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Proper factorization systems in 2-categories

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Abstract

Starting from known examples of factorization systems in 2-categories, we discuss possible definitions of proper factorization system in a 2-category. We focus our attention on the construction of the free proper factorization system on a given 2-category.

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0. Introduction

The notion of factorization system in a category is well established and has a lot of applications to basic category theory [7] as well as to some more specific topic, like categorical topology [11] or categorical Galois theory [8]. When a relevant construction emerges in mathematics the question of existence of such free structure is always important. Korostenski and Tholen [19], study the free category with factorization system on a given category $\mathcal{C}$. They prove that it is given by the embedding $\mathcal{C} \rightarrow \mathcal{C}^2$ of $\mathcal{C}$ into its category of morphisms.

In general, given a factorization system $(\mathcal{E}, \mathcal{M})$ in a category and the corresponding factorization $f = (m \in \mathcal{M}) \circ (e \in \mathcal{E})$ of an arrow f , it is a common intuition to think to e as the “surjective” part of f and to m as the “injective” part of f . This is the case for the standard factorization system in Set, as well as for many other natural examples, but it is by no way a consequence of the definition of factorization system.

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A factorization system such that the class $\mathcal{E}$ is contained in the class of epimorphisms and the class $\mathcal{M}$ in that of monomorphisms is called proper. The free category $\text{Fr}\mathcal{C}$ with proper factorization system on a given category $\mathcal{C}$ has been studied by Grandis [15], where it is proved that $\text{Fr}\mathcal{C}$ is a quotient of $\mathcal{C}^2$, so that we can picture the situation with the diagram

$$\mathcal{C} \rightarrow \mathcal{C}^2 \rightarrow \text{Fr}\mathcal{C}.$$

The category $\text{Fr}\mathcal{C}$ is of special interest for its applications to the stable homotopy category (in this case it is also called the Freyd completion of $\mathcal{C}$, which explains the notation), to homology theories and to triangulated categories (see [5,10,13,14,22,24]).

For the needs of two-dimensional homological algebra, Kasangian and the second author introduced in [18] the notion of factorization system in a 2-category with invertible 2-arrows, showing the existence of two such factorization systems in the 2-category SCG of symmetric categorical groups. Subsequently, the definition has been extended by Milius to arbitrary 2-categories in [21], where the basic theory is developed. In particular, Milius exhibits the free 2-category with factorization system $\mathbb{C} \rightarrow \mathbb{C}^2$ on a given 2-category $\mathbb{C}$, which is the two-dimensional analogue of the Korostenski–Tholen construction. The aim of this note is to complete the picture, giving the two-dimensional analogue of Grandis construction, that is the free 2-category with proper factorization system.

For this, let us look more carefully at the two factorization systems for symmetric categorical groups discussed in [18]. In the first one, an arrow F factors through the kernel of its cokernel; in the second one it factors through the cokernel of its kernel

$$\begin{array}{ccccc}
 & & \text{Ker}(P_F) & & \\
 & E_1 \nearrow & & \searrow M_1 & \\
 \text{Ker } F & \xrightarrow{e_F} & \mathcal{A} & \xrightarrow{F} & \mathcal{B} \xrightarrow{P_F} \text{Coker } F \\
 & E_2 \searrow & & \nearrow M_2 & \\
 & & \text{Coker}(e_F) & &
 \end{array}$$

and one has that E_1 is full and essentially surjective, M_1 is faithful, E_2 is essentially surjective and M_2 is full and faithful.

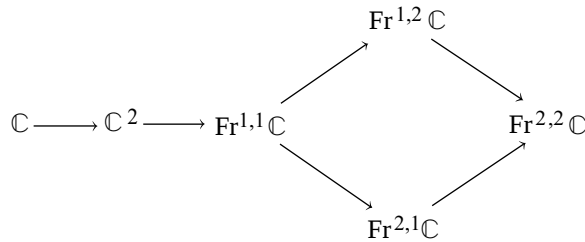
Now, for a morphism F in SCG (that is, F is a monoidal functor compatible with the symmetry), one has the following situation:

- F is faithful (respectively, full and faithful) iff for any $\mathcal{G} \in \text{SCG}$, the hom-functor $\text{SCG}(\mathcal{G}, F): \text{SCG}(\mathcal{G}, \mathcal{A}) \rightarrow \text{SCG}(\mathcal{G}, \mathcal{B})$ is faithful (respectively, full and faithful);
- F is essentially surjective (respectively, full and essentially surjective) iff for any $\mathcal{G} \in \text{SCG}$, the hom-functor $\text{SCG}(F, \mathcal{G}): \text{SCG}(\mathcal{B}, \mathcal{G}) \rightarrow \text{SCG}(\mathcal{A}, \mathcal{G})$ is faithful (respectively, full and faithful).

This situation suggests to analyze the following variants of the notion of proper factorization system in a 2-category $\mathbb{C}$: a factorization system $(\mathcal{E}, \mathcal{M})$ is

- (1,1)-proper if for any $f \in \mathcal{M}$ the hom-functors $\mathbb{C}(X, f)$ are faithful and for any $f \in \mathcal{E}$ the hom-functors $\mathbb{C}(f, X)$ are faithful (with X varying in $\mathbb{C}$);
- (2,1)-proper if it is (1,1)-proper and moreover for any $f \in \mathcal{E}$ the hom-functors $\mathbb{C}(f, X)$ are full;
- (1,2)-proper if it is (1,1)-proper and moreover for any $f \in \mathcal{M}$ the hom-functors $\mathbb{C}(X, f)$ are full;
- (2,2)-proper if it is (2,1)-proper and (1,2)-proper, i.e. if for any $f \in \mathcal{M}$ the hom-functors $\mathbb{C}(X, f)$ are fully faithful and for any $f \in \mathcal{E}$ the hom-functors $\mathbb{C}(f, X)$ are fully faithful.

For these four kinds of proper factorization systems, we give the construction of the free 2-category with proper factorization system on a given 2-category $\mathbb{C}$. The situation can be summarized in the following diagram (where $\text{Fr}^{i,j}\mathbb{C}$ is the free 2-category with (i, j) -proper factorization system)



(conditions on $\mathbb{C}$ are needed to define $\text{Fr}^{2,2}\mathbb{C}$, see Section 6).

The embedding $\mathcal{C} \rightarrow \text{Fr}\mathcal{C}$ is a step in the construction of the free regular, exact or abelian category on $\mathcal{C}$ (see [20,24]). From this point of view, the present paper is part of a program devoted to study similar notions for 2-categories, and it is intended to clarify the delicate notions of monomorphism and epimorphism in a 2-categorical setting (see also [1,3,6,9,17,25]).

The paper is organized as follows. In Section 1 we give the definition of factorization system in a 2-category as it appears in [12,21]. It is slightly different from that given in [18], but they are equivalent if the 2-cells are invertible. In Section 2 we recall, from [21], the construction of the free 2-category with factorization system. In Section 3 we fix the terminology for arrows in a 2-category. In Sections 4–6 we describe the various $\text{Fr}^{i,j}\mathbb{C}$ and we prove their universal property. Section 7 is devoted to examples and to an open problem. Finally, in Section 8, we give a glance at the relation between factorization systems in 2-categories and in categories. If $\mathbb{C}$ is a locally discrete 2-category (that is, a category), then our definition coincide with the usual definition of factorization system. But a factorization system in a 2-category $\mathbb{C}$ does not induce a factorization system (in the usual sense) neither in the underlying category of $\mathbb{C}$ nor in the homotopy category $H(\mathbb{C})$ of $\mathbb{C}$. The best we can say is that it induces in $H(\mathbb{C})$ a weak factorization system (a structure of interest especially for Quillen approach to homotopy theory, see [2,4,16,23]), and even this fact is not completely obvious to prove.

1. Factorization systems in 2-categories

To define the notion of factorization system in a 2-category, we need the orthogonality condition. A first 2-categorical version of this condition was introduced in [18] for a 2-category with invertible 2-cells. Since we work in an arbitrary 2-category, we need a stronger version, as in [12,21].

Definition 1.1. Let $\mathbb{C}$ be a 2-category and consider two arrows $f : C \rightarrow C'$ and $g : D \rightarrow D'$ in $\mathbb{C}$. We say that f is *orthogonal* to g , denoted by $f \downarrow g$, if the following diagram is a bi-pullback in $\mathbf{Cat}$:

$$\begin{array}{ccc} \mathbb{C}(C', D) & \xrightarrow{- \circ f} & \mathbb{C}(C, D) \\ g \circ - \downarrow & & \downarrow g \circ - \\ \mathbb{C}(C', D') & \xrightarrow{- \circ f} & \mathbb{C}(C, D') \end{array}$$

If $\mathcal{H}$ is a class of arrows of $\mathbb{C}$, we write $\mathcal{H}^\uparrow = \{e \mid e \downarrow h \text{ for all } h \in \mathcal{H}\}$ and $\mathcal{H}^\downarrow = \{m \mid h \downarrow m \text{ for all } h \in \mathcal{H}\}$.

