# A Formal Analysis of the Norwegian E-voting Protocol 

Véronique Cortier \& Cyrille Wiedling LORIA - CNRS, Nancy, France

March 26th, 2012


Project supported by the
European Research Council

## E-voting : a worldwide expansion

Canada : Since 2004 at the Provincial level. (EVM and (later) Internet voting.)


Estonia : 2005, first legally binding vote using Internet.


India : legally binding e-voting with EVM since 2002.

Brazil : legally binding e-vote with EVM since 2000.

## E-voting : a worldwide expansion

Canada : Since 2004 at the Provincial level. (EVM and (later) Internet voting.)

But also : Norway France, Poland, ...


Estonia : 2005, first legally binding vote using Internet.


India : legally binding e-voting with EVM since 2002.

Brazil : legally binding e-vote with EVM since 2000.

## E-voting : a worldwide expansion

Canada : Since 2004 at the Provincial level. (EVM and (later) Internet voting.)

But also : Norway France, Poland, ...

Estonia : 2005, first legally binding vote using Internet.

Planning in : Mexico, China, Spain,

India : legally binding e-voting with EVM since 2002.

Brazil : legally binding e-vote with EVM since 2000.

## Why using E-voting ?

## Efficiency and Reliability

 in collecting and tallying votes (less Human errors/cheating in counting)

Convenient way of voting Possibility of voting from home or anywhere else. (More people may vote)

## E-voting is not a wonderland...



Systems may be vulnerable to attacks :

- Diebold Machines in the U.S.
(Candice Hoke, 2008)
- Paperless EVM in India.
(A. Halderman, R. Gonggrijp, 2010)

Some countries just decide to stop E-voting :

- Germany
- Ireland
- United Kingdom



## A powerful attacker

## Presence of an attacker who:

- can read every message sent on the network,
- can intercept messages,
- can create and send new messages.
- can vote himself.



## A powerful attacker

## Presence of an attacker who:

- can read every message sent on the network,
- can intercept messages,
- can create and send new messages.
- can vote himself.

Powerful attacker


There is a crucial need to verify protocols before using them !


## Contributions

- Modeling of an implemented and tested protocol,
- modeling of complex primitives,
- modeling of trust assumptions.
- Analysis of the property of vote-privacy,
- Using of ProVerif tool over a simple modeling to explore further cases of corruption.


## The Norwegian E-voting protocol

- Developed by ErgoGroup,
- Used in municipal and county elections,
- Already implemented and tested in real conditions,

200000


$\square$

Paper Votes
Internet Votes
Voters
Abstentees

More than $\mathbf{2 5 0 0 0}$ voters used Internet.

2011 elections results in the 10 participating cities

## Players of the protocol

## Players of the protocol

## Players of the protocol



## Players of the protocol



## Players of the protocol



## Players of the protocol



## Players of the protocol



## Players of the protocol



Infrastructure players

## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process



## Submission process

Table :
$\left(o, f_{V}(o)\right)$

$a_{2}$
Table $: B$
$\left(V, s_{V}\right)$
$B$
$a_{3}=a_{1}+a_{2}$
Table : $\left(V, d_{V}\right)$

$$
b^{\prime}=(b, \check{x}, \check{w}, \check{p})
$$

$$
\tilde{x}, \tilde{w} \leftarrow \operatorname{renc}(x, w)
$$

$$
\check{x}, \check{w} \leftarrow \operatorname{bld}\left(\tilde{x}, \tilde{w}, s_{V}\right)
$$

$$
\check{r} \leftarrow \mathrm{~d}_{V}(\check{x}, \check{w})
$$

$$
\check{p} \leftarrow z k p^{\prime}
$$

$h \leftarrow \operatorname{hash}(b)$
$s i_{R} \leftarrow \operatorname{sign}\left(h, i d_{R}\right)$
Ok
$s i_{R}$

## Abstraction by terms

Nonces: $n, m, \ldots$
Keys: $k_{1}, \ldots, k_{n}, \ldots$
Primitives: pair $(x, y)$, enc $(x, k), \operatorname{blind}(x, s), \ldots$

Message enc $(\operatorname{pair}(x, y), k)$ is represented by :


## Equational theory

## $\operatorname{fst}(\operatorname{pair}(x, y))=x \quad \operatorname{snd}(\operatorname{pair}(x, y))=y$

 $\operatorname{dec}(\operatorname{penc}(x, r, \operatorname{pk}(k)), k)=x \quad$ unblind $(\operatorname{blind}(x, s), s)=x$
## Equational theory

