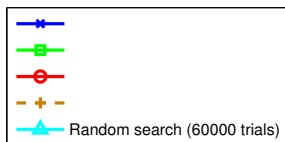
Error is less than  $10^{-12}$  in 90%+ of the cases!

## How does it scale?



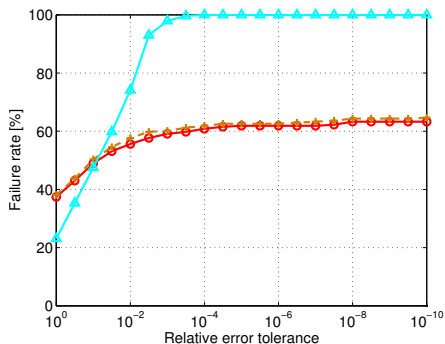
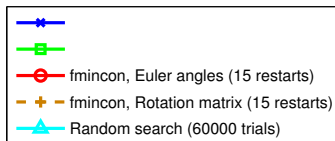
Experimental results show a **roughly linear** complexity!

# Comparison to other iterative approaches



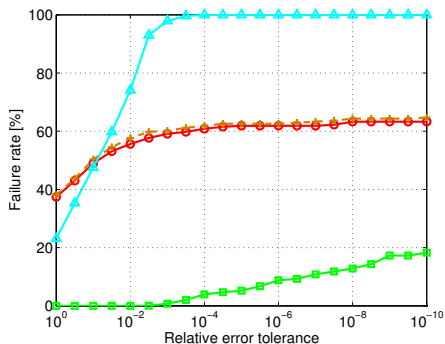
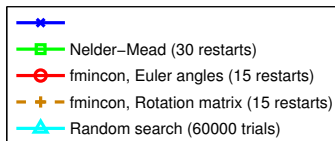
Trying **random orientations** does not work...

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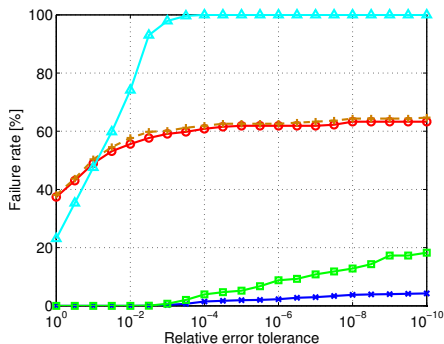
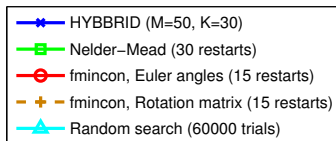
Constrained smooth opti: success rate  $\sim 40\%$  but mainly AABBs...

# Comparison to other iterative approaches



Unconstrained non-diff. opti, random initializations: much better results!

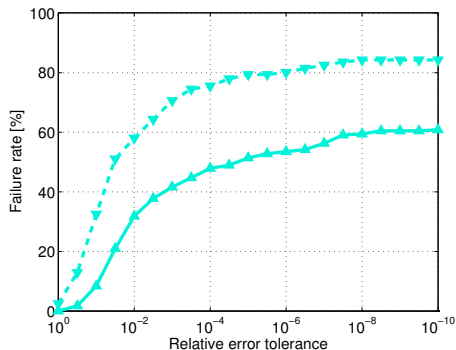
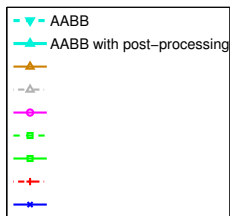
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**HYBBRID:** combining potential solutions does improve the success rate!

# Comparison to other algorithms

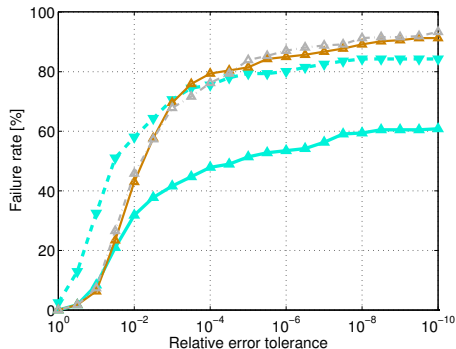
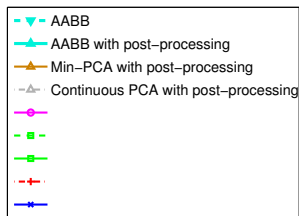
First, let's ignore the computational cost and look at the failure rates...