To make the previous definition more explicit, we need some point of terminology.

Definition 1.2. The 2-category of arrows of $\mathbb{C}$, denoted by $\mathbb{C}^2$, is the 2-category whose objects are arrows of $\mathbb{C}$, whose 1-cells are triples (u, φ, v) , as in the following diagram, where φ is invertible,

$$\begin{array}{ccc} C & \xrightarrow{u} & D \\ f \downarrow & \varphi \nearrow & \downarrow g \\ C' & \xrightarrow{v} & D' \end{array}$$

and whose 2-cells $(u, \varphi, v) \Rightarrow (w, \psi, x) : f \rightarrow g$ are pairs (α, β) of 2-cells of $\mathbb{C}$, with $\alpha : u \Rightarrow w$ and $\beta : v \Rightarrow x$ such that

$$(g * \alpha) \circ \varphi = \psi \circ (\beta * f).$$

Definition 1.3. Let (u, φ, v) be an arrow from f to g in $\mathbb{C}^2$. A *fill-in* for (u, φ, v) is a triple (α, s, β) , as in the following diagram, with $\alpha : sf \Rightarrow u$ and $\beta : gs \Rightarrow v$ invertible and such that $g * \alpha = \varphi(\beta * f)$.

$$\begin{array}{ccc} C & \xrightarrow{f} & C' \\ u \downarrow & \alpha \leftarrow s \nearrow & \downarrow v \\ D & \xrightarrow{g} & D' \end{array}$$

$\Rightarrow \beta$

The fill-in (α, s, β) is *universal* if for any other fill-in (γ, t, δ) for (u, φ, v) , there is a unique invertible $\omega: t \Rightarrow s$ such that $\gamma = \alpha(\omega * f)$ and $\delta = \beta(g * \omega)$.

Proposition 1.4. *Let $f: C \rightarrow C'$ and $g: D \rightarrow D'$ be two arrows in a 2-category $\mathbb{C}$. Then $f \downarrow g$ if and only if the following conditions hold:*

1. *each morphism $(u, \varphi, v): f \rightarrow g$ has a universal fill-in;*
2. *for each $(u, \varphi, v), (u', \varphi', v'): f \rightarrow g$, for each $(\mu, \nu): (u, \varphi, v) \Rightarrow (u', \varphi', v')$ in $\mathbb{C}^2$, for each universal fill-in (α, s, β) and (α', s', β') respectively for (u, φ, v) and for (u', φ', v') , there is a unique $\sigma: s \Rightarrow s'$ such that*

$$\mu \circ \alpha = \alpha' \circ (\sigma * f) \quad \text{and} \quad \nu \circ \beta = \beta' \circ (g * \sigma). \quad (1)$$

The former version of the orthogonality condition, in [18], consists only of condition 1 of the previous proposition. When all 2-cells are invertible, condition 2 follows from condition 1.

The following lemma is sometimes useful to check the orthogonality condition.

Lemma 1.5. (1) *If there exists a universal fill-in for $(u, \varphi, v): f \rightarrow g$, then every fill-in for (u, φ, v) is universal.*

(2) *The following conditions are equivalent:*

- (a) $f \downarrow g$;
- (b) *the functor $\mathbb{C}(C', D) \rightarrow \mathbb{C}^2(f, g)$ which maps $d: C' \rightarrow D$ to (df, gd) is an equivalence;*
- (c) (i) *each morphism $(u, \varphi, v): f \rightarrow g$ has a fill-in;*
 (ii) *for each $(u, \varphi, v), (u', \varphi', v'): f \rightarrow g$, for each $(\mu, \nu): (u, \varphi, v) \Rightarrow (u', \varphi', v')$, for each fill-in (α, s, β) and (α', s', β') , respectively, for (u, φ, v) and for (u', φ', v') , there is a unique $\sigma: s \Rightarrow s'$ such that Eq. (1) hold.*

Proof. Just observe that $\mathbb{C}^2(f, g)$ is the bi-pullback in Cat of $- \circ f: \mathbb{C}(C', D') \rightarrow \mathbb{C}(C, D')$ and $g \circ -: \mathbb{C}(C, D) \rightarrow \mathbb{C}(C, D')$. $\square$

Here is the definition of a factorization system in $\mathbb{C}$.

Definition 1.6. A *factorization system* in a 2-category $\mathbb{C}$ is a pair $(\mathcal{E}, \mathcal{M})$ of classes of arrows in $\mathbb{C}$ such that:

1. if $m \in \mathcal{M}$ and i is an equivalence then $mi \in \mathcal{M}$, and if $e \in \mathcal{E}$ and i is an equivalence then $ie \in \mathcal{E}$;
2. $\mathcal{E}$ and $\mathcal{M}$ are stable under invertible 2-cells (i.e. if $e \in \mathcal{E}$ and $\alpha: f \Rightarrow e$ is invertible, then $f \in \mathcal{E}$, and the same property holds for $\mathcal{M}$);
3. for each arrow f in $\mathbb{C}$, there exists $e \in \mathcal{E}$, $m \in \mathcal{M}$ and an invertible 2-cell $\varphi: me \Rightarrow f$ (such a factorization φ of f is called an $(\mathcal{E}, \mathcal{M})$ -factorization of f);
4. for each $e \in \mathcal{E}$ and for each $m \in \mathcal{M}$, $e \downarrow m$.

The proof of the basic properties of factorization systems in 2-categories can be found in [18,21].

Proposition 1.7. *Let $(\mathcal{E}, \mathcal{M})$ be a factorization system in $\mathbb{C}$. The following properties hold:*

1. $\mathcal{E} \cap \mathcal{M} = \{\text{equivalences}\}$;
2. $\mathcal{E}$ and $\mathcal{M}$ are closed under composition;
3. $\mathcal{E} = \mathcal{M}^\uparrow$ and $\mathcal{M} = \mathcal{E}^\downarrow$;
4. The $(\mathcal{E}, \mathcal{M})$ -factorization of an arrow of $\mathbb{C}$ is essentially unique (i.e. if $\varphi: me \Rightarrow f$ and $\varphi': m'e' \Rightarrow f$ are two such factorizations, there exist an equivalence i and invertible 2-cells $\alpha: ie \Rightarrow e'$ and $\beta: m'i \Rightarrow m$ such that $\varphi \circ (\beta * e) = \varphi' \circ (m' * \alpha)$);
5. (Cancellation property) If $m', m \in \mathcal{M}$ and if $\theta: m'g \Rightarrow m$ is an invertible 2-cell, then $g \in \mathcal{M}$; dually, if $e', e \in \mathcal{E}$ and if $\theta: fe' \Rightarrow e$ is an invertible 2-cell, then $f \in \mathcal{E}$;
6. $\mathcal{M}$ is stable under bi-limits and $\mathcal{E}$ is stable under bi-colimits.

Remark. In Definition 1.6, conditions 1, 2 and 4 can be equivalently replaced by point 3 of Proposition 1.7.

2. Free 2-categories with factorization system

In this section, we describe the free 2-category with factorization system on a given 2-category $\mathbb{C}$

$$E_{\mathbb{C}}: \mathbb{C} \rightarrow \mathbb{C}^2.$$

In fact, $\mathbb{C}^2$ is provided with the following factorization system $(\mathcal{E}_{\mathbb{C}}, \mathcal{M}_{\mathbb{C}})$

$$\mathcal{E}_{\mathbb{C}} = \{(u, \varphi, v) \mid u \text{ is an equivalence}\},$$

$$\mathcal{M}_{\mathbb{C}} = \{(u, \varphi, v) \mid v \text{ is an equivalence}\}.$$

An arrow $(u, \varphi, v): f \rightarrow g$ in $\mathbb{C}^2$ factors as in the following diagram.

$$\begin{array}{ccccc} C & \xrightarrow{1_C} & C & \xrightarrow{u} & D \\ f \downarrow & \nearrow \varphi & \downarrow gu & & \downarrow g \\ C' & \xrightarrow{v} & D' & \xrightarrow{1_{D'}} & D' \end{array} \quad (2)$$

We write $e_{(u, \varphi, v)} = (1_C, \varphi, v)$ and $m_{(u, \varphi, v)} = (u, 1_{gu}, 1_{D'})$. The 2-functor $E_{\mathbb{C}}: \mathbb{C} \rightarrow \mathbb{C}^2$ maps an object $C \in \mathbb{C}$ to 1_C , an arrow $f \in \mathbb{C}(C, C')$ to $(f, 1_f, f)$, and a 2-cell $\alpha: f \Rightarrow g: C \rightarrow C'$ to (α, α) .