## $\operatorname{fst}(\operatorname{pair}(x, y))=x \quad \operatorname{snd}(\operatorname{pair}(x, y))=y$

 $\operatorname{dec}(\operatorname{penc}(x, r, \operatorname{pk}(k)), k)=x \quad$ unblind $(\operatorname{blind}(x, s), s)=x$ $\operatorname{dec}(\operatorname{blind}(\operatorname{penc}(x, r, \operatorname{pk}(k)), s), k)=\operatorname{blind}(x, s)$
## Equational theory

## $\operatorname{fst}(\operatorname{pair}(x, y))=x \quad \operatorname{snd}(\operatorname{pair}(x, y))=y$

 $\operatorname{dec}(\operatorname{penc}(x, r, \operatorname{pk}(k)), k)=x \quad$ unblind $(\operatorname{blind}(x, s), s)=x$ $\operatorname{dec}(\operatorname{blind}(\operatorname{penc}(x, r, \operatorname{pk}(k)), s), k)=\operatorname{blind}(x, s)$$\operatorname{penc}\left(x_{1}, r_{1}, k_{p}\right) \circ \operatorname{penc}\left(x_{2}, r_{2}, k_{p}\right)=\operatorname{penc}\left(x_{1} \diamond x_{2}, r_{1} * r_{2}, k_{p}\right)$ $\operatorname{renc}\left(\operatorname{penc}\left(x, r, \operatorname{pk}\left(k_{1}\right)\right), k_{2}\right)=\operatorname{penc}\left(x, r, \operatorname{pk}\left(k_{1}+k_{2}\right)\right)$

## Equational theory

$$
\operatorname{fst}(\operatorname{pair}(x, y))=x \quad \operatorname{snd}(\operatorname{pair}(x, y))=y
$$

$\operatorname{dec}(\operatorname{penc}(x, r, \operatorname{pk}(k)), k)=x \quad$ unblind $(\operatorname{blind}(x, s), s)=x$
$\operatorname{dec}(\operatorname{blind}(\operatorname{penc}(x, r, \operatorname{pk}(k)), s), k)=\operatorname{blind}(x, s)$
$\operatorname{penc}\left(x_{1}, r_{1}, k_{p}\right) \circ \operatorname{penc}\left(x_{2}, r_{2}, k_{p}\right)=\operatorname{penc}\left(x_{1} \diamond x_{2}, r_{1} * r_{2}, k_{p}\right)$ $\operatorname{renc}\left(\operatorname{penc}\left(x, r, \operatorname{pk}\left(k_{1}\right)\right), k_{2}\right)=\operatorname{penc}\left(x, r, \operatorname{pk}\left(k_{1}+k_{2}\right)\right)$
$\operatorname{checksign}(x, y, \operatorname{sign}(x, y))=\mathrm{Ok}$
$\operatorname{checkpfk}_{1}\left(\mathrm{vk}(i)\right.$, ball $^{2} \mathrm{pfk}_{1}(i, r, x$, ball $\left.)\right)=$ Ok $\mid$ ball $=\operatorname{penc}\left(x, r, k_{p}\right)$
$\operatorname{checkpfk}_{2}\left(\operatorname{vk}(i)\right.$, ball $^{\prime} \operatorname{pfk}_{2}(i, r, x$, ball $\left.)\right)=\mathrm{Ok} \left\lvert\, \begin{aligned} & \operatorname{ball}=\operatorname{renc}(x, r) \\ & \operatorname{ball}=\operatorname{blind}(x, r)\end{aligned}\right.$

## Applied Pi-Calculus

$$
\begin{array}{ll}
P, Q, R::= & \text { (plain) processes } \\
0 & \text { null process } \\
P \mid Q & \text { parallel composition } \\
!P & \text { replication } \\
\nu n . P & \text { name restriction } \\
\text { if } \phi \text { then } P \text { else } Q & \text { conditional } \\
u(x) . P & \text { message input } \\
\bar{u}\langle M\rangle . P & \text { message output } \quad \\
& \\
A, B, C::= & \text { Introduced by } \\
P & \text { extended processes } \\
A \mid B & \text { plain process } \\
\nu n . A & \text { parallel compornet } \\
\nu x . A & \text { name restriction } \\
\{M / x\} & \text { variable restriction } \\
\text { active substitution }
\end{array}
$$

