Flora, Cosmos, Salvatio: Pre-modern Academic Institutions and the Spread of Ideas

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Established by the European Commission

Europe, 1000–1800 CE: A Hub of Academic Innovation

- Over **100,000 academic scholars** across Europe during this period.
- Sometimes, scholars contributed **at least a few innovative ideas** in their lifetimes.
- Some ideas left a **lasting legacy**, shaping European development:
 - *Frisius*: Triangulation \rightarrow Modern GPS
 - Clavius: Gregorian calendar \rightarrow Global standard
 - *Montesquieu*: Separation of power \rightarrow Democracies today



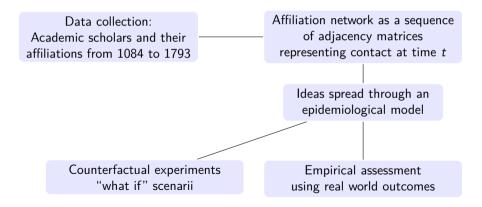
Academic Networks and Institutions

- Scholars did not work in isolation—they were part of a **vast academic community** connected through **universities and academies**.
- By 1800:
 - **200 universities** established (1000–1800).
 - **150 notable academies** (1500–1800).



This paper

How individual ideas gained significance when channelled by institutions.



Introduction	Data	Model	Empirical assessment	Counterfactuals	Conclusion
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Assumption

Key Assumption: Ideas spread by contact within institutions (Becker et al. 2024).

- Proximity drives diffusion, not necessarily intentional communication: Serendipitous nature of knowledge diffusion.
- Institutional environments provide structured yet informal opportunities for exchange.
- Ideas "infect" scholars like diseases without recovery.

Alternative (complementary) channels

- Networks of Written Communication:
 - Epistolary network: Based on letter exchanges (Roller 2023).
 - Citation network: Based on referenced scholarly works (Zhao & Strotmann 2015).
 - Coauthorship network: Based on library data (Scebba & Fantoli, 2024).
 - **Book translations:** Intellectual dissemination through translations (Abramitzky and Sin, 2014).
- Direct Interpersonal Influence:
 - **Student-teacher interaction:** How students are influenced by teachers, primarily in universities (Koschnick, 2024).

Advantages of the Affiliation Network

Main Advantages:

- Offers a lower bound on total diffusion.
- Highlights the role of each institution in knowledge dissemination.
 - Example: Identifying "hubs" versus "peripheral" institutions.
- Does not rely on compliance (unlike citation or coauthorship networks).
 - \approx intention-to-treat analysis.
- Data gaps are identifiable (unlike epistolary networks).
 - Institutions provide structured records for tracking affiliations.

Data collection: UTHC-RETE data

- Scholars with a documented affiliation to higher education institutions between the 11th and 18th century
 - 1. Universities
 - Scholars physically located there
 - teaching and researching theology, law, humanities, medicine, and sciences
 - Since 1088
 - 2. Academies
 - Scholars as ordinary, foreign and corresponding members. Interactions were both local and global
 - Start during the Renaissance, expansion after 1650
 - Focus on humanities (arts), sciences, applied sciences
- Individual human capital proxied by library footprint

Example of secondary source

Series professorum qui in academia rheno-traiectina publice aut docuerunt aut etiamnunc docent (1861)

(The series of professors who have either taught or are currently teaching at the University of Utrecht)

Matheseos: of Mathematics Iuris: law

- 55. Hermannus Alexander Roëll, Marco-Westphalus, nat. a. 1653. Ex academia Franequerana evocatus, Prof. Theologiae d. 22 Sept. 1704. Ob. d. 12 Iulii 1718. *
- 56. Iosephus Serrurier, Amstelaedamensis, Prof. Philosophiae et Mathemeos d. 3 Febr. 1706, Medicinae et Botanices d. 18 Maii 1716, Institutionum Medicarum d. 7 Iunii 1723. Ob. d. 15 April. 1742. *
- Iohannes Iacobus Vitriarius, Genevanis, nat. a. 1679. Ex academia Heidelbergensi huc evocatus, Iuris civilis et publici Prof. d. 17 Sept. 1708. In academiam Lugduno-Batavam profectus excunte a. 1719. Oblit d. 11 Dec. 1745. *
- Hieronymus van Alphen, Hanoviensis, nat.
 d. 12 Maii 1665. In ecclesia Amstelaedamensi Euangelii interpres, huc evocatus, Prof. Theologiae
 d. 26 Febr. 1715. Ob d. 7 Nov. 1742. *
- Franciscus Burmannus, Franc. F. Zheno-Traiectinus, nat. d. 15 Maii 1671. In ecclesia Amstelaedamensi Euangelii interpres, huc evocatus, Prof. Theologiae d. 26 Febr. 1715. Ob. d. 22 Sept. 1710. *
- Arnoldus Drakenborch, Rheno-Traiectinus, nat. d. 81 Dec. 1684. Historiarum et Eloquentiae Prof. d. 25 Maii 1716. Ob. d. 16 Ian. 1748. *