If $\mathbb{C}$ and $\mathbb{D}$ are 2-categories, we write $\text{PS}(\mathbb{C}, \mathbb{D})$ for the 2-category of pseudo-functors from $\mathbb{C}$ to $\mathbb{D}$, pseudo-natural transformations and modifications. If $\mathbb{C}$ and $\mathbb{D}$ are 2-categories with factorization system, $\text{PS}_{\text{fs}}(\mathbb{C}, \mathbb{D})$ is the 2-category of pseudo-functors preserving the factorization system (i.e. $F(\mathcal{E}) \subseteq \mathcal{E}$ and $F(\mathcal{M}) \subseteq \mathcal{M}$), pseudo-natural transformations and modifications. Here is the universal property of $E_{\mathbb{C}}: \mathbb{C} \rightarrow \mathbb{C}^2$.

Proposition 2.1. For each 2-category $\mathbb{C}$ and for each 2-category $(\mathbb{D}, (\mathcal{E}, \mathcal{M}))$ with factorization system, the 2-functor

$$- \circ E_{\mathbb{C}} : \text{PS}_{\text{fs}}(\mathbb{C}^2, \mathbb{D}) \rightarrow \text{PS}(\mathbb{C}, \mathbb{D})$$

is a biequivalence.

Proof. A proof can be found in [21]. For reader's convenience, we recall how to construct, from an arbitrary pseudo-functor $G : \mathbb{C} \rightarrow \mathbb{D}$, a pseudo-functor $F : \mathbb{C}^2 \rightarrow \mathbb{D}$ preserving the factorization system and such that $FE_{\mathbb{C}} \cong G$.

Observe that, given an object $f : C \rightarrow C'$ in $\mathbb{C}^2$, we get a commutative diagram

$$\begin{array}{ccccc} C & \xrightarrow{1_C} & C & \xrightarrow{f} & C' \\ \downarrow 1_C & & \downarrow f & & \downarrow 1_{C'} \\ C & \xrightarrow{f} & C' & \xrightarrow{1_{C'}} & C' \end{array}$$

where the square on the left is an arrow in $\mathcal{E}_{\mathbb{C}}$ and the square on the right is an arrow in $\mathcal{M}_{\mathbb{C}}$. This means that f is the image of $E_{\mathbb{C}}(f)$ in $\mathbb{C}^2$. Now, if we want F to preserve the factorization system and if we want an equivalence $FE_{\mathbb{C}} \cong G$, we have to define $F(f)$ as the image of $G(f)$ in $\mathbb{D}$. The definition of F on 1-cells and on 2-cells follows now from the orthogonality condition. $\square$

3. Arrows in a 2-category

We introduce now a terminology to name various kinds of arrows in a 2-category. Our terminology will be justified by the examples $\mathbb{C} = \text{Cat}$ and SCG discussed in Section 7.

Definition 3.1. Let $\mathbb{C}$ be a 2-category and $f : C \rightarrow C'$, an arrow in $\mathbb{C}$.

1. We say that f is *faithful* if for each $X \in \mathbb{C}$, the functor $f \circ - : \mathbb{C}(X, C) \rightarrow \mathbb{C}(X, C')$ is faithful.
2. We say that f is *fully faithful* if for each $X \in \mathbb{C}$, the functor $f \circ - : \mathbb{C}(X, C) \rightarrow \mathbb{C}(X, C')$ is fully faithful.
3. We say that f is *cofaithful* if for each $Y \in \mathbb{C}$, the functor $- \circ f : \mathbb{C}(C', Y) \rightarrow \mathbb{C}(C, Y)$ is faithful.
4. We say that f is *fully cofaithful* if for each $Y \in \mathbb{C}$, the functor $- \circ f : \mathbb{C}(C', Y) \rightarrow \mathbb{C}(C, Y)$ is fully faithful.

This terminology for arrows generates a terminology for factorization systems, which generalizes the term “proper factorization system” used for usual categories.

Definition 3.2. Let $(\mathcal{E}, \mathcal{M})$ be a factorization system on a 2-category $\mathbb{C}$.

1. We say that $(\mathcal{E}, \mathcal{M})$ is *(1,1)-proper* if each $e \in \mathcal{E}$ is cofaithful and each $m \in \mathcal{M}$ is faithful.

2. We say that $(\mathcal{E}, \mathcal{M})$ is $(2,1)$ -proper if each $e \in \mathcal{E}$ is fully cofaithful and each $m \in \mathcal{M}$ is faithful.
3. We say that $(\mathcal{E}, \mathcal{M})$ is $(1,2)$ -proper if each $e \in \mathcal{E}$ is cofaithful and each $m \in \mathcal{M}$ is fully faithful.
4. We say that $(\mathcal{E}, \mathcal{M})$ is $(2,2)$ -proper if each $e \in \mathcal{E}$ is fully cofaithful and each $m \in \mathcal{M}$ is fully faithful.

Remark. If $\mathbb{C}$ is locally discrete, then any factorization system $(\mathcal{E}, \mathcal{M})$ on $\mathbb{C}$ is $(1,1)$ -proper. It is $(2,1)$ -proper exactly when $\mathcal{E}$ is contained in the class of epimorphisms, and $(1,2)$ -proper when $\mathcal{M}$ is contained in the class of monomorphisms. Finally, $(\mathcal{E}, \mathcal{M})$ is $(2,2)$ -proper exactly when it is proper in the usual sense.

In the sequel, we will construct the free 2-category with a (i,j) -proper (for $i = 1, 2$ and $j = 1, 2$) factorization system on a given 2-category.

4. $(1,1)$ -proper factorization systems

In this section, we describe the free 2-category with $(1,1)$ -proper factorization system on a given 2-category $\mathbb{C}$

$$E_{\mathbb{C}}^{1,1}: \mathbb{C} \rightarrow \text{Fr}^{1,1}\mathbb{C}.$$

Definition 4.1. Let $\mathbb{C}$ be a 2-category. The 2-category $\text{Fr}^{1,1}\mathbb{C}$ has the same objects and arrows as $\mathbb{C}^2$, but a 2-cell between two arrows (u, φ, v) and $(w, \psi, x): f \rightarrow g$ is an equivalence class of 2-cells of $\mathbb{C}^2$ between the same arrows, for the equivalence relation

$$\begin{aligned} (\alpha, \beta) \sim (\alpha', \beta') \quad & \text{iff } g * \alpha = g * \alpha' \\ & \text{iff } \beta * f = \beta' * f. \end{aligned}$$

We write $[\alpha, \beta]$ for the equivalence class of (α, β) . The composition of 2-cells is the same as in $\mathbb{C}^2$, modulo $\sim$: $[\alpha', \beta'] \circ [\alpha, \beta] = [\alpha' \circ \alpha, \beta' \circ \beta]$ and $[\gamma, \delta] * [\alpha, \beta] = [\gamma * \alpha, \delta * \beta]$.

The 2-category $\text{Fr}^{1,1}\mathbb{C}$ is equipped with a factorization system $(\mathcal{E}_{\mathbb{C}}^{1,1}, \mathcal{M}_{\mathbb{C}}^{1,1})$ which factorizes an arrow (u, φ, v) as in $\mathbb{C}^2$, diagram (2). Following the notations of (2):

$$\mathcal{E}_{\mathbb{C}}^{1,1} = \{(u, \varphi, v) \mid m_{(u, \varphi, v)} \text{ is an equivalence in } \text{Fr}^{1,1}\mathbb{C}\},$$

$$\mathcal{M}_{\mathbb{C}}^{1,1} = \{(u, \varphi, v) \mid e_{(u, \varphi, v)} \text{ is an equivalence in } \text{Fr}^{1,1}\mathbb{C}\}.$$

Proposition 4.2. The factorization system $(\mathcal{E}_{\mathbb{C}}^{1,1}, \mathcal{M}_{\mathbb{C}}^{1,1})$ in $\text{Fr}^{1,1}\mathbb{C}$ is $(1,1)$ -proper.

Proof. We have to prove that, if $(u, \varphi, v): f \rightarrow g$ is an arrow in $\text{Fr}^{1,1}\mathbb{C}$, then $e_{(u, \varphi, v)}$ is cofaithful and $m_{(u, \varphi, v)}$ is faithful.

Let h be an object of $\text{Fr}^{1,1}\mathbb{C}$. Let $[\alpha, \beta], [\alpha', \beta']: (w, \psi, x) \Rightarrow (w', \psi', x'): gu \rightarrow h$ be 2-cells of $\text{Fr}^{1,1}\mathbb{C}$ such that

$$[\alpha, \beta] * e_{(u, \varphi, v)} = [\alpha', \beta'] * e_{(u, \varphi, v)}.$$

Since $e_{(u,\varphi,v)} = (1_C, \varphi, v) : f \rightarrow gu$ (cf. diagram 2), this equation becomes

$$[\alpha, \beta * v] = [\alpha', \beta' * v],$$

which, by definition of $\text{Fr}^{1,1}\mathbb{C}$, is equivalent to

$$h * \alpha = h * \alpha'. \quad (3)$$

This implies that $[\alpha, \beta] = [\alpha', \beta']$, since this last equation is also equivalent, by definition of $\text{Fr}^{1,1}\mathbb{C}$, to Eq. (3). So $e_{(u,\varphi,v)}$ is cofaithful. The proof that $m_{(u,\varphi,v)}$ is faithful is similar. $\square$

Consider the quotient 2-functor $P_{\mathbb{C}}^{1,1} : \mathbb{C}^2 \rightarrow \text{Fr}^{1,1}\mathbb{C}$, which is the identity on objects and arrows and maps a 2-cell (α, β) to its equivalence class $[\alpha, \beta]$. We can define the 2-functor $E_{\mathbb{C}}^{1,1} = P_{\mathbb{C}}^{1,2} \circ E_{\mathbb{C}} : \mathbb{C} \rightarrow \mathbb{C}^2 \rightarrow \text{Fr}^{1,1}\mathbb{C}$. Its universal property is stated in the following proposition.