## Modeling of players

Example : Modeling of the voter

```
\(V\left(c_{\text {auth }}, c_{\text {out }}, c_{R V}, g_{1}, i d, i d p_{R}, x_{\text {vote }}\right)=\nu t\).
    let \(e=\operatorname{penc}\left(x_{v o t e}, t, g_{1}\right)\) in
    let \(p=\operatorname{pfk}_{1}\left(i d, t, x_{v o t e}, e\right)\) in
    let \(s i=\operatorname{sign}((e, p), i d)\) in
    \(\overline{c_{\text {out }}}\langle(e, p, s i)\rangle\).
    \(\overline{c_{a u t h}}\langle(e, p, s i)\rangle\).
    \(c_{R V}(x) \cdot c_{\text {auth }}(y)\).
    \(\overline{c_{\text {out }}}\langle x\rangle . \overline{c_{\text {out }}}\langle y\rangle\).
    let \(h v=\operatorname{hash}((\operatorname{vk}(i d), e, p, s i))\) in
    if \(\phi_{\mathrm{v}}\left(i d p_{R}, i d, h, x, x_{\text {vote }}, y\right)\) then \(\overline{c_{a u t h}}\langle\mathrm{Ok}\rangle\)
```


## Vote-Privacy

Definition : Vote-Privacy (Delaune, Kremer \& Ryan)
A voting protocol ensures vote-privacy if :

$$
S\left[V_{A}\left\{\mathbf{v}_{1} / v\right\} \mid V_{B}\left\{\mathbf{}_{\mathbf{2}} / v\right\}\right] \approx_{l} S\left[V_{A}\left\{\mathbf{v}_{\mathbf{2}} / v\right\} \mid V_{B}\left\{\mathbf{v}_{1} / v\right\}\right]
$$

## Vote-Privacy

Definition : Vote-Privacy (Delaune, Kremer \& Ryan)
A voting protocol ensures vote-privacy if :


$$
S\left[V_{A}\left\{\mathbf{v}_{1} / v\right\} \mid V_{B}\left\{\mathbf{}_{\mathbf{2}} / v\right\}\right] \approx_{l} S\left[V_{A}\left\{\mathbf{v}_{\mathbf{2}} / v\right\} \mid V_{B}\left\{\mathbf{v}_{1} / v\right\}\right]
$$

## How can we prove this ?

- Using ProVerif ? (or another automatic tool)


The equational theory is too complex to be handled by ProVerif. (or any existing tool.)

- We have to do this by hand.


## Results

## Assuming that all infrastructure players are honest...

## Theorem

Vote-privacy with only 2 honest voters :

$$
S\left[V_{A}\left\{\mathrm{v}_{1} / x_{v}\right\} \mid V_{B}\left\{{ }^{\mathbf{v}_{2}} / x_{v}\right\}\right] \approx_{l} S\left[V_{A}\left\{\mathbf{v}_{\mathbf{2}} / x_{v}\right\} \mid V_{B}\left\{\mathrm{v}_{1} / x_{v}\right\}\right]
$$

## Theorem

Vote-privacy with only 2 honest voters and without auditor :

$$
S^{\prime}\left[V_{A}\left\{\mathrm{v}_{1} / x_{v}\right\} \mid V_{B}\left\{\mathrm{v}_{2} / x_{v}\right\}\right] \approx_{l} S^{\prime}\left[V_{A}\left\{\mathrm{v}_{2} / x_{v}\right\} \mid V_{B}\left\{{ }^{\mathrm{v}_{1}} / x_{v}\right\}\right]
$$

## Sketch of proof

## Two steps proof :

- Step I - Finding a bisimulation

I - Representing all possible successors of the two processes.
2 - Giving a relation R and proving that it is a bisimulation.

## Sketch of proof

## Two steps proof :

- Step I - Finding a bisimulation

I - Representing all possible successors of the two processes.
2 - Giving a relation $R$ and proving that it is a bisimulation.

- Step 2 - Static equivalence property

Proving that two (big) final frames are in static equivalence.

## Sketch of proof

- Step 2.a - Only a limited (but infinite) number of static equivalences needs to be considered.


## Sketch of proof

- Step 2.a - Only a limited (but infinite) number of static equivalences needs to be considered.


## Lemma (simplified)

$\forall M_{i}(\mathrm{i}=3, \mathrm{n})$ deducible from messages :
$\left\{\right.$ ballot $_{1} / x_{1},{ }^{\text {ballot }_{2}} / x_{2},{ }^{\mathrm{d}_{i}\left(\operatorname{dec}\left(\operatorname{blind}\left(\operatorname{renc}\left(M_{i}, a_{2}\right), s_{i}\right), a_{3}\right)\right) / y_{i},}$
$\operatorname{sign}\left(\operatorname{hash}\left(v k_{i}, M_{i}\right), i d_{R}\right) / z_{i}, \operatorname{dec}\left(\Pi_{1}\left(M_{i}\right)\right) /$ res $\left._{i}, i=3, n\right\}$


## Sketch of proof

- Step 2.a - Only a limited (but infinite) number of static equivalences needs to be considered.