Affiliation network of scholars

The database is like a large Affiliation Matrix (aka, Incidence Matrix, or individual-by-group matrix)

 $B_{79555 \times 374}$

		Leiden	Cambridge	Louvain
	Erasmus	0	1	1
B =	Lipsius	1	0	1
	Clusius	1	0	0
	Bucer	0	1	0

allows to build two classes of networks



Two types of network (1)

Network of universities: adjacency matrix A = B'B, how Leiden, Cambridge and Louvain are connected through the mobility of professors. (de la Croix and Morault, 2024) Network of scholars: adjacency matrix A = BB', how professors are connected by sharing a common affiliation. Using Bolean arithmetic, we get

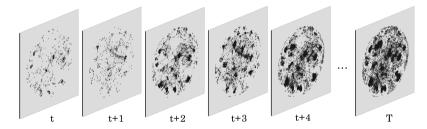
		Erasmus	Lipsius	Clusius	Bucer
	Erasmus	1	1	0	1
A =	Lipsius	1	1	1	0
	Clusius	0	1	1	0
	Bucer	1	0	0	1

Issues: time dimension, field dimension

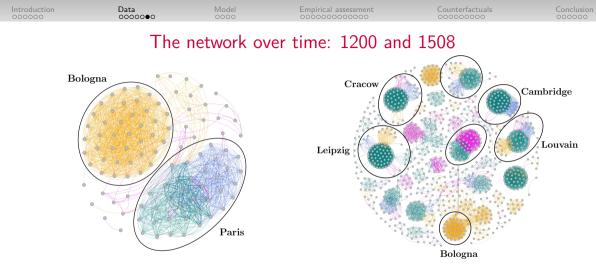


Temporal network of scholars

- We study the period 1084 to 1793
- Scholars are connected though an evolving affiliation network (time index to A_t)

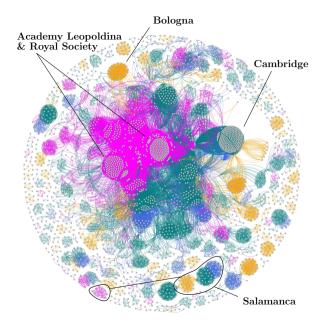


- Criteria for connection: being at the same time in the same institution,
- and working in the same field



Legend: Theology, Law, Humanities, Sciences Note: Isolates not represented

Archipelago of small "islands" connected through the mobility of scholars



1730

Rise of multiple simultaneous affiliations, partly due to the breakthrough of academies

pprox "small world" property

l	Scholars over ti	me
ĺ	Giant componer	nt

Epidemiological Model

- Inspired by epidemiological models (Koher et al. 2016, Fogli and Veldkamp 2021)
- T periods, N scholars
- A temporal network G as a sequence of adjacency matrices A_t = [a_{sv}]_t
 Each element a_{sv} = 1 if scholars s and v are connected at time t, and 0 otherwise
- State vector $I_t = [i_s]_t$; $i_s = \{0, 1\}$ indicates scholar s exposure to an idea
- Initial "inventor" (patient zero): there is some date t₀ where [i_s]_t = 0 for all t < t₀ at t₀, [i_{s*}]_{t0} = 1 exogenously. s* is the inventor



Spread of ideas - Deterministic version

• State vector updated following (using Boolean arithmetic):

$$I_{t+1} = \underbrace{A_t I_t}_{t+1} + I_t \tag{1}$$

new expositions

• once exposed to, ideas cannot be forgotten (no "recovery" status)

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Spread of ideas - Stochastic version

• Transmission probability α and stochastic operator $\Omega^d(A_t)$, the dynamics are represented by:

$$I_{t+1}^d = \Omega^d(A_t)I_t^d + I_t^d \tag{2}$$

where the superscript d indicates a particular simulation.