Proposition 4.3. *For any 2-category $\mathbb{C}$ and for any 2-category $(\mathbb{D}, (\mathcal{E}, \mathcal{M}))$ with (1,1)-proper factorization system, the 2-functor*

$$- \circ E_{\mathbb{C}}^{1,1} : \text{PS}_{\text{fs}}(\text{Fr}^{1,1}\mathbb{C}, \mathbb{D}) \rightarrow \text{PS}(\mathbb{C}, \mathbb{D})$$

is a biequivalence.

Proof. Since $E_{\mathbb{C}}^{1,1} = P_{\mathbb{C}}^{1,1} \circ E_{\mathbb{C}}$ and since Proposition 2.1 tells us that $- \circ E_{\mathbb{C}}$ is a biequivalence, it remains to prove that

$$- \circ P_{\mathbb{C}}^{1,1} : \text{PS}_{\text{fs}}(\text{Fr}^{1,1}\mathbb{C}, \mathbb{D}) \rightarrow \text{PS}_{\text{fs}}(\mathbb{C}^2, \mathbb{D})$$

is a biequivalence (it is well defined because $P_{\mathbb{C}}^{1,1}$ preserves the factorization system).

It is straightforward to prove that $- \circ P_{\mathbb{C}}^{1,1}$ is locally an equivalence. As far as its surjectivity up to equivalence is concerned, let $G : \mathbb{C}^2 \rightarrow \mathbb{D}$ be a pseudo-functor preserving the factorization system. We have to find a pseudo-functor $F : \text{Fr}^{1,1}\mathbb{C} \rightarrow \mathbb{D}$ preserving the factorization system, such that $FP_{\mathbb{C}}^{1,1}$ is equivalent to G .

On objects and arrows, we take $F = G$. If $[\alpha, \beta] : (u, \varphi, v) \Rightarrow (w, \psi, x)$ is a 2-cell in $\text{Fr}^{1,1}\mathbb{C}$, we take $F([\alpha, \beta]) = G(\alpha, \beta)$. Then $FP_{\mathbb{C}}^{1,1} = G$ and it remains to prove that F is well defined, i.e. if $[\alpha, \beta] = [\gamma, \delta] : (u, \varphi, v) \Rightarrow (w, \psi, x) : f \rightarrow g$, then $G(\alpha, \beta) = G(\gamma, \delta)$.

By definition of $\text{Fr}^{1,1}\mathbb{C}$, $g * \alpha = g * \gamma$ and $\beta * f = \delta * f$. So

$$G(g * \alpha, \beta * f) = G(g * \gamma, \delta * f). \quad (4)$$

But, up to invertible 2-cells, Eq. (4) becomes

$$G(g, 1_g, 1_{D'}) * G(\alpha, \beta) * G(1_C, 1_f, f) = G(g, 1_g, 1_{D'}) * G(\gamma, \delta) * G(1_C, 1_f, f). \quad (5)$$

Since $(g, 1_g, 1_{D'}) \in \mathcal{M}_{\mathbb{C}}$ and G preserves the factorization system, $G(g, 1_g, 1_{D'}) \in \mathcal{M}$. Since $(\mathcal{E}, \mathcal{M})$ is (1,1)-proper, $G(g, 1_g, 1_{D'})$ is faithful. Thus Eq. (5) is equivalent to

$$G(\alpha, \beta) * G(1_C, 1_f, f) = G(\gamma, \delta) * G(1_C, 1_f, f).$$

Similarly, $G(1_C, 1_f, f)$ is cofaithful, and we can conclude that $G(\alpha, \beta) = G(\gamma, \delta)$. $\square$

5. (2,1)-proper and (1,2)-proper factorization systems

In this section, we describe the free 2-category with (2,1)-proper factorization system on a given 2-category $\mathbb{C}$

$$E_{\mathbb{C}}^{2,1} : \mathbb{C} \rightarrow \text{Fr}^{2,1}\mathbb{C}.$$

Definition 5.1. Let $\mathbb{C}$ be a 2-category. The 2-category $\text{Fr}^{2,1}\mathbb{C}$ has the same objects and arrows as $\mathbb{C}^2$, but a 2-cell between (u, φ, v) and $(w, \psi, x) : f \rightarrow g$ is an equivalence class of 2-cells $\alpha : u \Rightarrow w$ for the equivalence relation

$$\alpha \sim \alpha' \quad \text{iff} \quad g * \alpha = g * \alpha'.$$

Let $[\alpha]$ stand for the class of α . The compositions of 2-cells are easily defined: $[\alpha'] \circ [\alpha] = [\alpha' \circ \alpha]$ and $[\gamma] * [\alpha] = [\gamma * \alpha]$.

The 2-category $\text{Fr}^{2,1}\mathbb{C}$ is equipped with a factorization system $(\mathcal{E}_{\mathbb{C}}^{2,1}, \mathcal{M}_{\mathbb{C}}^{2,1})$, which factorizes the arrows as in diagram (2).

Proposition 5.2. The factorization system $(\mathcal{E}_{\mathbb{C}}^{2,1}, \mathcal{M}_{\mathbb{C}}^{2,1})$ in the 2-category $\text{Fr}^{2,1}\mathbb{C}$ is (2,1)-proper.

The 2-functor $P_{\mathbb{C}}^{2,1} : \mathbb{C}^2 \rightarrow \text{Fr}^{2,1}\mathbb{C}$ maps (α, β) to $[\alpha]$. We define $E_{\mathbb{C}}^{2,1} = P_{\mathbb{C}}^{2,1} \circ E_{\mathbb{C}} : \mathbb{C} \rightarrow \text{Fr}^{2,1}\mathbb{C}$.

Proposition 5.3. For any 2-category $\mathbb{C}$ and for any 2-category with a (2,1)-proper factorization system $(\mathbb{D}, (\mathcal{E}, \mathcal{M}))$, the 2-functor

$$- \circ E_{\mathbb{C}}^{2,1} : \text{PS}_{\text{fs}}(\text{Fr}^{2,1}\mathbb{C}, \mathbb{D}) \rightarrow \text{PS}(\mathbb{C}, \mathbb{D})$$

is a biequivalence.

Proof. As for Proposition 4.3, we have to prove that

$$- \circ P_{\mathbb{C}}^{2,1} : \text{PS}_{\text{fs}}(\text{Fr}^{2,1}\mathbb{C}, \mathbb{D}) \rightarrow \text{PS}_{\text{fs}}(\mathbb{C}^2, \mathbb{D})$$

is a biequivalence. The interesting part is, given a pseudo-functor $G : \mathbb{C}^2 \rightarrow \mathbb{D}$ which preserves the factorization system, to construct a pseudo-functor $F : \text{Fr}^{2,1}\mathbb{C} \rightarrow \mathbb{D}$ which preserves the factorization system and such that $FP_{\mathbb{C}}^{2,1} \cong G$.

We take $F=G$ on objects and arrows of $\text{Fr}^{2,1}\mathbb{C}$. Consider now a 2-cell $[\alpha] : (u, \varphi, v) \Rightarrow (w, \psi, x) : f \rightarrow g$ in $\text{Fr}^{2,1}\mathbb{C}$. Define $v = \psi^{-1}(g * \alpha)\varphi : v f \Rightarrow x f$ (it is well defined because we only use $g * \alpha$.) We get now a 2-cell ξ in the following way:

$$\begin{array}{ccc} G(u, \varphi, v) \circ G(1_C, 1_f, f) & \xrightarrow{\cong} & G(u, \varphi, v f) \\ \xi \downarrow & & \downarrow G(z, v) \\ G(w, \psi, x) \circ G(1_C, 1_f, f) & \xleftarrow{\cong} & G(w, \psi, x f) \end{array}$$

Since $(1_C, 1_f, f) \in \mathcal{E}_{\mathbb{C}}$ and G preserves the factorization system, $G(1_C, 1_f, f) \in \mathcal{E}$. Since $(\mathcal{E}, \mathcal{M})$ is (2,1)-proper, $G(1_C, 1_f, f)$ is fully cofaithful. This implies that there is a

unique 2-cell $F([\alpha]): G(u, \varphi, v) \Rightarrow G(w, \psi, x)$ such that

$$F([\alpha]) * G(1_C, 1_f, f) = \xi. \quad (6)$$

The argument to prove that F is well defined is similar to that in the proof of Proposition 4.3.

