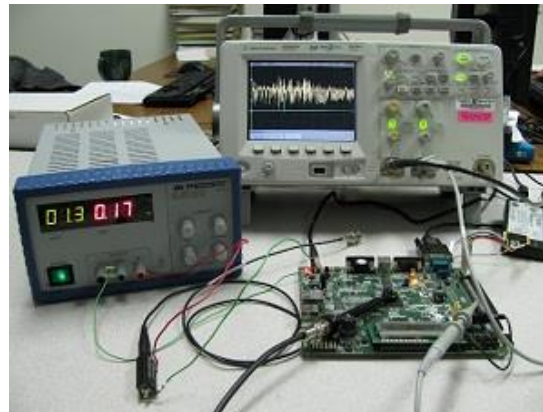


# Towards an Open Approach to Side-Channel Resistant Authenticated Encryption



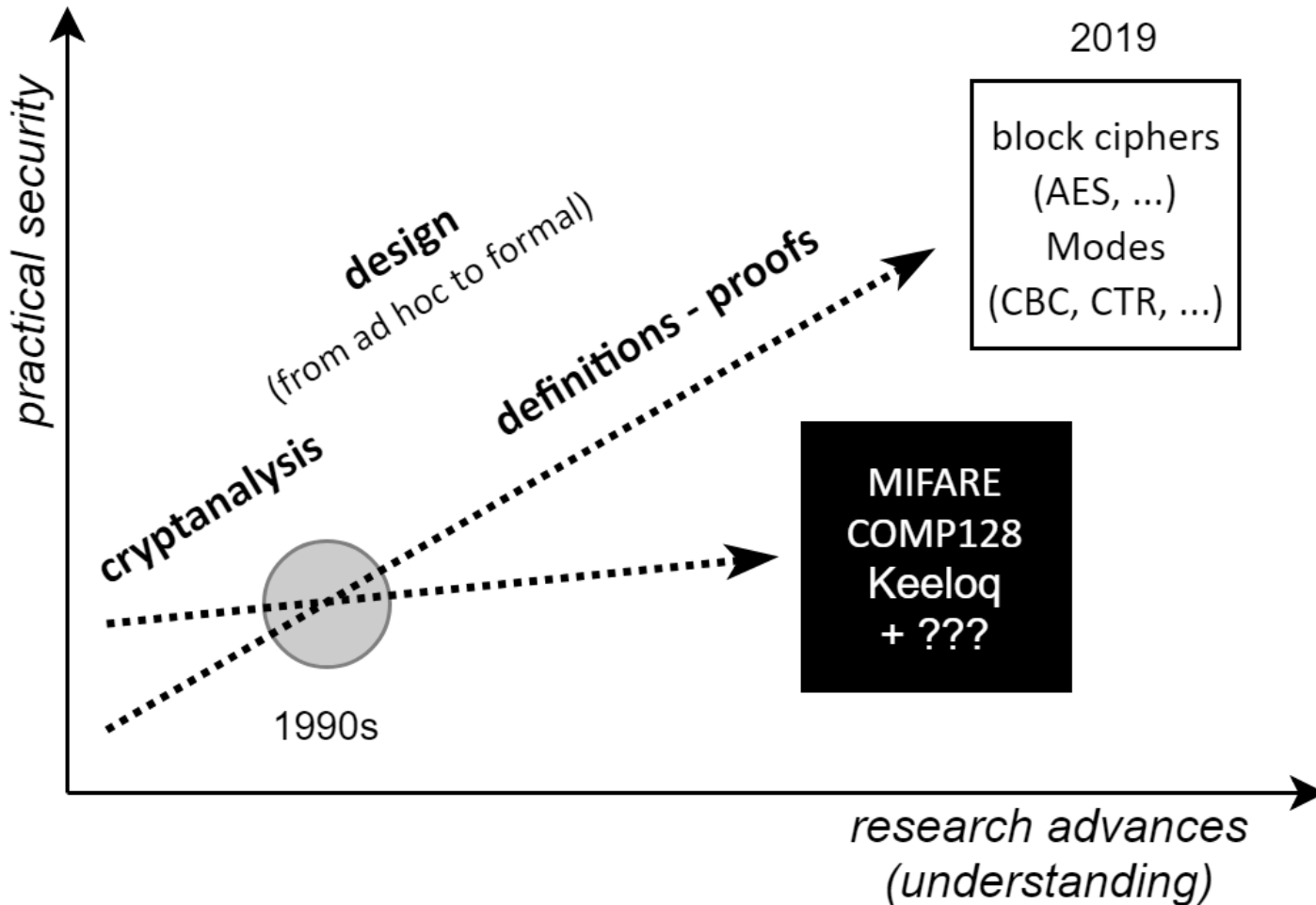
***François-Xavier Standaert***

UCLouvain, ICTEAM, Crypto Group (Belgium)

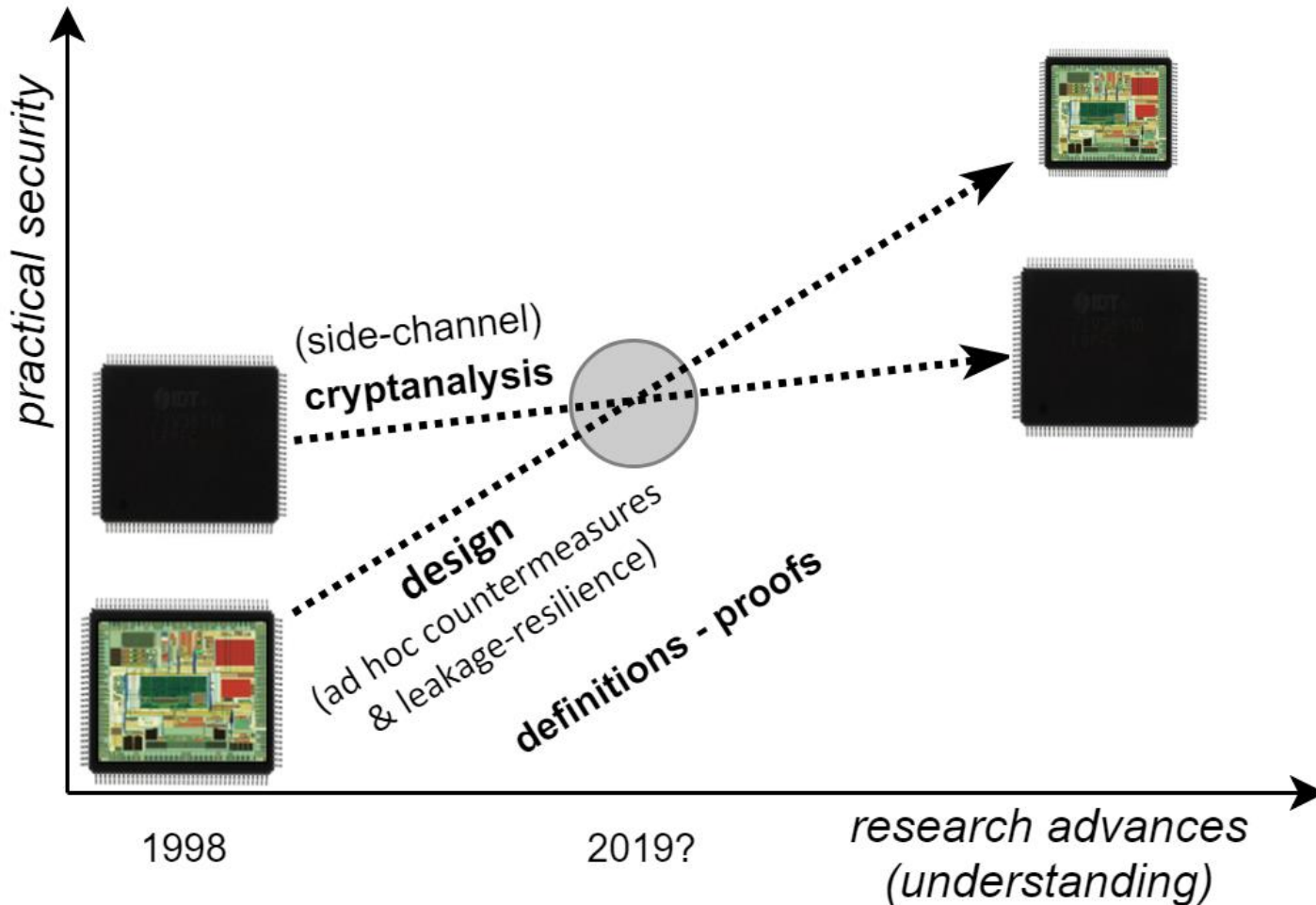
**ASHES 2019, London, UK**

# Transparency (as a measure of maturity)

- Block ciphers & symmetric encryption



- Secure cryptographic implementations



1. Side-channel **(crypt)analysis**: attacks taxonomy
2. Masking **countermeasure**: security vs. cost
3. Security **definitions** (authenticated encryption)
  - a. Nonce-respecting setting (i.e., AEL)
  - b. Nonce-misuse setting (i.e., AEmL)
1. Leakage-resistant AE **designs** (& implementations)
  - Level 0: no mode-level leakage-resistance
  - Level 1: re-keyed modes (including sponges)
  - Level 2: level 1 + strengthened init./final.
  - Level 3: level 2 + two-passes
2. Conclusions (& the need of open evaluations)

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O. Reparaz  
M. Rivain  
T. Schneider  
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S. Vivek  
Weijia Wang  
C. Whitnall  
Yu Yu  
M. Yung

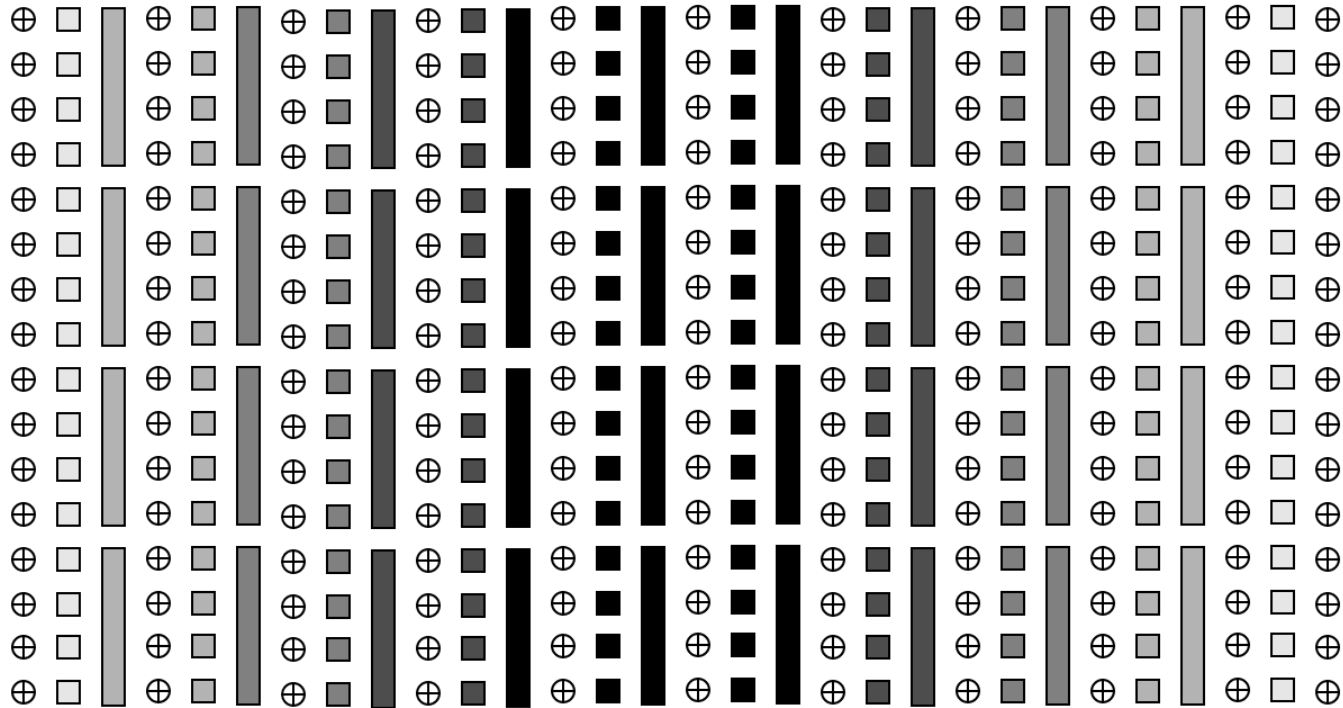
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- Mixing (very) different abstraction levels
  - Hopefully in a consistent manner (*be forgiving if not*)