• The stochastic operator $\Omega^d(a_{sv})$ is defined as:

 $\begin{aligned} \Omega^d(a_{sv}) = \\ \begin{cases} 1 & \text{with probability } \alpha \text{ if } a_{sv} = 1, \ s \text{ and } v \text{ met and discussed the idea} \\ 0 & \text{with probability } 1 - \alpha \text{ if } a_{sv} = 1, \ s \text{ and } v \text{ met but the idea did not spread} \\ 0 & \text{if } a_{sv} = 0, \ s \text{ and } v \text{ never met} \end{aligned}$

•
$$\mathbb{E}[\Omega^d(A_t)] = \alpha A_t.$$

Three levels of exposure to ideas

Scholar *s* **expected exposure**: averaging $[i_s^d]_t$ over *D* simulations

Institution k exposure S_t^k : average over individuals s belonging to set of members V(k, t), weighting individual exposure by quality q_s :

$$S_{t}^{k} = \sum_{s} \underbrace{q_{s}}_{\text{quality}} \left(\underbrace{I(s \in V(k, t))}_{\text{membership}} \underbrace{[\bar{i}_{s}]_{t'}}_{\text{expected exposure}} \right)$$
(3)

City c exposure S_t^c : averaging over institutions k, weighting by inverse distance w_{ck} :

$$S_t^c = \sum_k w_{ck} \tilde{S}_t^k \tag{4}$$



Empirical assessment

- We feed into the model several key ideas (choice driven by importance and availability of outcomes)
- Caveat: "idea" as an umbrella term for new theses, paradigm, and methodologies
- We set $\alpha = 0.3$ & D = 1,000
- We investigate the correlation of the exposure of "ideas" and outcomes:
 - 1 Botanical Realism & botanic gardens
 - 2 Mathematical Astronomy & astronomical observatories
 - 3 Scholasticism & adoption of Protestantism
- 1& 2 are straightforward implications, 3 is a counter-reaction/backlash
- Underlying assumption: a significant correlation gives support to our model

1. Botanical Realism, Fuchs, and botanic gardens

- A paradigm shift in botany during the Scientific Revolution: Botanical Realism
 - Scholars began questioning ancient authorities and sought reliable knowledge through direct observation and experimentation
- In 1542, German botanist Leonhart Fuchs (b. 1501 d. 1566), professor in Tübingen, publishes *De historia stirpium commentarii insignes*
 - Featuring over 500 visual representations of plant species, with descriptions of their uses and characteristics, and highlighting differences from ancient texts
- Using a Cox Proportional Hazard model, we relate the likelihood for a university city to see the creation of a botanic garden with the exposure to Botanical Realism Building Data on Botanic Gardens



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Cox Proportional Hazards Model

Hazard rate at time t (risk of event at time t): $h(t) = h_0(t) \exp(\sum_i \beta_i x_i(t))$

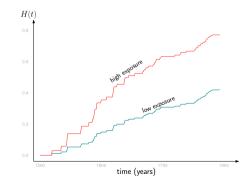
• Baseline hazard $h_0(t)$ is shifted proportionally by factors x_i . Some of which might be time-varying.

Cumulative hazard:

$$H(t)=\int_0^t h(x)\,dx$$

 $x_i(t)$: Exposure to \rightarrow Event Botanical Realism \rightarrow Have a botanic garden Mathematical Astronomy \rightarrow Have an observatory

 \Rightarrow estimate β_i by maximum likelihood



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Cox Proportional Hazards Model - Botanic gardens size

	Risk of creating a Botanic Garden				
	(1)	(2)	(3)		
(ihs) Exposure Botanical Realism <i>S</i> ^k _t	0.363*** (0.077)	0.292*** (0.092)	0.249** (0.098)		
(ihs) City population in 1500		0.256*** (0.081)	0.308*** (0.088)		
(ihs) Distance to Tübingen (gravity model)			-0.226*** (0.062)		
Log Likelihood	-297.050	-294.296	-292.307		
<i>Note:</i> *p<0.1; **p< 0.05; ***p<0.01. 54,390 observations All the variables are transformed in inverse hyperbolic sine.					