Finally, if $(\alpha, \beta): (u, \varphi, v) \Rightarrow (w, \psi, x): f \rightarrow g$ is a 2-cell in $\mathbb{C}^2$, then $F([\alpha]) = G(\alpha, \beta)$. For this, it is enough to check Eq. (6) for $G(\alpha, \beta)$. This follows from the fact that $v = \beta * f$. $\square$

We can do exactly the same with (1,2)-proper factorization systems, and we get the free 2-category $E_{\mathbb{C}}^{1,2}: \mathbb{C} \rightarrow \text{Fr}^{1,2}\mathbb{C}$. The difference is that, if $\mathbb{C}$ is a 2-category, the 2-cells of the 2-category $\text{Fr}^{1,2}\mathbb{C}$ from (u, φ, v) to $(w, \psi, x): f \rightarrow g$ are the equivalence classes of 2-cells $\beta: v \Rightarrow x$ for the equivalence relation $\beta \sim \beta'$ iff $\beta * f = \beta' * f$.

6. (2,2)-proper factorization systems

The construction of $\text{Fr}^{2,2}\mathbb{C}$, the free 2-category with a (2,2)-proper factorization system on a given 2-category $\mathbb{C}$, can be done if and only if the 2-category $\mathbb{C}$ is pre-full, in the sense of the following definition.

Definition 6.1. Let $\mathbb{C}$ be a 2-category, and let $f: C \rightarrow C'$ be an arrow in $\mathbb{C}$. We say that f is *pre-full* if for each $g, g': X \rightarrow C$, for each $h, h': C' \rightarrow Y$, for each $\alpha: fg \Rightarrow fg'$ and for each $\beta: hf \Rightarrow h'f$, one has

$$\begin{array}{ccccc} X & \xrightarrow{g} & C & \xrightarrow{f} & C' \\ g' \downarrow & & \Downarrow \alpha & & \downarrow f \\ C & \xrightarrow{f} & C' & \xrightarrow{h'} & Y \end{array} \quad \begin{array}{ccccc} C & \xrightarrow{f} & C' & \xrightarrow{h} & Y \\ g \uparrow & & \Downarrow \alpha & & \downarrow f \\ X & \xrightarrow{g'} & C & \xrightarrow{f} & C' \end{array} \quad \begin{array}{ccccc} & & \Downarrow \beta & & \downarrow h \end{array} \quad (7)$$

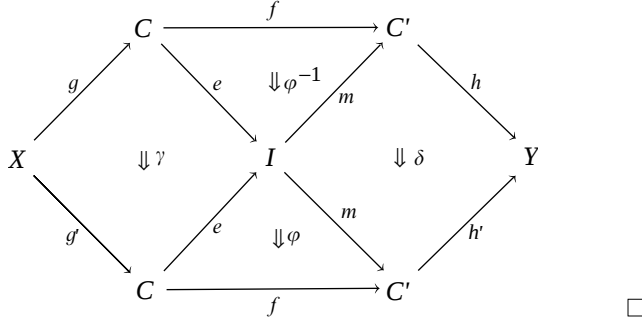
We say that $\mathbb{C}$ is *pre-full* if each arrow in $\mathbb{C}$ is pre-full.

The fact that any 2-category with a (2,2)-proper factorization system is pre-full follows immediately from the next lemma.

Lemma 6.2. Let $f: C \rightarrow C'$ be an arrow in a 2-category $\mathbb{C}$ and consider an invertible 2-cell $\varphi: me \Rightarrow f$. If e and m are such that $- \circ e$ and $m \circ -$ are full functors, then f is pre-full.

Proof. Let us consider the situation of Definition 6.1. Let $\beta' = (h' * \varphi^{-1})\beta(h * \varphi): hme \Rightarrow h'me$. Since $- \circ e$ is full, there exists $\delta: hm \Rightarrow h'm$ such that $\delta * e = \beta'$. In the same way, if $\alpha' = (\varphi^{-1} * g')\alpha(\varphi * g): meg \Rightarrow meg'$, there exists $\gamma: eg \Rightarrow eg'$ such that $m * \gamma = \alpha'$,

since $m \circ -$ is full. Then the 2 members of (7) are equal to the 2-cell

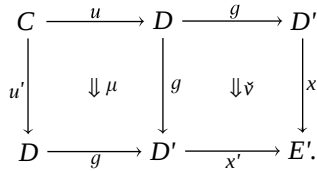


Let us explain now the reason why we can define $\text{Fr}^{2,2}\mathbb{C}$ if and only if $\mathbb{C}$ is pre-full. We will define a 2-functor $E_{\mathbb{C}}^{2,2}: \mathbb{C} \rightarrow \text{Fr}^{2,2}\mathbb{C}$ which is locally faithful. It is easy to see that this fact, together with the pre-fullness of $\text{Fr}^{2,2}\mathbb{C}$ (which comes from its (2,2)-proper factorization system), implies that $\mathbb{C}$ is pre-full.

We arrive at the definition of $\text{Fr}^{2,2}\mathbb{C}$.

Definition 6.3. Let $\mathbb{C}$ be a pre-full 2-category. The 2-category $\text{Fr}^{2,2}\mathbb{C}$ has the same objects and arrows as $\mathbb{C}^2$, but a 2-cell from (u, φ, v) to $(w, \psi, x): f \rightarrow g$ is a 2-cell $\mu: gu \Rightarrow gw$. This is equivalent to give a 2-cell $\tilde{\mu}: vf \Rightarrow xf$ related to μ by the equation $\tilde{\mu} = \psi^{-1}\mu\varphi$. The vertical composition of $\mu: (u, \varphi, v) \Rightarrow (u', \varphi', v')$ (i.e. $\mu: gu \Rightarrow gu'$) and $\mu': (u', \varphi', v') \Rightarrow (u'', \varphi'', v'')$ (i.e. $\mu': gu' \Rightarrow gu''$) is simply $\mu' \circ \mu: gu \Rightarrow gu''$.

The horizontal composition is more problematic. Let $\mu: (u, \varphi, v) \Rightarrow (u', \varphi', v'): f \rightarrow g$ and $\nu: (w, \psi, x) \Rightarrow (w', \psi', x'): g \rightarrow h$. We define $\nu * \mu = (\psi' * u') \circ \tau_{\mu, \nu} \circ (\psi^{-1} * u): h w u \Rightarrow h w' u'$, where $\tau_{\mu, \nu}$ is given by the following pasting:



One can check now that $\text{Fr}^{2,2}\mathbb{C}$ is a 2-category: the pre-fullness of $\mathbb{C}$ is needed to prove the interchange law.

The 2-category $\text{Fr}^{2,2}\mathbb{C}$ is equipped with a factorization system $(\mathcal{E}_{\mathbb{C}}^{2,2}, \mathcal{M}_{\mathbb{C}}^{2,2})$, which factorizes the arrows as in diagram (2).

Proposition 6.4. The factorization system $(\mathcal{E}_{\mathbb{C}}^{2,2}, \mathcal{M}_{\mathbb{C}}^{2,2})$ in the 2-category $\text{Fr}^{2,2}\mathbb{C}$ is (2,2)-proper.

As in the previous sections, there is a 2-functor $P_{\mathbb{C}}^{2,2}: \mathbb{C}^2 \rightarrow \text{Fr}^{2,2}\mathbb{C}$ which is the identity on objects and 1-cells and maps $(\alpha, \beta): (u, \varphi, v) \Rightarrow (u', \varphi', v'): f \rightarrow g$ to $g * \alpha$.

We define the 2-functor

$$E_{\mathbb{C}}^{2,2} : \mathbb{C} \rightarrow \text{Fr}^{2,2}\mathbb{C}$$

as the composite $P_{\mathbb{C}}^{2,2} \circ E_{\mathbb{C}}$. The next statement, which gives the universal property of $E_{\mathbb{C}}^{2,2}$, makes sense because a 2-category with a (2,2)-proper factorization system is pre-full.

Proposition 6.5. *For each pre-full 2-category $\mathbb{C}$ and for each 2-category with (2,2)-proper factorization system $(\mathbb{D}, (\mathcal{E}, \mathcal{M}))$, the 2-functor*

$$- \circ E_{\mathbb{C}}^{2,2} : \text{PS}_{\text{fs}}(\mathbb{C}^2, \mathbb{D}) \rightarrow \text{PS}(\mathbb{C}, \mathbb{D})$$

is a biequivalence.

Proof. Similar to that of Proposition 5.3. $\square$

Remark. If the 2-category $\mathbb{C}$ is locally discrete, then it is pre-full and $\text{Fr}^{2,2}\mathbb{C} = \text{Fr}\mathbb{C}$ is the free category with proper factorization system studied in [15].