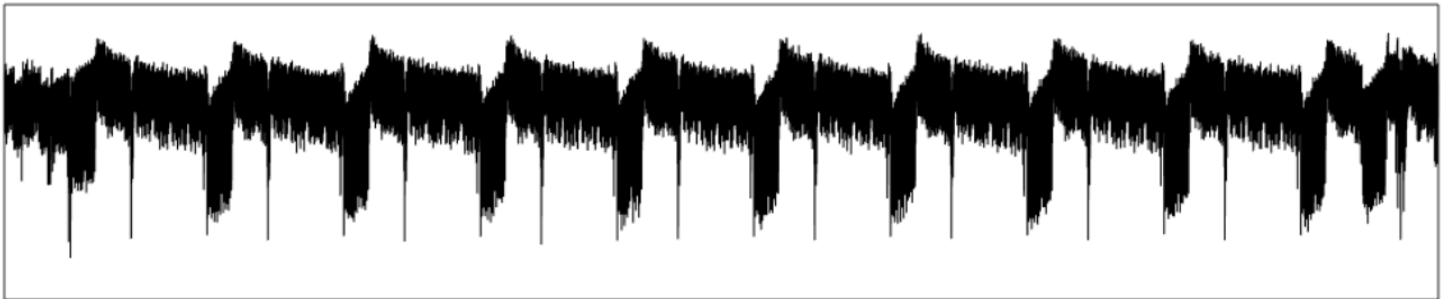
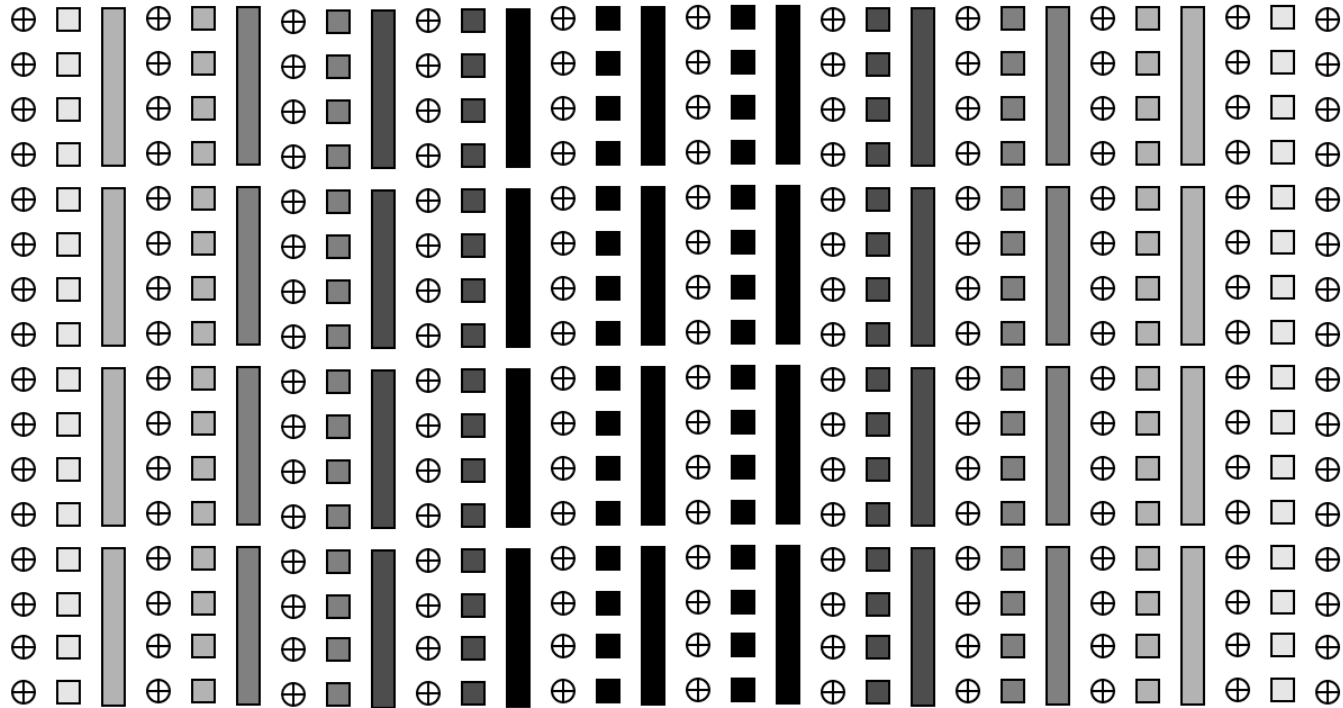
# Outline

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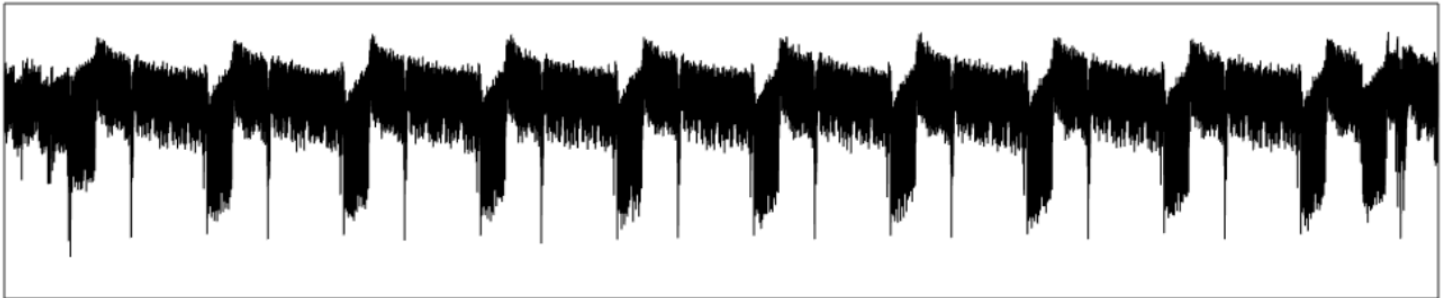
# AES Rijndael: $y = \text{AES}_K(x)$



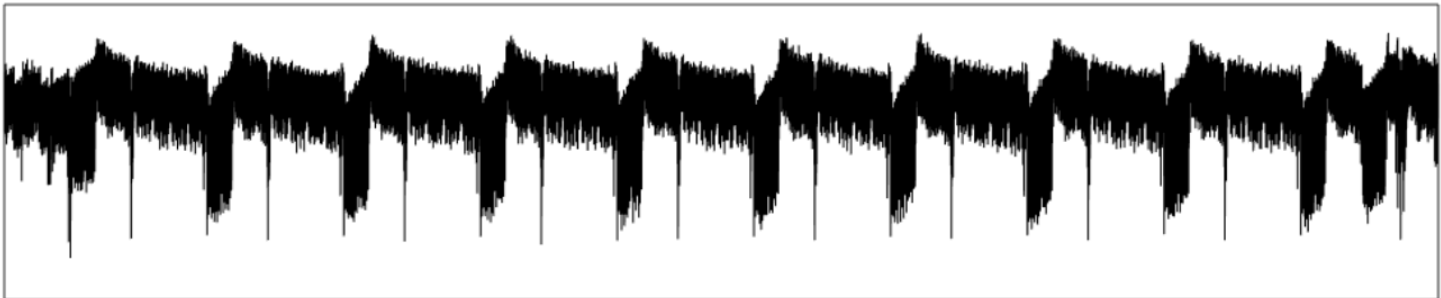




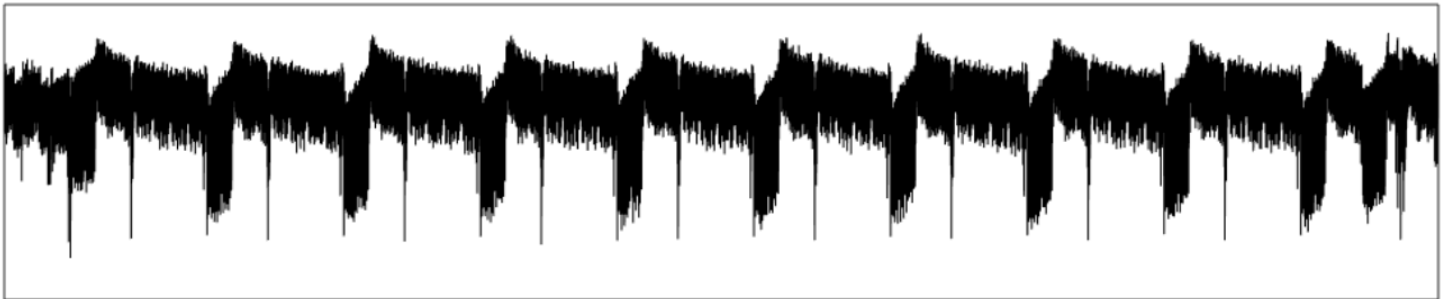
- Leakages are vectors:  $\mathbf{L} = (L^1, L^2, \dots, L^t)$ 
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  - Signal-to-Noise Ratio:  $\text{SNR}^i = \frac{\text{var}(\delta_x^i)}{\text{var}(N^i)}$
- The shape of  $\delta$  &  $N$  is technology-dependent
  - Their exact representation is unknown



- Computing less means leaking less
  - E.g., unprotected **32-bit** implem. (**HW** leakages)

# rounds	# ops. / round	# samples / op.	MI (bits) / sample	$\lambda$ (bits) / trace
10	100	5	$\frac{\log(32) = 5}{\left(1 + \frac{1}{\text{SNR}}\right)}$	$\frac{25,000}{\left(1 + \frac{1}{\text{SNR}}\right)}$

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- Unprotected **128-bit** implem. (**HW** leakages)

# rounds	# ops. / round	# samples / op.	MI (bits) / sample	$\lambda$ (bits) / trace
10	1	5	$\frac{\log(128) = 7}{\left(1 + \frac{1}{\text{SNR}}\right)}$	$\frac{350}{\left(1 + \frac{1}{\text{SNR}}\right)}$

- Games that give the adversary the ability to compare the leakages of two identical device states are in general trivial to win. For example, given a keyed *offline leakage oracle*  $L(\cdot, K)$ :

$$\Pr \left[ A_{\text{SC}}^{L(\cdot, K)}(x_0, x_1, L(x_b, K)) = b \mid K, b \leftarrow \$ \right] \approx 1$$