A reference point: the simple **AABB**

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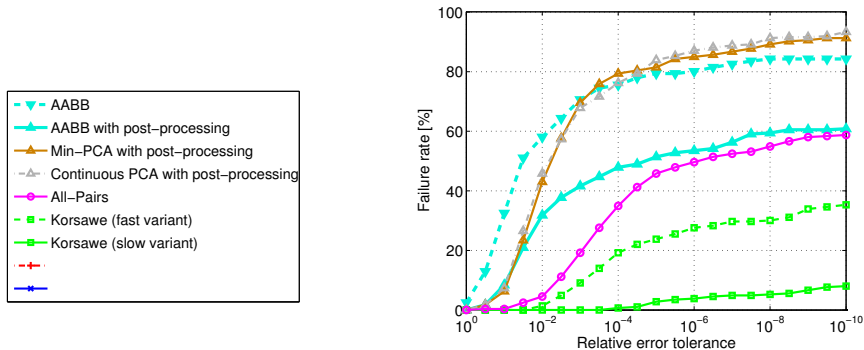


PCA-based methods: limited accuracy



# Comparison to other algorithms

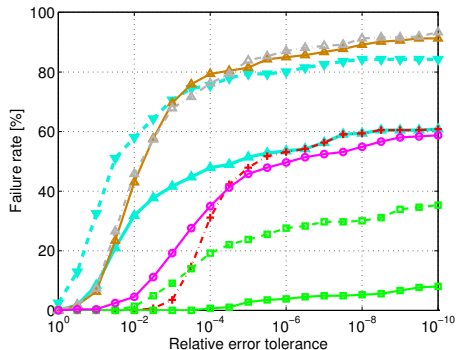
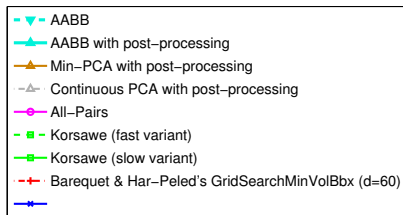
First, let's ignore the computational cost and look at the failure rates...



Brute-forcing on a set of orientations *may* be OK... if well chosen!

# Comparison to other algorithms

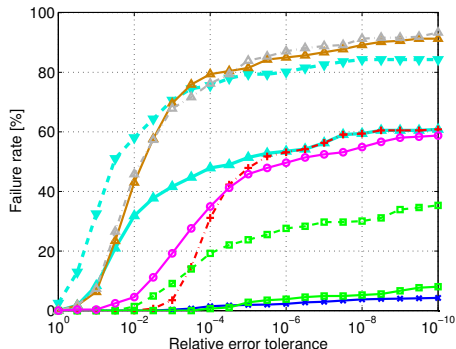
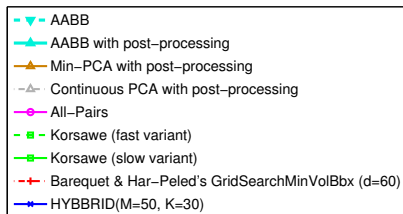
First, let's ignore the computational cost and look at the failure rates...



Guaranteed approximation algorithms: limited by computational resources

# Comparison to other algorithms

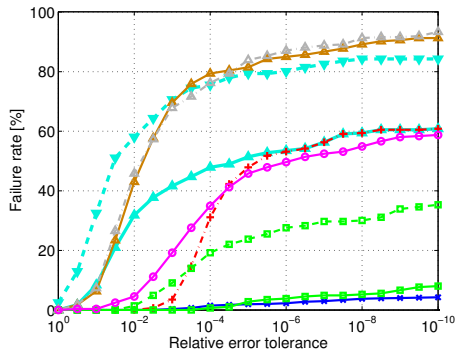
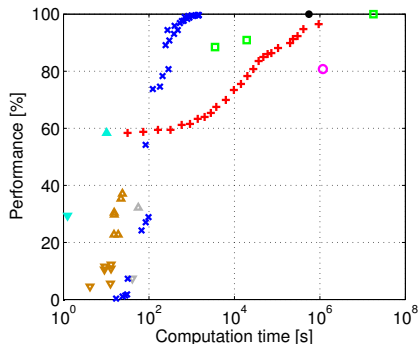
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**HYBRID:** more accurate than these other methods

# Comparison to other algorithms

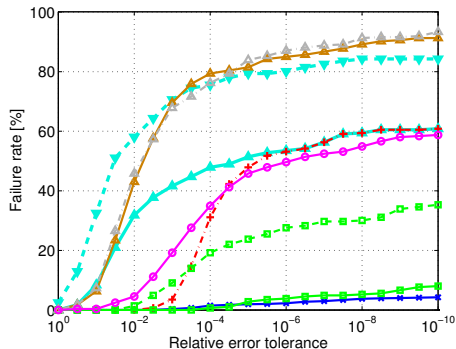
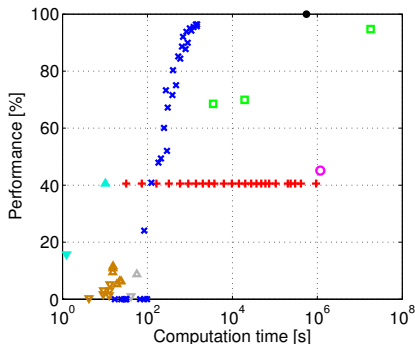
What are the computation times? (Tolerance:  $10^{-3}$ )



AABB – PCA – Continuous PCA – Brute-force on a set of orientations  
 O'Rourke's exact algorithm – Guaranteed approximation – HYBRID

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AABB – PCA – Continuous PCA – Brute-force on a set of orientations  
 O'Rourke's exact algorithm – Guaranteed approximation – HYBRID

# Coming up next...

The problem: minimum-volume OBB

Bringing optimization into the game

How to solve an optimization problem?

Results

**Conclusion**

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**Thank you for your attention!**