A one-unit increase in exposure \Rightarrow +43.7%, +33.9%, +28.3%.



2. Cosmos: Regiomontanus

- In 1454, German mathematician Johannes Regiomontanus (b. 1436 d. 1476) begun his *Theoricae novae planetarum* with Georg Peurbach + *Epitoma in Almagestum Ptolemaei, c. 1463*, which clarified, corrected, and expanded Ptolemaic astronomy, influencing Copernicus.
- Advanced application of mathematics, highly useful for practitioners in engineering, astronomy and related calendar studies.
- Professor at the university of Vienna (1457-1461), Padua (1463-1466) and Pozsony (1467-1471)
- \Rightarrow Were institutions with high exposure to Regiomontanus' work more likely to build an astronomical observatory?

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Cox Proportional Hazards Model - Observatories Gize

	Risk of c	Risk of creating an Observatory			
	(1)	(2)	(3)		
(ihs) Exposure to Mathematical Astronomy S_t^k	0.344*** (0.041)	0.314*** (0.050)	0.316*** (0.052)		
(ihs) City Population in 1500		0.145^{*} (0.081)	0.142* (0.085)		
(ihs) Distance to Vienna (gravity model)			-0.160*** (0.050)		
Log Likelihood	-254.978	-254.139	-253.212		
Note: *p<0.1; **p< 0.05; ***p<0.01. 54,390 observations					

All the variables are transformed in inverse hyperbolic sine.

A one-unit increase in exposure \Rightarrow +41.0%, +36.9%, +37.2%.

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3. Salvatio: Scholasticism, Petrus Lombardus, and his Sentences

- Approaches theology using systematic reasoning, inspired by Aristotle
- Does not rely much on the Scriptures, but rather on logical argumentation
- Pioneered by Petrus Lombardus (b. 1100 d. 1160), professor at Paris
- Main book: Sentences (1146)



Scholasticism & the Reformation

- Hypothesis: it impacted the Reformation through a "disgust" effect Pierre Chaunu, *Le Temps des Réformes* (1975)
- Luther was trained in the scholastic method, but wrote an entire *Disputatio* against Scholasticism:

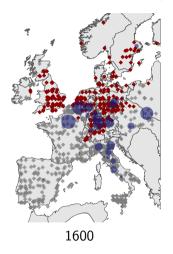
"No syllogistic form is valid when applied to divine terms"

"The whole Aristotle is to theology as darkness is to light"

- We compute cities' exposure to Scholasticism in the 30 years prior 1508
- ... and compare with data on Protestant cities in 1530, 1560, and 1600 from Rubin (2014)
- note: no Cox here as not enough variations is adoption of Protestantism (England)

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Exposure to scholasticism in the 30 years prior 1508



Legend: Institution-level exposure bubbles in blue. Protestant cities in red, Catholic cities in gray

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Discussion

- Our exposure measure seems to capture the spread of Scholasticisms in Northern and Central Europe, while the same cannot be said for other regions:
 - No Scholasticism in the Iberic peninsula? \Rightarrow The School of Salamanca revitalized and advanced scholastic theology, but flourished only after 1520s, and our measure stops in 1508
 - No Scholasticism in the British Isles? Considering Oxford scholastic tradition ⇒ hard to assign fields to fellows in our data, point we are trying to address
 - Not much Scholasticism in Italy? \Rightarrow More prevalent in monasteries and convents rather than universities (more focused on law, medicine, and the classical traditions of philosophy)

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Linear probability model

	Protestant in			
	1530	1560	1600	
	(1)	(2)	(3)	
Exposure to Scholasticism S_{1508}^c	0.001**	0.003**	0.004***	
	(0.001)	(0.001)	(0.001)	
Presence of university	-0.036	-0.077	-0.142***	
in 1500	(0.027)	(0.052)	(0.054)	
Observations	867	867	867	
Adjusted R ²	0.022	0.059	0.098	

Notes: Robust SE clustered by territory (from Rubin) in parentheses.

Counterfactual experiments

What if Fuchs' Botanical Realism was not invented by Fuchs but by other persons is various places?