- Just compare  $L(x_b, K)$  with  $L(x_0, K)$  and  $L(x_1, K)$
- (**SC** stands for « state comparison » attack)

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- (SC stands for « state comparison » attack)

⇒ Distinguishing games without anything fresh *and* secret in the challenge are trivial to win



- Key recovery attacks may not easily exploit all leakage samples (since  $A$  needs to guess the state), leading to reduced « effective »  $\lambda$ 's, e.g.,

exploited # rounds	# ops. / round	# samples / op.	MI (bits) / sample	eff. $\lambda$ (bits) / trace & subkey
<b>1</b>	1	<b><math>\approx 2</math> (indep.)</b>	$\frac{\log(128) = 7}{100}$	$\frac{14}{100}$

- One key byte recovered in  $\approx \frac{128}{0.14} \approx 1000$  traces

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- With the masking countermeasures (see next)
- *(128-bit example, 32-bit case significantly harder)*

- $(q, r)$ -bounded SCAs are « continuous » attacks
  - with  $q$  different message blocks per key
  - and each measurement repeated  $r$  times

⇒ Typical success probability (e.g., for key recovery):

$$\Pr \left[ A_{\text{KR}} \left( x_1, L(x_1, K), \dots, x_q, L(x_q, K) \right) \rightarrow K \mid K \leftarrow \$ \right] \approx 2^{-128 + q \cdot \lambda(r)}$$

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- There are two main types of attacks (jargon)
  - **SPA**:  $q$  is a small constant (e.g., thanks to re-keying)
  - **DPA**:  $q$  can be large & is adversarially chosen

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  - **SPA**:  $q$  is a small constant (e.g., thanks to re-keying)
  - **DPA**:  $q$  can be large & is adversarially chosen
- Larger  $r$ 's can improve the SNR (average the noise)

- Key Recovery (KR) attacks (with known/chosen  $x_i$ 's)

$$\Pr \left[ A_{\text{KR}} \left( x_1, L(x_1, K), \dots, x_q, L(x_q, K) \right) \rightarrow K \mid K \leftarrow \$ \right] \approx 2^{-128 + q \cdot \lambda(r)}$$

- May require large amounts of leakage vectors to succeed

- Key Recovery (**KR**) attacks (with known/chosen  $x_i$ 's)

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  - Or have bounded success probability in case of **SPA**



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  - May require large amounts of leakage vectors to succeed
    - Or have bounded success probability in case of **SPA**
  
- State Comparison (**SC**) attacks (with keyed oracle)
  - $\Pr \left[ A_{\text{SC}}^{L(\cdot, K)} \left( x_0, x_1, L(x_b, K) \right) = b \mid K, b \leftarrow \$ \right] \approx 1 \text{ anyway}$

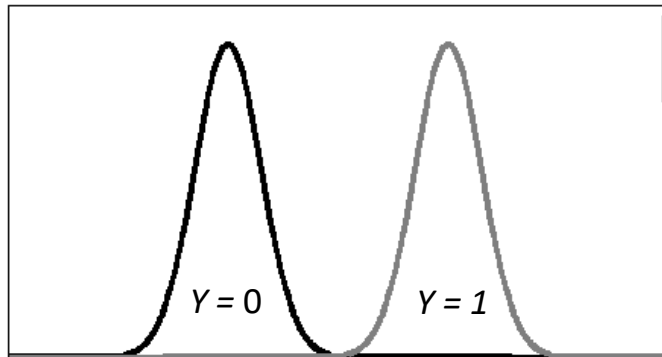
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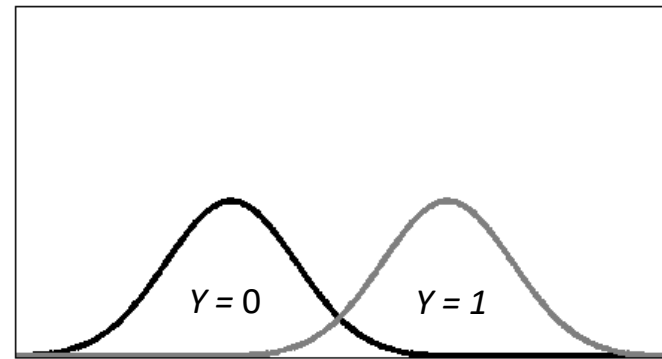
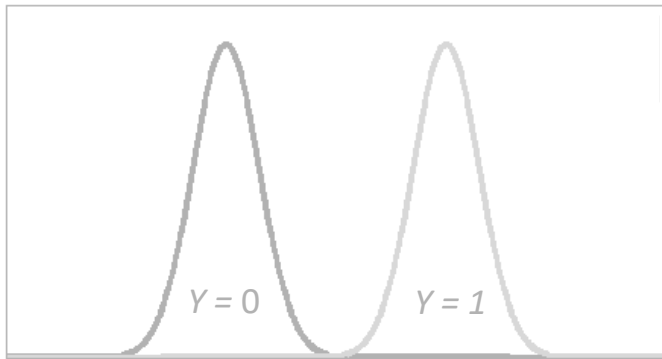
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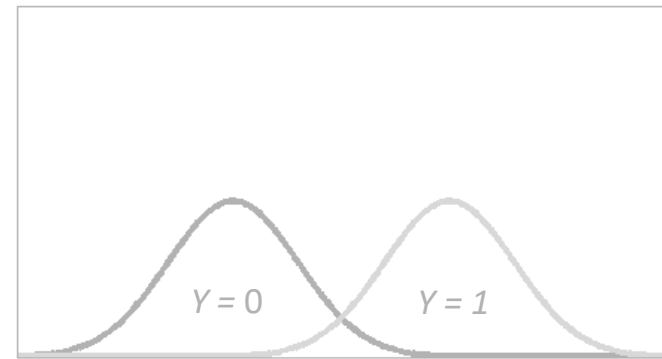
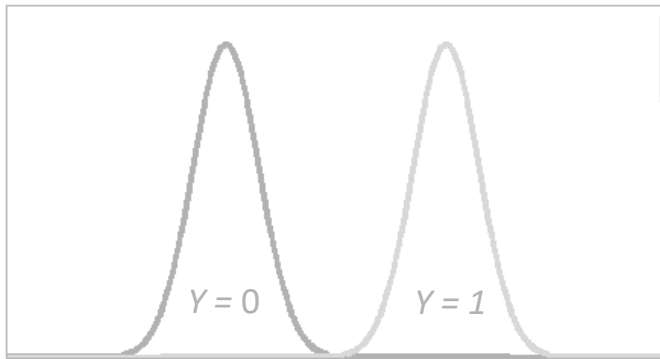
- May require large amounts of leakage vectors to succeed
  - Or have bounded success probability in case of **SPA**
- Message Comparison (**MC**) attacks (with fresh challenge)  
$$\Pr \left[ A_{\text{MC}}^{L(\cdot, \cdot)} \left( x_0, x_1, L(x_b, K) \right) = b \mid K, b \leftarrow \$ \right] \approx 2^{-128 + D(L(x_0, K); L(x_1, K))}$$
  - Significantly simpler than KR - but not trivial for all  $x_0, x_1$  (!)
  - Depends on **similarity** of the message blocks' leakages
- State Comparison (**SC**) attacks (with keyed oracle)
  - $\Pr \left[ A_{\text{SC}}^{L(\cdot, K)} \left( x_0, x_1, L(x_b, K) \right) = b \mid K, b \leftarrow \$ \right] \approx 1$  anyway

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2. Conclusions (& the need of open evaluations)







- Additive noise  $\approx$  cost  $\times 2 \Rightarrow$  security  $\times 2 \Rightarrow$  not a good (crypto) security parameter
- $\approx$  same holds for all hardware countermeasures

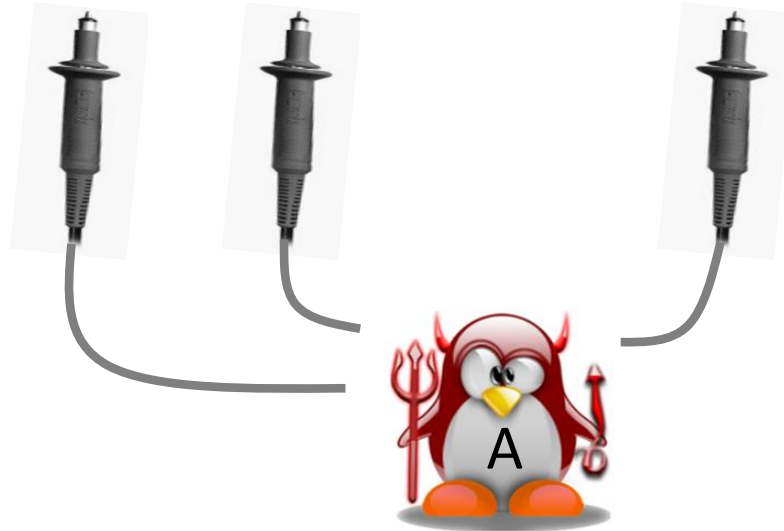
- Example: Boolean encoding

$$y = y_1 \oplus y_2 \oplus \cdots \oplus y_{d-1} \oplus y_d$$

- With  $y_1, y_2, \dots, y_{d-2}, y_{d-1} \leftarrow \{0,1\}^n$

- Private circuits / probing security [ISW03]

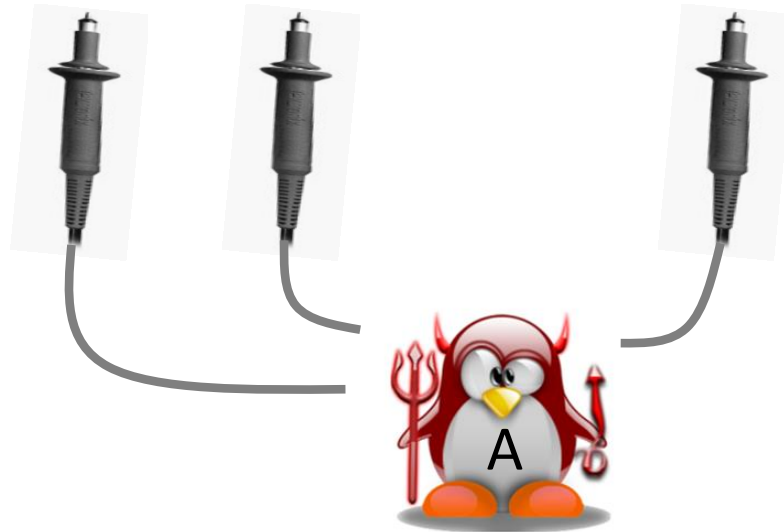
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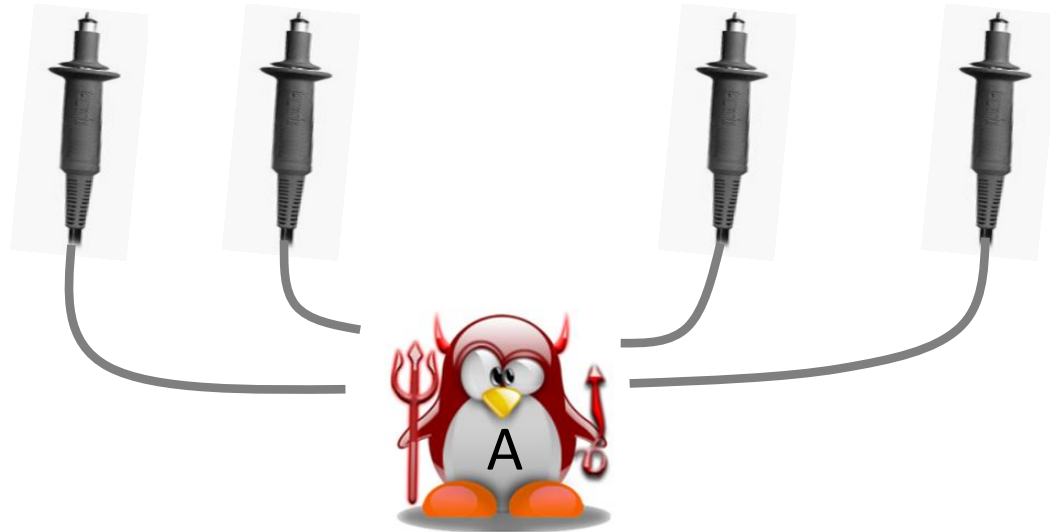
$$y = y_1 \oplus y_2 \oplus \cdots \oplus y_{d-1} \oplus y_d$$