7. Examples and an open problem

7.1. Symmetric categorical groups

In [18], two examples of factorization systems are described in the 2-category SCG of symmetric categorical groups, monoidal functors preserving the symmetry and monoidal natural transformations. Let us set some notation. If $F : \mathcal{G} \rightarrow \mathcal{H}$ is a morphism in SCG, we write

$$\begin{array}{ccccc} & & 0 & & \\ & & \downarrow \pi & & \\ \text{Ker } F & \xrightarrow{e} & \mathcal{G} & \xrightarrow{F} & \mathcal{H} \xrightarrow{p} \text{Coker } F \\ & & \downarrow \varepsilon & & \\ & & 0 & & \end{array}$$

for its kernel and its cokernel; we refer to [18] for their universal properties as bi-limits. If $\mathcal{G}$ is a symmetric cat-group, we write $\pi_0(\mathcal{G})$ for the abelian group of its connected components, and $\pi_1(\mathcal{G})$ for the abelian group $\mathcal{G}(I, I)$, where I is the unit object. If G is an abelian group, we write $D(G)$ for the discrete symmetric cat-group on G , and $G!$ for the symmetric cat-group with a unique object I , and such that $G!(I, I) = G$. These constructions have obvious extensions to morphisms.

In [18], it is proved that, by taking the kernel of the cokernel of an arrow in SCG, we get a factorization system $(\mathcal{E}_1, \mathcal{M}_1)$, where $\mathcal{E}_1$ is the class of full and essentially surjective functors, whereas $\mathcal{M}_1$ is the class of faithful functors. The second factorization system $(\mathcal{E}_2, \mathcal{M}_2)$ on SCG is obtained by taking the cokernel of the kernel of

an arrow. In this case $\mathcal{E}_2$ is the class of essentially surjective functors and $\mathcal{M}_2$ is the class of fully faithful functors.

Proposition 7.1. *Let $F: \mathcal{G} \rightarrow \mathcal{H}$ be an arrow in SCG.*

1. *F is faithful as an arrow in SCG if and only if F is faithful as a functor.*
2. *F is fully faithful as an arrow in SCG if and only if F is fully faithful as a functor.*
3. *F is cofaithful if and only if F is essentially surjective.*
4. *F is fully cofaithful if and only if F is full and essentially surjective.*

Proof. Only the necessary condition of 3 was not established in [18]. To prove this condition, let us recall that a functor F in SCG is essentially surjective if and only if $\pi_0 F$ is surjective.

Consider a cofaithful arrow $F: \mathcal{G} \rightarrow \mathcal{H}$ in SCG. We have to prove that $\pi_0(F)$ is an epimorphism in the category Ab of abelian groups, i.e. for any $G \in \text{Ab}$ the mapping

$$-\circ \pi_0(F): \text{Ab}(\pi_0(\mathcal{H}), G) \rightarrow \text{Ab}(\pi_0(\mathcal{G}), G)$$

is surjective. Let us consider the one-object symmetric cat-group $G!$. There is a bijection

$$\varphi_{\mathcal{H}}: \text{SCG}(\mathcal{H}, G!)(0, 0) \rightarrow \text{Ab}(\pi_0(\mathcal{H}), G)$$

which maps a monoidal natural transformation $\alpha: 0 \Rightarrow 0$ onto the group homomorphism $\varphi_{\mathcal{H}}(\alpha): \pi_0(\mathcal{H}) \rightarrow G: [X] \mapsto \alpha_X$. This map is well defined because α is natural, and it is a group homomorphism because α is monoidal. The inverse of $\varphi_{\mathcal{H}}$ maps a morphism $f: \pi_0(\mathcal{H}) \rightarrow G$ onto the natural transformation $\varphi_{\mathcal{H}}^{-1}(f)$ such that $(\varphi_{\mathcal{H}}^{-1}(f))_X = f([X])$. In the same way, there is a bijection $\varphi_{\mathcal{G}}: \text{SCG}(\mathcal{G}, G!)(0, 0) \rightarrow \text{Ab}(\pi_0(\mathcal{G}), G)$. The announced result is immediate from the commutativity of the following diagram:

$$\begin{array}{ccc} \text{SCG}(\mathcal{H}, G!)(0, 0) & \xrightarrow{-\circ F} & \text{SCG}(\mathcal{G}, G!)(0, 0) \\ \varphi_{\mathcal{H}} \downarrow & & \downarrow \varphi_{\mathcal{G}} \\ \text{Ab}(\pi_0(\mathcal{H}), G) & \xrightarrow{-\circ \pi_0(F)} & \text{Ab}(\pi_0(\mathcal{G}), G) \end{array}$$

Indeed, the cofaithfulness of F implies that the top arrow is injective. Since the vertical arrows are bijective, this implies that the bottom arrow is injective. $\square$

As a consequence, we have

1. $(\mathcal{E}_1, \mathcal{M}_1)$ is a (2,1)-proper factorization system;
2. $(\mathcal{E}_2, \mathcal{M}_2)$ is a (1,2)-proper factorization system;
3. let SCG^f be the sub-2-category of SCG whose arrows are the full functors; it is pre-full. Moreover, in SCG^f the systems $(\mathcal{E}_1, \mathcal{M}_1)$ and $(\mathcal{E}_2, \mathcal{M}_2)$ coincide and are (2,2)-proper.

From [18], we know that a morphism $F: \mathcal{G} \rightarrow \mathcal{H}$ in SCG is essentially surjective iff it is the cokernel of its kernel $e: \text{Ker } F \rightarrow \mathcal{G}$. Moreover, there is a canonical morphism $c: \pi_1(\text{Ker } F)! \rightarrow \text{Ker } F$, and F is full and essentially surjective iff it is the

cokernel of the composite $e \circ c$. Therefore, we obtain the first factorization system taking the cokernel of $e \circ c$. Dually, F is faithful iff it is the kernel of its cokernel $p: \mathcal{H} \rightarrow \text{Coker } F$. There is a canonical arrow $d: \text{Coker } F \rightarrow D(\pi_0(\text{Coker } F))$, and F is full and faithful iff it is the kernel of the composite $d \circ p$. Therefore, the second system can be obtained by taking the kernel of $d \circ p$.

We want now to describe the systems $(\mathcal{E}_1, \mathcal{M}_1)$ and $(\mathcal{E}_2, \mathcal{M}_2)$ using a different kind of bi-limits. We define the bi-limits we need in an arbitrary pointed 2-category.

Definition 7.2. Let $\mathbb{C}$ be a 2-category with a zero object 0 (that is, for any object $C \in \mathbb{C}$, the categories $\mathbb{C}(C, 0)$ and $\mathbb{C}(0, C)$ are equivalent to the one-arrow category).

1. Consider an arrow $f: C \rightarrow C'$ in $\mathbb{C}$. The *pip* of f is given by an object $\text{Pip } f$ and a 2-cell σ as in the following diagram,

$$\begin{array}{ccc} \text{Pip } f & \begin{array}{c} \xrightarrow{0} \\ \Downarrow \sigma \\ \xrightarrow{0} \end{array} & C \xrightarrow{f} C' \end{array}$$

such that $f * \sigma = f0$, and such that for any other

$$\begin{array}{ccc} X & \begin{array}{c} \xrightarrow{0} \\ \Downarrow \chi \\ \xrightarrow{0} \end{array} & C \xrightarrow{f} C' \end{array}$$

with $f * \chi = f0$, there is an arrow $t: X \rightarrow \text{Pip } f$, unique up to a unique invertible 2-cell, such that $\sigma * t = \chi$.

2. Consider a 2-cell

$$\begin{array}{ccc} & \begin{array}{c} \xrightarrow{0} \\ \Downarrow \alpha \\ \xrightarrow{0} \end{array} & \\ C & & C' \end{array}$$

in $\mathbb{C}$. The *root* of α is an object $\text{Root } \alpha$ and an arrow $r: \text{Root } \alpha \rightarrow C$ such that $\alpha * r = 0r$, and such that for any other $x: X \rightarrow C$ with $\alpha * x = 0x$, there is $x': X \rightarrow \text{Root } \alpha$ and an invertible 2-cell $\varphi: rx' \Rightarrow x$, the pair (x', φ) being unique up to a unique invertible 2-cell. (The root is a special case of *identifier*.)

3. The *copip* of f and the *coroot* of α are defined by the dual universal property.

We need an explicit description for the pip and the copip of a morphism in SCG. Let $F: \mathcal{G} \rightarrow \mathcal{H}$ be an arrow in SCG.

1. The pip of F is given by $\text{Pip } F = D(\text{Ker } \pi_1(F))$ together with the monoidal natural transformation $\sigma: 0 \Rightarrow 0: \text{Pip } F \rightarrow \mathcal{G}$ whose component at $\lambda \in \text{Pip } F$ is λ .
2. The copip of F is given by $\text{Copip } F = (\text{Coker } \pi_0(F))!$ and by $\varrho: 0 \Rightarrow 0: \mathcal{H} \rightarrow \text{Copip } F$, whose component at $X \in \mathcal{H}$ is $\varrho_X = [X]$, the equivalence class of X in $\text{Coker } \pi_0(F)$, that is the isomorphism class of X in $\text{Coker } F$.