 \rightarrow look into diffusion speed and survival of ideas

What if some parts of the networks were missing? Academies. Geographical regions. Jesuits

 \rightarrow assess the importance / the necessity of these parts

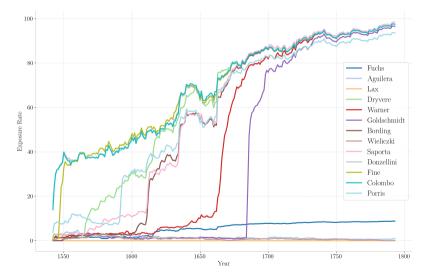
Aim: better understand the topology of the institutional network

Counterfactual experiment 1: Placebo inventors

- How crucial are the identity of pioneers for the spread of ideas in our model?
- We identify some placebo inventors of Botanical Realism in place of Fuchs, and simulate the diffusion of this paradigm from their university (in 1542)
 - 1. Juan Aguilera in Salamanca
 - 2. Gaspard Lax de Sarenina in Zaragoza
 - 3. John Warner in Oxford
 - 4. Jeremius Dryvere in Louvain
 - 5. Andreas Goldschmidt in Wittenberg
 - 6. Mikołaj Mleczko Wieliczki in Cracow
 - 7. Jacob Bording in Rostock
 - 8. Antoine Saporta in Montpellier
 - 9. Girolamo Donzellini in Padua
 - 10. Oronce Fine in the Royal College
 - 11. Realdo Colombo in Pisa
 - 12. Georg Joachim Porris in Leipzig



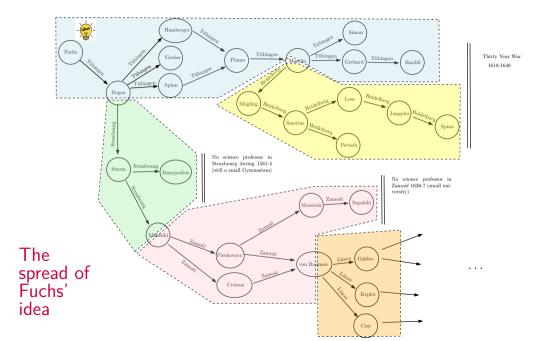
Share of exposed scholars (medicine+science) – average over simulations



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Discussion

- In three cases (Aguilera in Salamanca, Wieliczki in Cracow, and Lax in Zaragoza), the idea fails to spread
- In nine cases, nearly all the scientists active in the network encounter the idea by the end of the period in all the simulations, but
 - Donzellini (Padua), Colombo (Pisa) and Fine (Royal College), achieving the fastest spread
 - Goldschmidt (Wittenberg) and Warner (Oxford), relatively slow diffusion before the 1650s
- Fuchs plateaus at around 10%, meaning the idea survives in only some of the simulations, and dies out in the other cases
- \rightarrow diffusion process generated by our model is **non-ergodic**: the distribution of an idea dependents on its initial conditions (analogy with QWERTY (David 1985))





Counterfactual experiment 2: Absence of academies

- In Fuchs' example, Lincei is necessary for the idea to survive.
- Romanus is a key player according to Zenou's definition: "the key player who is the agent that should be targeted by the planner so that, once removed, she will generate the highest level of reduction in total activity."
- What if academies were never invented ? would universities suffice ?
- Academies play a dual role:
 - Direct effect: exposing nearby cities to ideas
 - Indirect effect: helping to spread ideas (network effect)

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Moments of distribution of cities' exposure relative to benchmark (100)

	Q1	Median	Q3		
Botanical Realism					
No direct effect in 1600	100	100	100		
No ACAD at all in 1600	100	100	100		
Mathematical Astronom	iy				
No direct effect in 1600	76	78	83		
No ACAD at all in 1600	73	77	81		
Botanical Realism					
No direct effect in 1750	25	29	34		
No ACAD at all in 1750	0	0	0		
Mathematical Astronomy					
No direct effect in 1750	20	26	31		
No ACAD at all in 1750	3	7	16		

1600: only a few (mostly informal) academies. They do not matter for exposure to Botanical Realism (both columns are at 100) Help spread of Mathematical Astronomy

1750⁻ academies matter more and more directly and are necessary component of the network for Botanic Realism, important component for Mathematical Astronomy

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Counterfactual 3: absence of specific regions

	Q1	Median	Q3		
Botanical Realism					
No Italian Peninsula	0	0	0		
No British Isles	75	88	89		
No France	53	65	81		
No Iberic Peninsula	95	95	95		
No Holy Roman Empire	0	0	0		
Mathematical Astronomy					
No Italian Peninsula	0	0	0		
No British Isles	82	97	99		
No France	65	78	96		
No Iberic Peninsula	100	100	100		
No Holy Roman Empire	92	96	99		