- $d - 1$  probes do not reveal anything on  $y$

- Private circuits / probing security [ISW03]

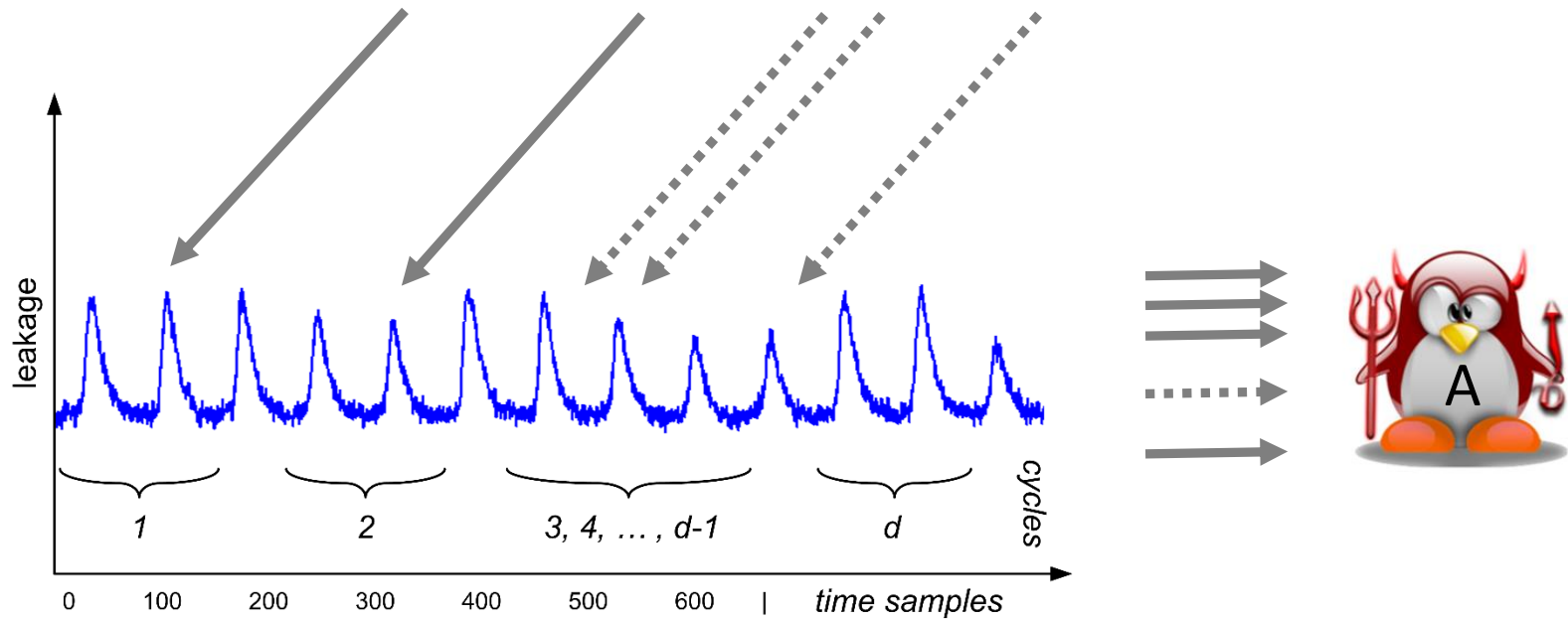
$$y = y_1 \oplus y_2 \oplus \cdots \oplus y_{d-1} \oplus y_d$$



- But  $d$  probes completely reveal  $y$

- Private circuits / probing security [ISW03]

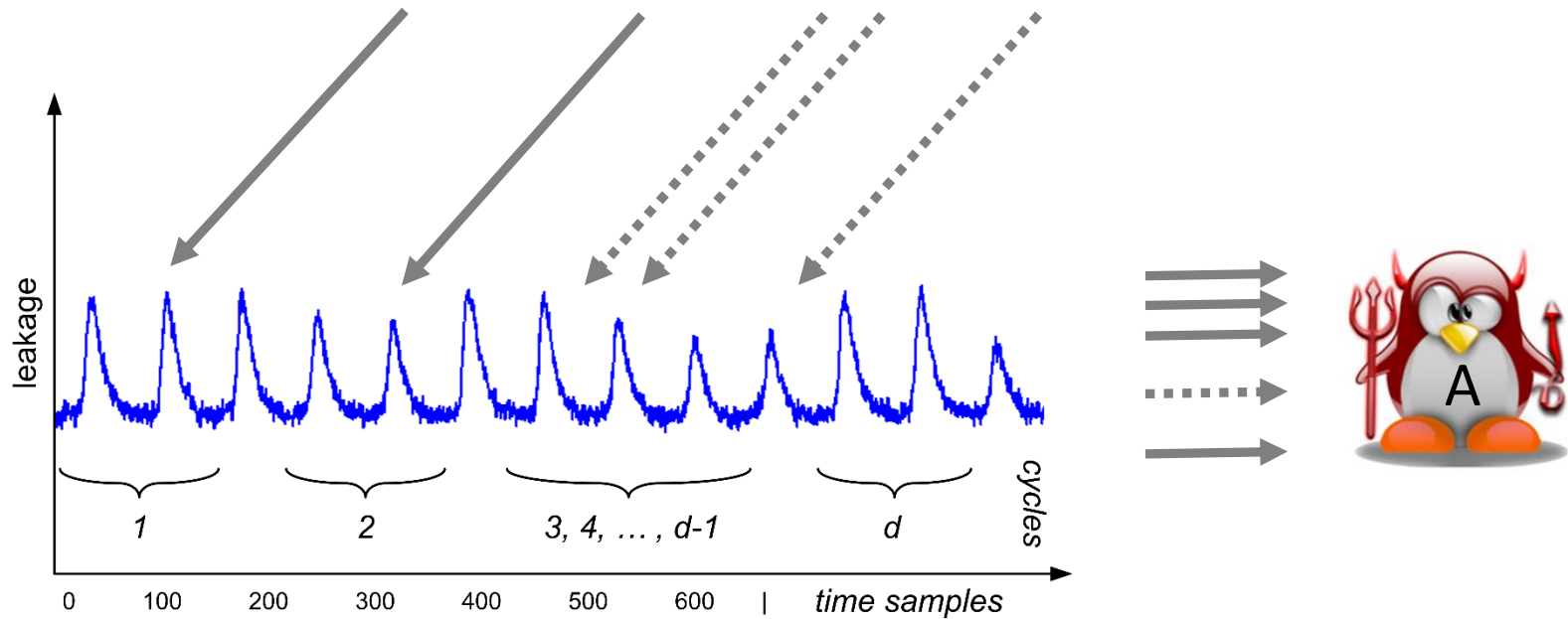
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- Noisy leakage security [PR13]

- Private circuits / probing security [ISW03]

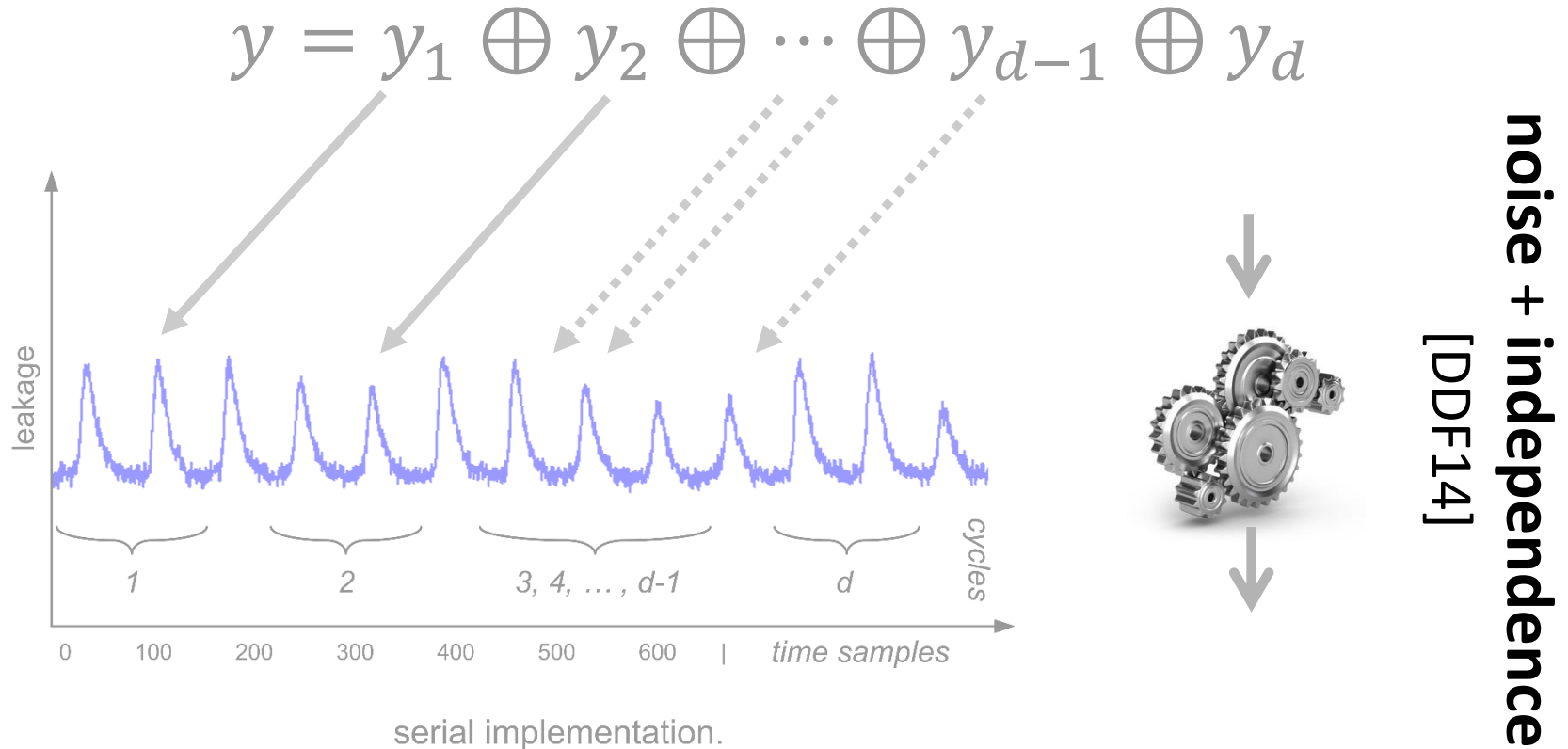
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serial implementation.