Proposition 7.3. Let $F: \mathcal{G} \rightarrow \mathcal{H}$ be a morphism in SCG.

1. If F is fully cofaithful, then F is the coroot of its pip.
2. If F is fully faithful, then F is the root of its copip.

Lemma 7.4. Let $\mathbb{C}$ be a pointed 2-category with pips and copips. Let $f: C \rightarrow C'$ be an arrow in $\mathbb{C}$.

1. If $h: C' \rightarrow Y$ is a faithful arrow, then $\text{Pip } f = \text{Pip } hf$.
2. If $g: X \rightarrow C$ is a cofaithful arrow, then $\text{Copip } f = \text{Copip } fg$.

Proposition 7.5. 1. By taking the coroot of the pip of an arrow, we get the factorization system $(\mathcal{E}_1, \mathcal{M}_1)$.

2. By taking the root of the copip of an arrow, we get the factorization system $(\mathcal{E}_2, \mathcal{M}_2)$.

Proof. Let $F: \mathcal{G} \rightarrow \mathcal{H}$ be a morphism of symmetric cat-groups. Let $M_F \circ E_F$ be the $(\mathcal{E}_1, \mathcal{M}_1)$ -factorization of F . Since E_F is fully cofaithful, E_F is the coroot of its pip, by Proposition 7.3. By Lemma 7.4, it is also the coroot of the pip of $F \cong M_F \circ E_F$, since M_F is faithful. So taking the coroot of the pip of F gives exactly its $(\mathcal{E}_1, \mathcal{M}_1)$ -factorization. The proof of part 2 is similar. $\square$

7.2. Categories

We discuss now some example in Cat, the 2-category of categories. Let us start with a point of terminology.

Definition 7.6. Let $F: \mathcal{C} \rightarrow \mathcal{D}$ be a functor.

1. F is *nearly surjective* (see [20]) if any $D \in \mathcal{D}$ is a retract of FC for some $C \in \mathcal{C}$.
2. F is *retract-stable* if for any $D \in \mathcal{D}$ which is a retract of FC for some $C \in \mathcal{C}$, there exists $C' \in \mathcal{C}$ such that $FC' \cong D$.

Clearly, a functor is essentially surjective on objects if and only if it is nearly surjective and retract-stable.

Example 7.7. The inclusion functor of a reflective subcategory is fully faithful and retract-stable.

Proposition 7.8. Let $F: \mathcal{C} \rightarrow \mathcal{D}$ be a functor.

1. F is faithful in the sense of Definition 3.1 if and only if F is faithful in the usual sense.
2. F is fully faithful in the sense of Definition 3.1 if and only if F is fully faithful in the usual sense.
3. F is fully faithful and each $F \circ -$ is retract-stable if and only if F fully faithful and retract-stable
4. F is cofaithful if and only if F is nearly surjective.

5. If F is full and nearly surjective, F is fully cofaithful.
6. If F is full and essentially surjective, then F is fully cofaithful and each $- \circ F$ is retract-stable.
7. If F is full, then F is pre-full in the sense of Definition 6.1.

Proof. Points 1–3 are obvious. Point 4 is proved in [1]. Point 5 is proved in [18] in the 2-category SCG for full and essentially surjective functors; the proof for full and nearly surjective functors in Cat is an easy translation.

Let us prove point 6. If F is full and essentially surjective, by point 5, it is fully cofaithful. It remains to prove that each $- \circ F$ is retract-stable. For this, consider $G: \mathcal{D} \rightarrow \mathcal{Y}$, $H: \mathcal{C} \rightarrow \mathcal{Y}$, $\rho: GF \Rightarrow H$ and $\mu: H \Rightarrow GF$ such that $\rho \circ \mu = 1_H$. We define a functor $G': \mathcal{D} \rightarrow \mathcal{Y}$ in the following way. Given an object $D \in \mathcal{D}$, since F is essentially surjective there is $C_D \in \mathcal{C}$ and an invertible $\sigma_D: FC_D \rightarrow D$. We put $G'D = HC_D$. If $f: D \rightarrow D'$, consider the morphism

$$FC_D \xrightarrow{\sigma_D} D \xrightarrow{f} D' \xrightarrow{\sigma_{D'}^{-1}} FC_{D'}. \quad (8)$$

Since F is full, there exists $g_f: C_D \rightarrow C_{D'}$ such that Fg_f is equal to the morphism (8). We put $G'f = Hg_f$.

The component at $C \in \mathcal{C}$ of the isomorphism $\omega: G'F \Rightarrow H$ is

$$G'FC = HC_{FC} \xrightarrow{\mu_{C_{FC}}} GFC_{FC} \xrightarrow{G\sigma_{FC}} GFC \xrightarrow{\rho_C} HC.$$

Its inverse is $\omega_C^{-1} =$

$$HC \xrightarrow{\mu_C} GFC \xrightarrow{G\sigma_{FC}^{-1}} GFC_{FC} \xrightarrow{\rho_{C_{FC}}} HC_{FC} = G'FC.$$

Finally, let us prove point 7. Consider two categories $\mathcal{X}, \mathcal{Y}$, four functors $G, G': \mathcal{X} \rightarrow \mathcal{C}$, $H, H': \mathcal{D} \rightarrow \mathcal{Y}$, and two natural transformations $\alpha: FG \Rightarrow FG'$ and $\beta: HF \Rightarrow H'F$. We have to prove that, for each $X \in \mathcal{X}$,

$$H'\alpha_X \circ \beta_{GX} = \beta_{G'X} \circ H\alpha_X. \quad (9)$$

Since F is full, there exists $f: GX \rightarrow G'X$ such that $Ff = \alpha_X$. Eq. (9) becomes now $H'Ff \circ \beta_{GX} = \beta_{G'X} \circ HFf$, which holds by naturality of β . $\square$

Let us also recall that fully cofaithful functors are characterized in two different ways in [1].

Example 7.9. 1. The first factorization system $\mathcal{S}_1$ is given by

$$\mathcal{E}_1 = \{\text{full and essentially surjective functors}\},$$

$$\mathcal{M}_1 = \{\text{faithful functors}\}.$$

A functor $F: \mathcal{C} \rightarrow \mathcal{D}$ factors through $\text{Im}_1 F$, which has the same objects as $\mathcal{C}$ and, if $C, C' \in \mathcal{C}$,

$$\text{Im}_1 F(C, C') = F_{C, C'}(\mathcal{C}(C, C')).$$

The composition is that of $\mathcal{D}$. By Proposition 7.8, this factorization system is (2,1)-proper.

2. The second factorization system $\mathcal{S}_2$ is given by

$$\mathcal{E}_2 = \{\text{essentially surjective functors}\},$$

$$\mathcal{M}_2 = \{\text{fully faithful functors}\}.$$

A functor $F: \mathcal{C} \rightarrow \mathcal{D}$ factors through $\text{Im}_2 F$, which has the same objects as $\mathcal{C}$ and, if $C, C' \in \mathcal{C}$,

$$\text{Im}_2 F(C, C') = \mathcal{D}(FC, FC').$$

The composition is that of $\mathcal{D}$. By Proposition 7.8, this factorization system is (1,2)-proper.

3. The third factorization system $\mathcal{S}_3$ is given by

$$\mathcal{E}_3 = \{\text{nearly surjective functors}\},$$

$$\mathcal{M}_3 = \{\text{retract-stable fully faithful functors}\}.$$

A functor $F: \mathcal{C} \rightarrow \mathcal{D}$ factors through $\text{Im}_3 F$, which is a full subcategory of $\mathcal{D}$. An object is in $\text{Im}_3 F$ if it is a retract of FC for some $C \in \mathcal{C}$. By Proposition 7.8, this factorization system is (1,2)-proper.

4. Here is a simple example of factorization system which is not (1,1)-proper. We write $\emptyset$ for the empty category.

$$\mathcal{E}_4 = \{\text{the identity on } \emptyset \text{ and functors with non-empty domain}\},$$

$$\mathcal{M}_4 = \{\text{equivalences and functors with empty domain}\}.$$

The image of a functor $F: \mathcal{C} \rightarrow \mathcal{D}$ is $\mathcal{C}$ if $\mathcal{C} = \emptyset$, and $\mathcal{D}$ if $\mathcal{C} \neq \emptyset$.

5. As for SCG, let Cat^f be the sub-2-category of Cat of full functors. It is pre-full and $\mathcal{S}_1$ restricted to Cat^f is (2,2)-proper.

7.3. An open problem

Let us note that the first factorization system of Example 7.9 is not only (2,1)-proper but also “(3,1)-proper”, in the sense that for any $E \in \mathcal{E}_1$, every composition functor $- \circ E$ is fully faithful and retract-stable. In the same way, the third factorization system is “(1,3)-proper”, i.e. for any $M \in \mathcal{M}_3$, every composition functor $M \circ -$ is fully faithful and retract-stable. This suggests a more general definition of proper factorization system in a 2-category.