Exposure in 1793

Removing any single region from the network has little impact, except for the region where the idea originated

 \rightarrow shows the resilience of the network

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Counterfactual 4: absence of Jesuits

	Q1	Median	Q3			
Botanical Realism						
No direct effect	93	97	98			
No Jesuits at all	90	93	94			
Mathematical Astronomy						
No direct effect	91	95	97			
No Jesuits at all	91	95	97			



The Jesuits: 10.9% of all scholars (aft. 1500).

They matter surprisingly little

Network effect is small: they form a network of their own, disconnected from the others



Conclusion

European scholars were part of an interconnected academic network: mobility + academies $% \left({{\left[{{{\rm{c}}} \right]}_{{\rm{c}}}}} \right)$

We investigate its role by combining an affiliation network derived from original microdata with an epidemiological model

Main message: The network was dense enough to – alone – foster the spread and preservation of ideas across time and space as early as 1100

 \rightarrow Interpersonal exchanges within institutions play a role (alongside other channels of diffusion governed by a gravity model)

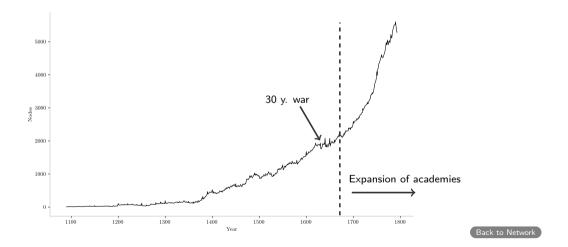
Mechanisms: Both mobility and academies amplify intellectual exchange

Allowed to safeguard ideas when universities were forced to close (30 y. war)

Can this density and resilience explain Europe's advance in premodern period?

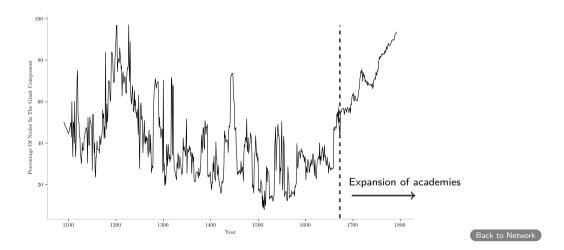
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Number of nodes over time



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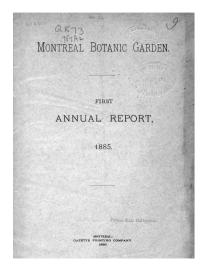
Percentage of nodes in the giant component





Building a panel of botanic gardens

- We compute institutions' exposure to Botanical Realism over time
- ... and compare with the foundation of botanic gardens (our elaboration)
- The first annual report by Montreal Botanic Garden (1886) lists botanic gardens open worldwide in 1885
- We used AI to fetch each garden's founding dates, which were then manually sample-checked

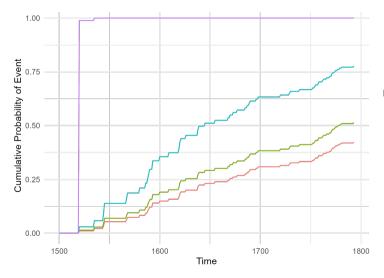


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Counterfactuals

Conclusion 0000●0

Size effects - Botanic Gardens



Probability of getting a Botanic Garden for different exposure to Botanical Realism

purple line: constant exposure of 6 (max),

blue line: constant exposure of 1,

green line: constant exposure of 0.27 (mean),

red line: constant null exposure.

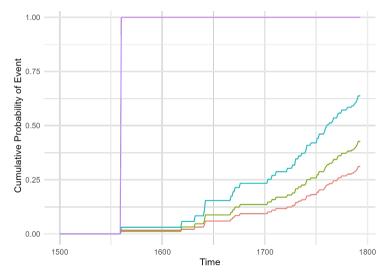
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Counterfactuals

Conclusion ○○○○○●

Size effects - Observatories



Probability of getting an observatory for different exposure to Mathematical Astronomy

purple line: constant exposure of 7.8 (max),

blue line: constant exposure of 1,

green line: constant exposure of 0.4 (mean),

red line: constant null exposure.