- Bounded information  $MI(Y; \mathbf{L}) < MI(Y_i; \mathbf{L}_{Y_i})^d$

- Private circuits / probing security [ISW03]



- Bounded information  $MI(Y; \mathbf{L}) < MI(Y_i; \mathbf{L}_{Y_i})^d$

- Linear operations:  $f(a) = f(a_1) \oplus f(a_2) \oplus \dots \oplus f(a_d)$

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- Multiplications:  $c = a \times b$  in three steps

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$$\begin{bmatrix} a_1 b_1 & a_1 b_2 & a_1 b_3 \\ a_2 b_1 & a_2 b_2 & a_2 b_3 \\ a_3 b_1 & a_3 b_2 & a_3 b_3 \end{bmatrix}$$

partial products



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partial products

refreshing

- Linear operations:  $f(a) = f(a_1) \oplus f(a_2) \oplus \dots \oplus f(a_d)$
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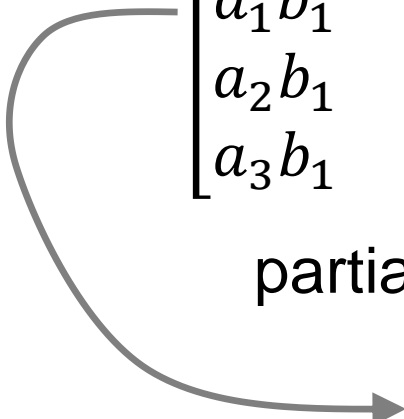
partial products

refreshing

compression

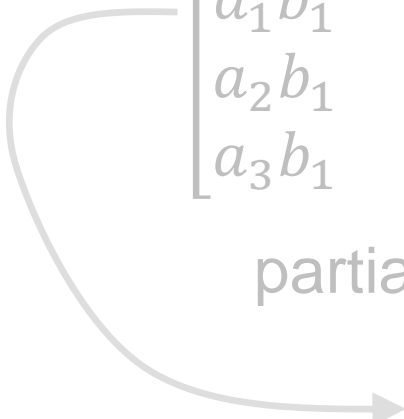
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$$\begin{array}{ccc}
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 \text{partial products} & & \text{refreshing} \quad \text{compression}
 \end{array}$$


 $a_1b_1 \oplus a_1b_2 \oplus a_1b_3 = \mathbf{a_1b}$  leaks on  $b$

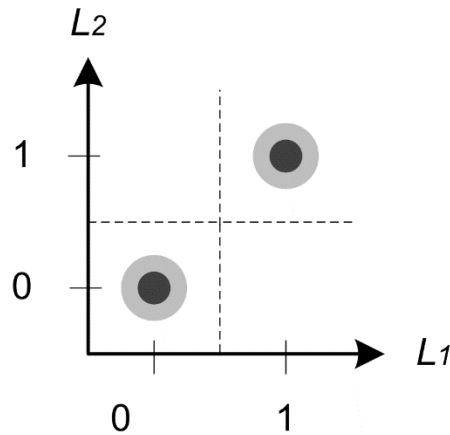
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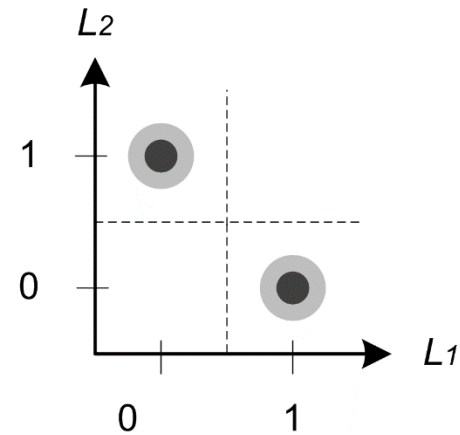

 $a_1b_1 \oplus a_1b_2 \oplus a_1b_3 = a_1b$  leaks on  $b$

⇒ Quadratic overheads & randomness

- (Many published optimizations [R+15,Be+16,GM18])

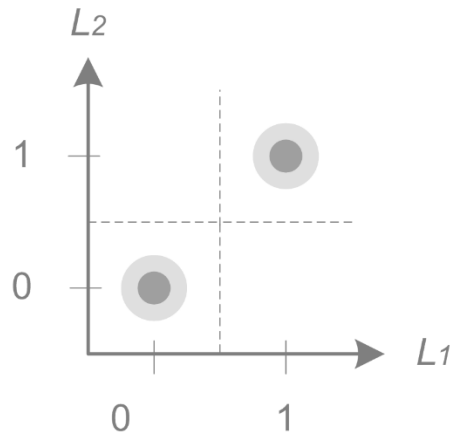


(a)  $Y = 0$ , serial.

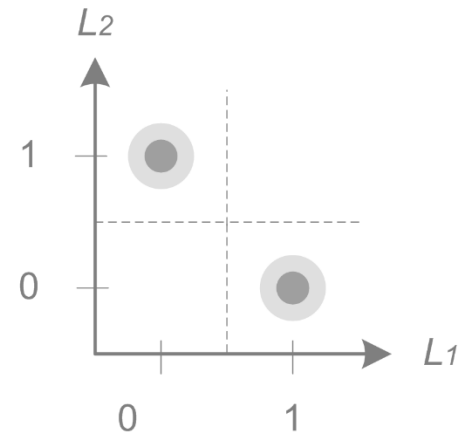


(b)  $Y = 1$ , serial.

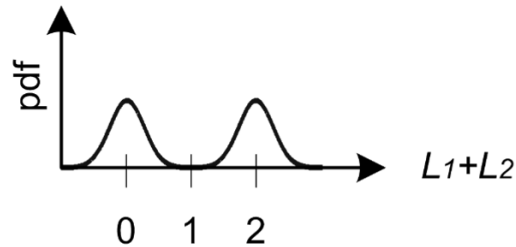
- Leakage mean vector for  $Y = 0,1 = [0.5 \ 0.5]$



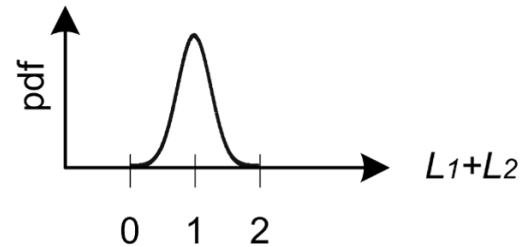
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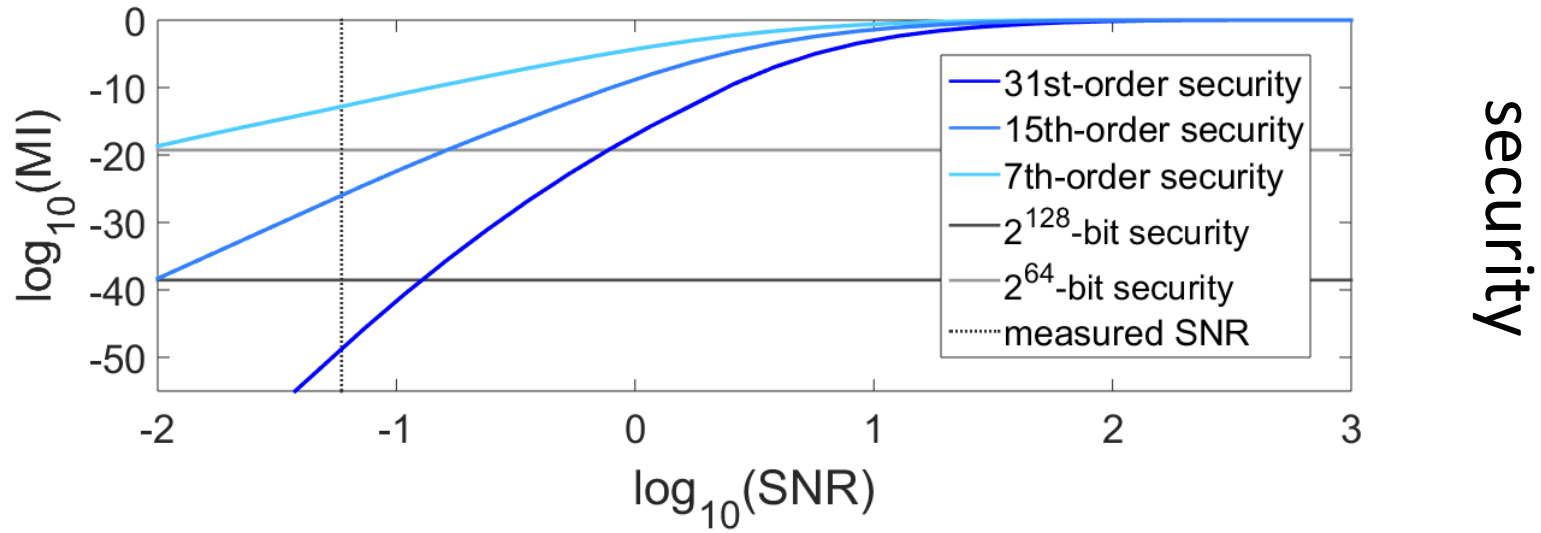


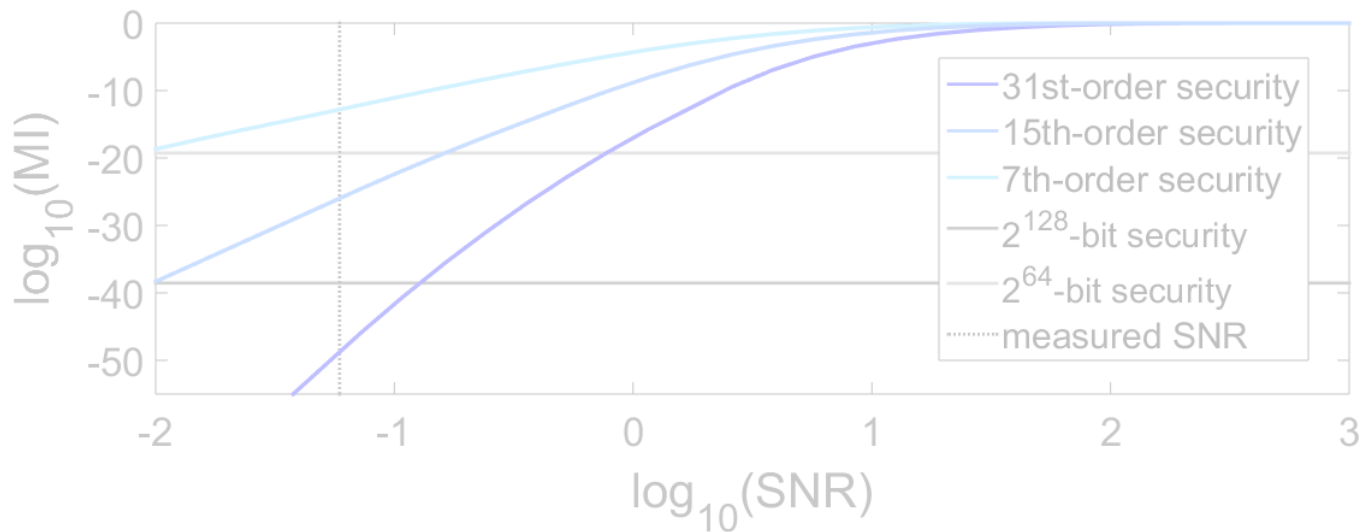
(c)  $Y = 0$ , parallel.