Definition 7.10. Let $\mathcal{S}_e = (\mathcal{E}_e, \mathcal{M}_e)$ and $\mathcal{S}_m = (\mathcal{E}_m, \mathcal{M}_m)$ be two factorization systems on the 2-category Cat . A factorization system $(\mathcal{E}, \mathcal{M})$ on a 2-category $\mathbb{C}$ is $(\mathcal{S}_e, \mathcal{S}_m)$ -proper if

1. for any $e \in \mathcal{E}$, each composition functor $- \circ e$ belongs to $\mathcal{M}_e$;
2. for any $m \in \mathcal{M}$, each composition functor $m \circ -$ belongs to $\mathcal{M}_m$.

Following the notations of Section 7.2, we can reformulate Definition 3.2 in the following way:

A factorization system on a 2-category $\mathbb{C}$ is (i, j) -proper exactly when it is $(\mathcal{S}_i, \mathcal{S}_j)$ -proper, for $i, j \in \{1, 2\}$ (as well as for $(i, j) = (3, 1)$ and $(i, j) = (1, 3)$).

(Note that, if we put $\mathcal{S}_0 = (\text{equivalences, all arrows})$, every factorization system is $(\mathcal{S}_0, \mathcal{S}_0)$ -proper.)

Observe that the free 2-category with (i, j) -proper factorization system $\text{Fr}^{i,j}\mathbb{C}$ on a 2-category $\mathbb{C}$, for $i, j \in \{1, 2\}$ (Sections 4–6), can be described in the following way.

Let $f: C \rightarrow C'$ and $g: D \rightarrow D'$ be in $\mathbb{C}$; consider the $\mathcal{S}_i$ -factorization of the functor $- \circ f: \mathbb{C}(C', D') \rightarrow \mathbb{C}(C, D')$ and the $\mathcal{S}_j$ -factorization of the functor $g \circ -: \mathbb{C}(C, D) \rightarrow \mathbb{C}(C, D')$. Then the hom-category $\text{Fr}^{i,j}\mathbb{C}(f, g)$ is given by the following bi-pullback in Cat :

$$\begin{array}{ccccc}
 & & & \mathbb{C}(C, D) & \\
 & & & \downarrow \mathcal{E}_j \ni & \downarrow g \circ - \\
 & \text{Fr}^{i,j}\mathbb{C}(f, g) & \longrightarrow & I_g & \\
 & \downarrow & & \downarrow \mathcal{M}_j \ni & \\
 \mathbb{C}(C', D') & \xrightarrow{\in \mathcal{E}_i} & I_f & \xrightarrow{\in \mathcal{M}_i} & \mathbb{C}(C, D') \\
 & \searrow & \text{---} & \nearrow & \\
 & & - \circ f & &
 \end{array}$$

(This is the case also for $(i, j) = (0, 0)$, where $\text{Fr}^{0,0}\mathbb{C}$ is simply the 2-category $\mathbb{C}^2$ of Section 2.)

The problem arising from this remark is if it is possible to generalize the previous construction to get the free 2-category with $(\mathcal{S}_e, \mathcal{S}_m)$ -proper factorization system on $\mathbb{C}$. To define the composition functor on the hom-categories, further assumptions on $\mathbb{C}$ are needed (as the example $\text{Fr}^{2,2}\mathbb{C}$ shows), but we are not able to state them explicitly.

8. A glance at the homotopy category

Recall that a weak factorization system in a category $\mathcal{C}$ consists of two classes of morphisms $(\mathcal{E}, \mathcal{M})$ satisfying the following conditions:

- (1) Given three arrows $A \xrightarrow{f} B \xrightarrow{i} X \xrightarrow{p} B$, if $i \circ f \in \mathcal{E}$ and $p \circ i = 1_B$, then $f \in \mathcal{E}$;
- (2) Given three arrows $A \xrightarrow{j} X \xrightarrow{q} A \xrightarrow{f} B$, if $f \circ q \in \mathcal{M}$ and $q \circ j = 1_A$, then $f \in \mathcal{M}$;
- (3) Each arrow has a $(\mathcal{E}, \mathcal{M})$ -factorization;
- (4) Given a commutative square

$$\begin{array}{ccc}
 A & \xrightarrow{e} & B \\
 u \downarrow & \swarrow w & \downarrow v \\
 C & \xrightarrow{m} & D
 \end{array}$$

if $e \in \mathcal{E}$ and $m \in \mathcal{M}$, then there is a (not necessarily unique) arrow $w: B \rightarrow C$ such that $w \circ e = u$ and $m \circ w = v$.

The aim of this section is to show that a factorization system in a 2-category $\mathbb{C}$ induces a weak factorization system in the homotopy category $H(\mathbb{C})$ of $\mathbb{C}$ (the category $H(\mathbb{C})$ has the same objects as $\mathbb{C}$, and 2-isomorphism classes of 1-cells as arrows). The main fact is stated in the following proposition.

Proposition 8.1. *Let $\mathbb{C}$ be a 2-category with a factorization system $(\mathcal{E}, \mathcal{M})$.*

(1) *Consider the following diagram:*

$$\begin{array}{ccccc} & & X & & \\ & i \nearrow & \lambda \Downarrow & \searrow p & \\ A & \xrightarrow{f} B & & & B \\ & \searrow 1_B & & & \end{array}$$

if λ is invertible and $i \circ f \in \mathcal{E}$, then $f \in \mathcal{E}$;

(2) *Consider the following diagram:*

$$\begin{array}{ccccc} & & X & & \\ & j \nearrow & \lambda \Downarrow & \searrow q & \\ A & \xrightarrow{1_A} A & \xrightarrow{f} B & & \\ & \searrow & & & \end{array}$$

if λ is invertible and $f \circ q \in \mathcal{M}$, then $f \in \mathcal{M}$.

Proof. We prove the first part, the second one is similar. We have to show that $f \in \mathcal{M}^\uparrow$. For this, we check the first condition in Proposition 1.4 and we leave the second one to the reader. Consider the following diagram in $\mathbb{C}$, with $m \in \mathcal{M}$,

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ u \downarrow & \varphi \lrcorner & \downarrow v \\ C & \xrightarrow{m} & D \end{array}$$

We get an arrow $(u, \varphi \circ (v * \lambda * f), v p): i f \rightarrow m$ with a universal fill-in (α, w, β) (because $i f \in \mathcal{E}$ and $m \in \mathcal{M}$). This fill-in gives rise to a fill-in $(\alpha, w i, \gamma)$ for $(u, \varphi, v): f \rightarrow m$, where $\gamma = (v * \lambda) \circ (\beta * i)$, and we have to prove that $(\alpha, w i, \gamma)$ is universal. Let (α', w', β') be another fill-in for (u, φ, v) . We get a second fill-in $(\alpha' \circ (w' * \lambda * f), w' p, \beta' * p)$ for $(u, \varphi \circ (v * \lambda * f), v p)$, so that there is a unique comparison $\psi: w \Rightarrow w' p$. This gives us a comparison $\mu = (w' * \lambda) \circ (\psi * i): w i \Rightarrow w'$ between the two fill-in for (u, φ, v) , and we have to prove that such a comparison is unique. Let $\bar{\mu}: w i \Rightarrow w'$ be another comparison between the two fill-in for (u, φ, v) . Observe that $(\alpha \circ (w i * \lambda * f), w i p, \gamma * p)$ is a third fill-in for $(u, \varphi \circ (v * \lambda * f), v p)$, so that there is a unique comparison $v: w i p \Rightarrow w' p$ between $(\alpha \circ (w i * \lambda * f), w i p, \gamma * p)$ and $(\alpha' \circ (w' * \lambda * f), w' p, \beta' * p)$ (because, by Lemma 1.5, each fill-in is universal). A diagram chasing shows that both $v = \mu * p$

and $v = \bar{\mu} * p$ work, so that $\mu * p = \bar{\mu} * p$. Finally, observe that, since $\lambda: pi \Rightarrow 1_B$ is an invertible 2-cell, p is cofaithful (that is, the hom-functor

$$\mathbb{C}(p, C): \mathbb{C}(B, C) \rightarrow \mathbb{C}(X, C)$$

is faithful). Now $\mu * p = \bar{\mu} * p$ implies $\mu = \bar{\mu}$. $\square$

In the next corollary, we write $[f]$ for the 2-isomorphism class of an arrow f .

Corollary 8.2. *Let $\mathbb{C}$ be a 2-category with a factorization system $(\mathcal{E}, \mathcal{M})$ and let $H(\mathbb{C})$ be the homotopy category of $\mathbb{C}$. Then $(H(\mathcal{E}), H(\mathcal{M}))$ is a weak factorization system in $H(\mathbb{C})$, where $H(\mathcal{E}) = \{[e] \mid e \in \mathcal{E}\}$ and $H(\mathcal{M}) = \{[m] \mid m \in \mathcal{M}\}$.*

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