## Lemma (simplified)

$\forall M_{i}(\mathrm{i}=3, \mathrm{n})$ deducible from messages :
$\left\{\right.$ ballot $_{1} / x_{1},{ }^{\text {ballot }_{2}} / x_{2},{ }^{\mathrm{d}_{i}\left(\operatorname{dec}\left(\operatorname{blind}\left(\operatorname{renc}\left(M_{i}, a_{2}\right), s_{i}\right), a_{3}\right)\right)} / y_{i}$,
$\operatorname{sign}\left(\operatorname{hash}\left(v k_{i}, M_{i}\right), i d_{R}\right) / z_{i}, \operatorname{dec}\left(\Pi_{1}\left(M_{i}\right)\right) /$ res $\left._{i}, i=3, n\right\}$
$\left\{\right.$ ballot $_{1}{ }^{2} / x_{1}$, ballot $_{2} / x_{2},{ }^{\mathrm{d}_{i}\left(\operatorname{dec}\left(\operatorname{blind}\left(\operatorname{renc}\left(M_{i}, a_{2}\right), s_{i}\right), a_{3}\right)\right) / y_{i}, ~}$ $\operatorname{sign}\left(\operatorname{hash}\left(v k_{i}, M_{i}\right), i d_{R}\right) /_{z_{i}}, \operatorname{dec}\left(\Pi_{1}\left(M_{i}\right)\right) /$ res $\left._{i}, i=3, n\right\}$

- Step 2.b - Using (and proving) independence lemmas :
- $\Phi_{1} \approx_{s} \Phi_{2} \Rightarrow \Phi_{1} \cup\{\operatorname{sign}(M, s) / t\} \approx_{s} \Phi_{2} \cup\{\operatorname{sign}(M, s) / t\}$
- $\Phi_{1} \approx_{s} \Phi_{2} \Rightarrow \Phi_{1} \cup\{\operatorname{dec}(M, k) / t\} \approx_{s} \Phi_{2} \cup\{\operatorname{dec}(M, k) / t\}$


## ProVerif \& ProSwapper

Use of ProVerif in order to test further cases of corruption.

Only on a simplified equational theory (no AC-symbols).


We may miss some attacks but it is still interesting.

## Results

| Corr. Voters | $\mathbf{0}$ | $\mathbf{2}$ | $\mathbf{4}$ |
| :---: | :---: | :---: | :---: |
| Corr. Admin. Players |  |  |  |
| None |  |  |  |
| Ballot Box (B) |  |  |  |
| Receipt Generator (R) |  |  |  |
| Decrypt. Service (D)* |  |  |  |
| Auditor (A) | R |  |  |
| R+D* |  |  |  |
| R+A |  |  |  |
| B+R, B+R+A, <br> B+D, B+D+A |  |  |  |

## Moral of the story

We can have some confidence in the Norwegian protocol.

## Moral of the story

We can have some confidence in the Norwegian protocol.
But the study reveals some crucial assumptions :

- There should be no virus on the computer.


## Moral of the story

We can have some confidence in the Norwegian protocol.
But the study reveals some crucial assumptions :

- There should be no virus on the computer.
- «< Secure channels » between infrastructure players:
- Ballot box and Receipt generator,
- Ballot box and Decryption device.


## Moral of the story

We can have some confidence in the Norwegian protocol.
But the study reveals some crucial assumptions :

- There should be no virus on the computer.
- « Secure channels » between infrastructure players :
- Ballot box and Receipt generator,
- Ballot box and Decryption device.
- How initial secrets are distributed ? By who ?
- Secret keys,
- Tables for Ballot Box, Receipt generator and voters.


## Conclusion

- A result on vote privacy of an implemented and deployed protocol.
- Some interesting results on corruption scenarios.
- Useful properties for next studies of protocols or the development of an automatic tool.


## Conclusion

Future work

- A result on vote privacy of an implemented and deployed protocol.
- Some interesting results on corruption scenarios.
- Useful properties for next studies of protocols or the development of an automatic tool.
- An analysis, by hand, of the case where the ballot box is corrupted.
- Study of properties like receipt-freeness, coercionresistance, verifiability, ...
- Trying to develop an automatic tool capable of dealing with quite complicated equational theories to avoid such (exhausting) proofs.


## Thank you for your attention