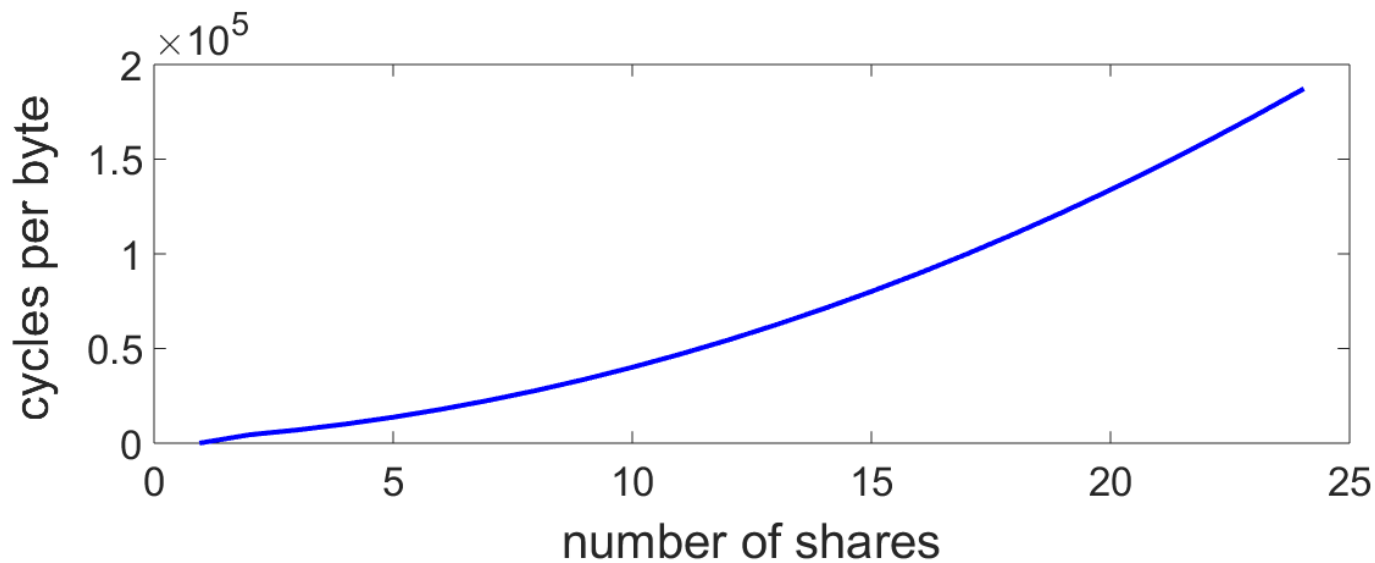
(d)  $Y = 1$ , parallel.

- Leakage mean value for  $Y = 0, 1 = 1$



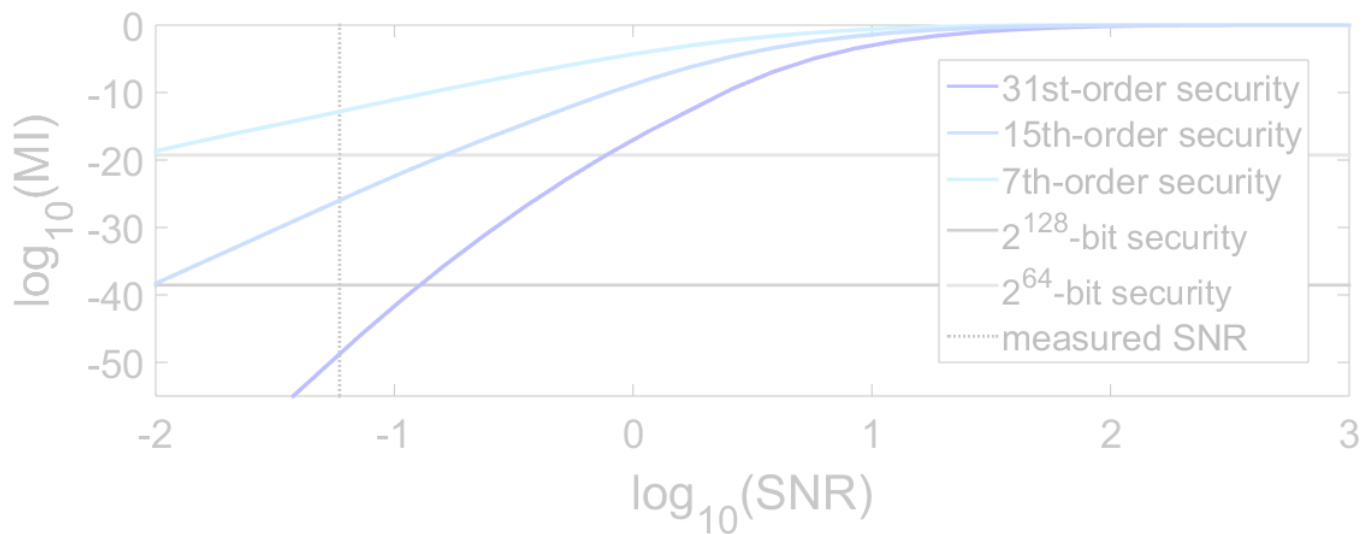


security

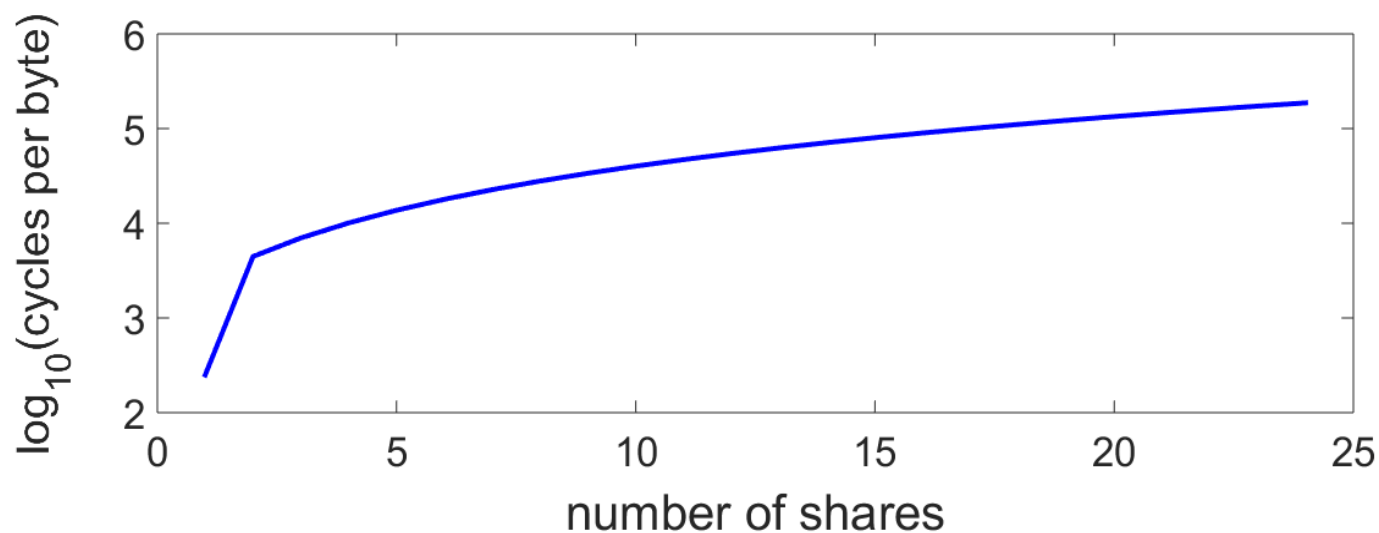


performance





security



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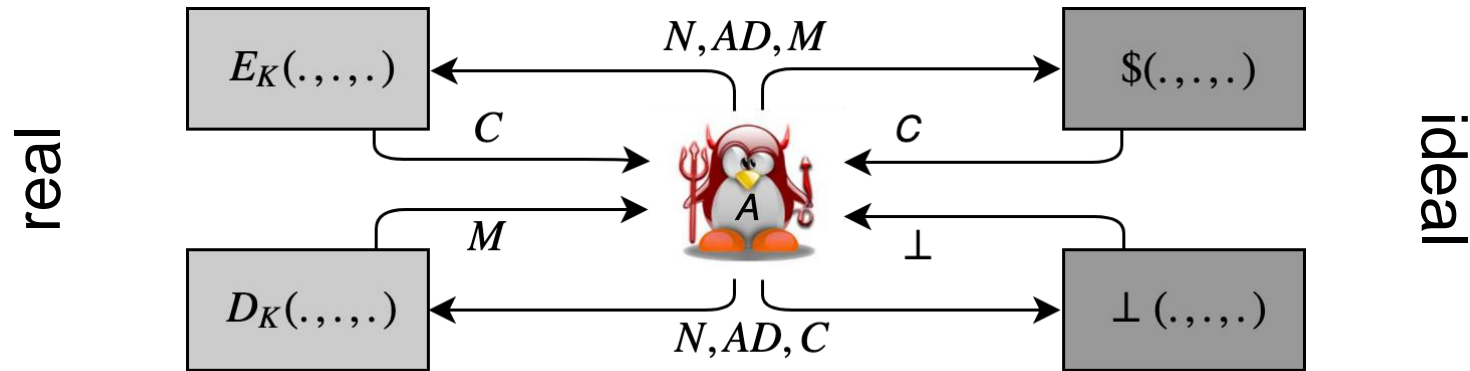
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- SPA security expected to be (much) cheaper

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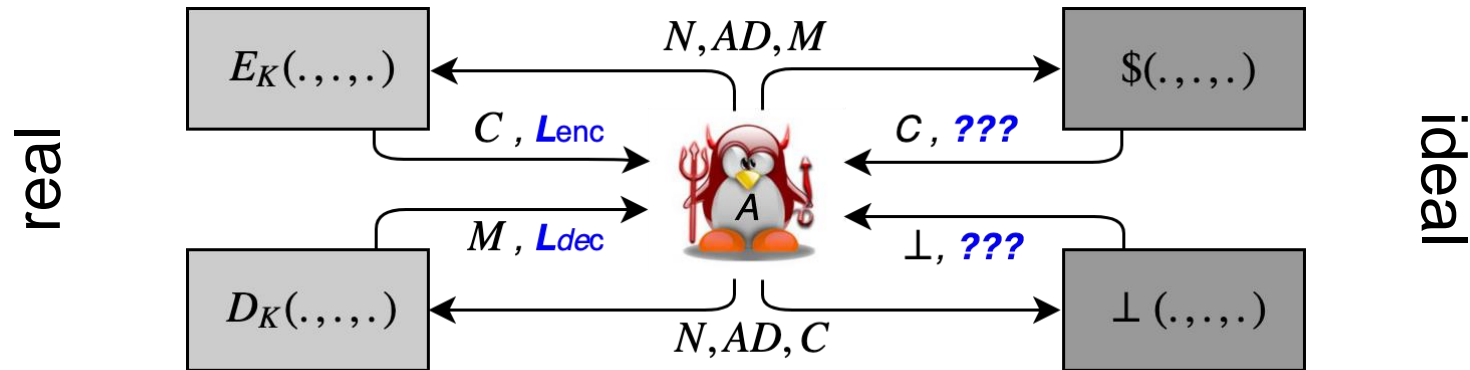
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- Why not extending [RS06]'s *all in one* definition?



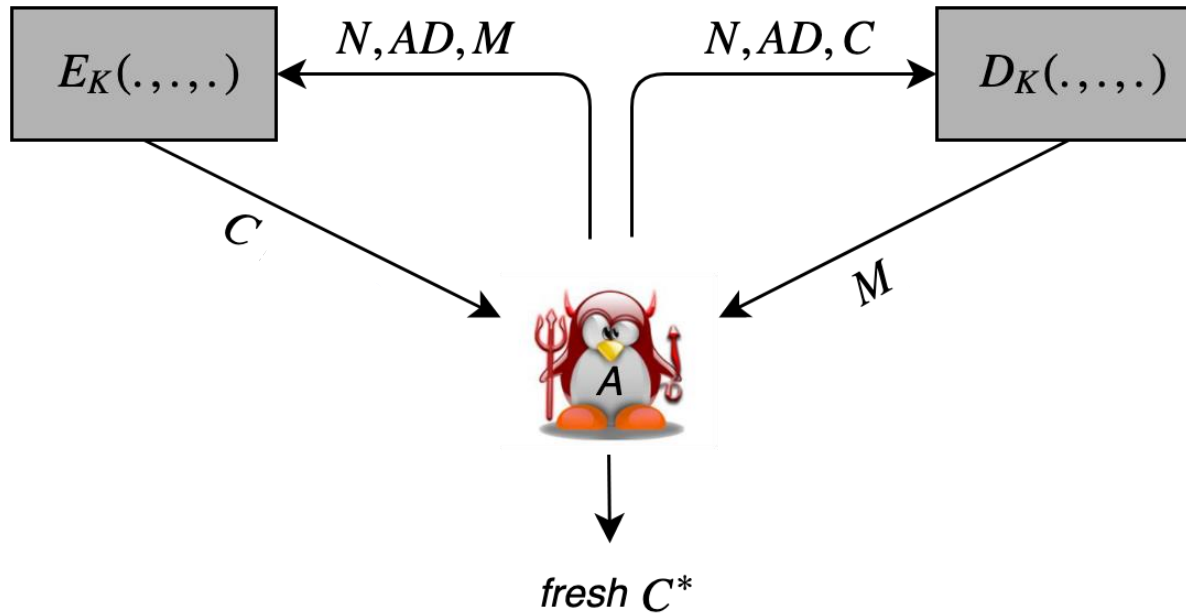
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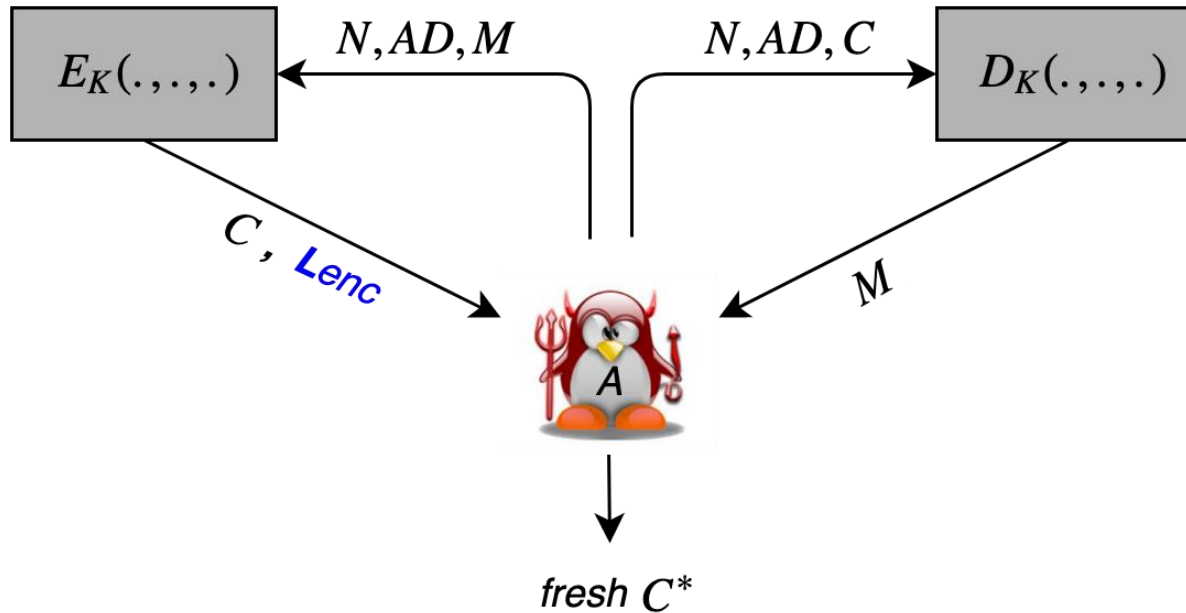
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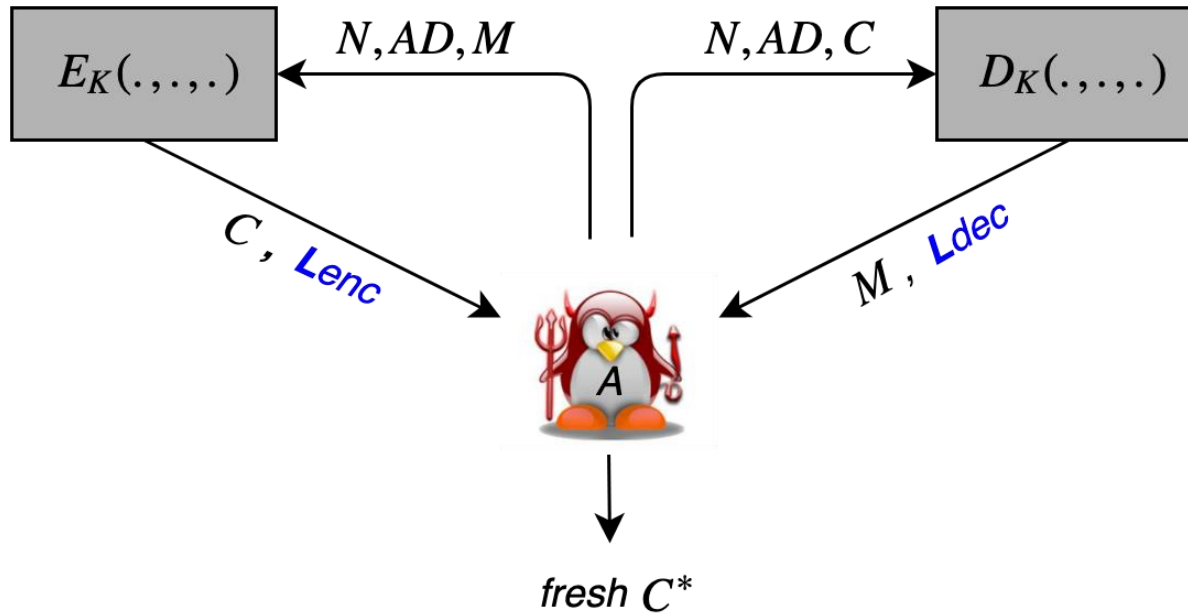
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- **Problem:** the leakage of ideal objects (which do not have implementations) seems difficult to define



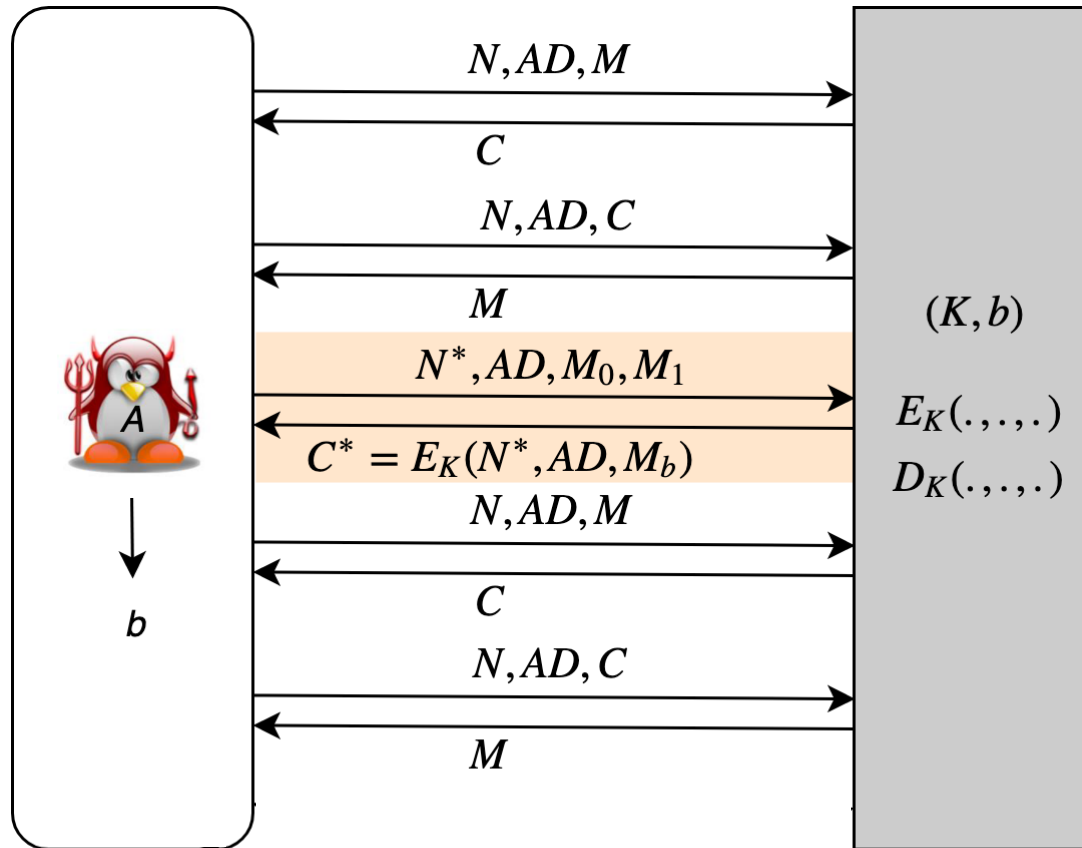


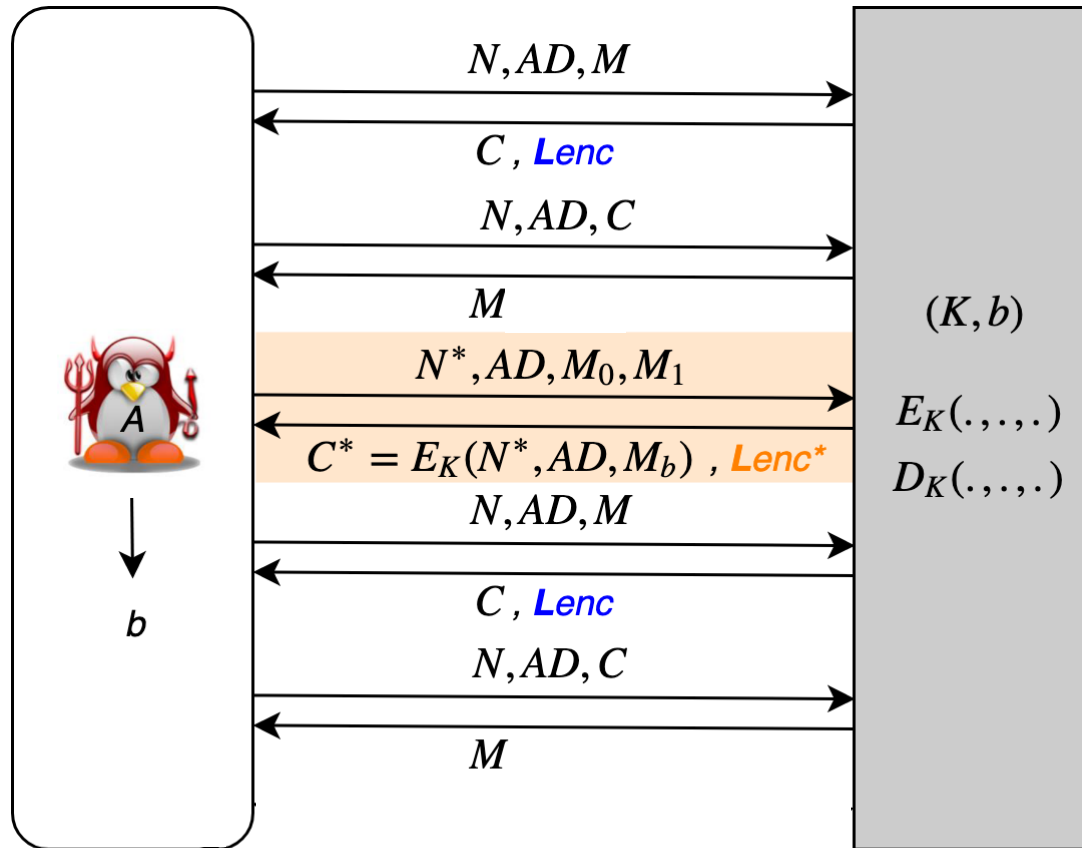


- CIL1: leakage in encryption only [Be+18]

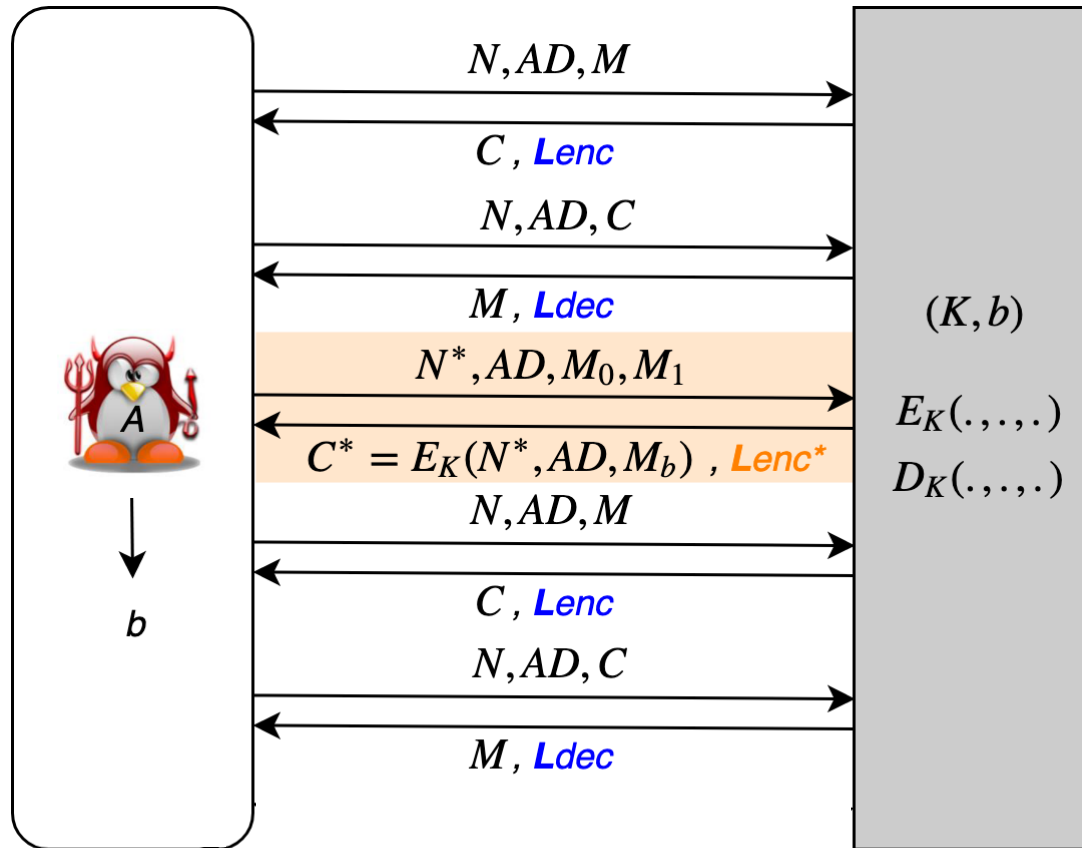


- CIL2: leakage in encryption and decryption [BPPS17]
- Natural extensions (no definitional challenges) with many applications (e.g., secure bootloading)

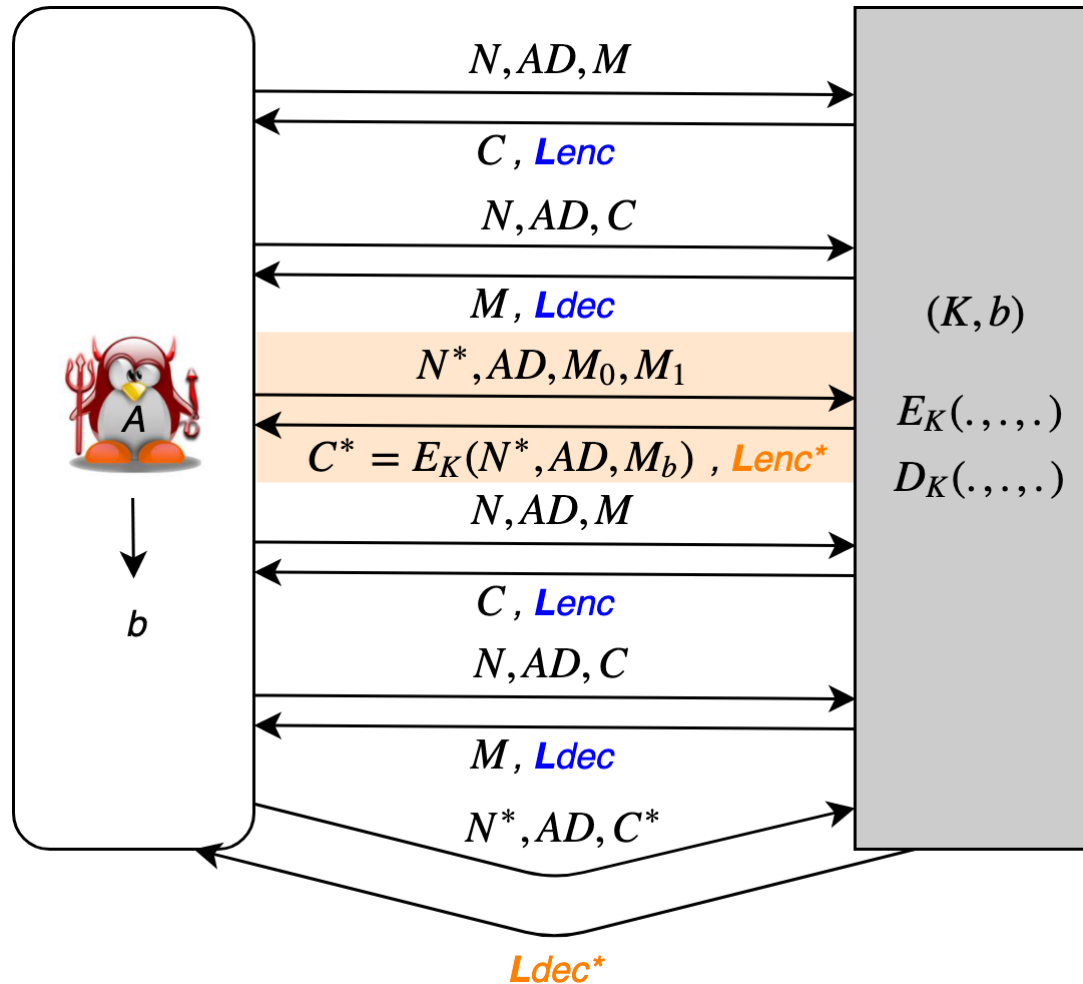





- CCAL1: leakage in encryption




- CCAL2: leakage in encryption and decryption



- + challenge  $Ldec^*$  (applications: IP protection, ...)

- [MR04] (and [NS09,BG10,...]): indistinguishability with *Lenc\** is hard (one bit breaks it with  $p = 1$ )
  - So it is quite tempting to ignore it 
  - Which can make sense (e.g., if you tolerate « local attacks » but not « global » security degradations)
    - **Leakage-resilience vs. leakage-resistance**



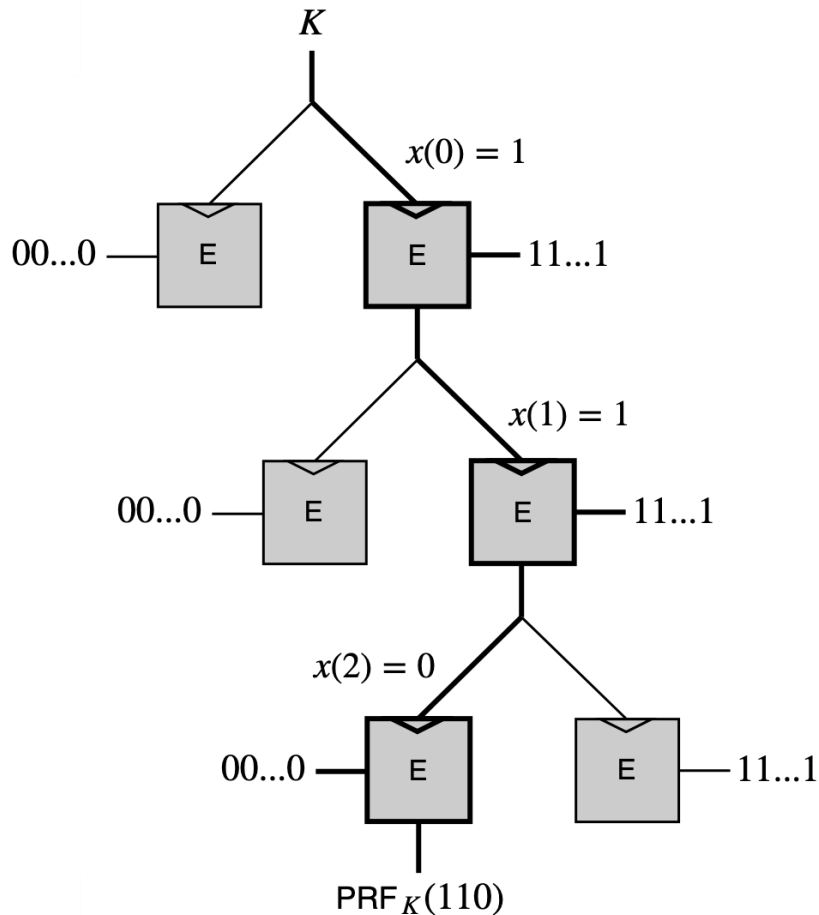
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    - **Leakage-resilience vs. leakage-resistance**
- Ignoring challenge leakages means that an implementation leaking messages in full is OK
  - This is not what we want in general / theory
  - It can have big impact (e.g., TLS [CHV03],[AP13], ...)
    - *Different attacks but they show plaintext leakage matters*

- If we do not make it part of the definition it will never be a goal for cryptographers & engineers
  - Cryptographers: minimize the message manipulation
  - Engineers: minimize message leakage, e.g., with special encodings (which is not much studied yet)

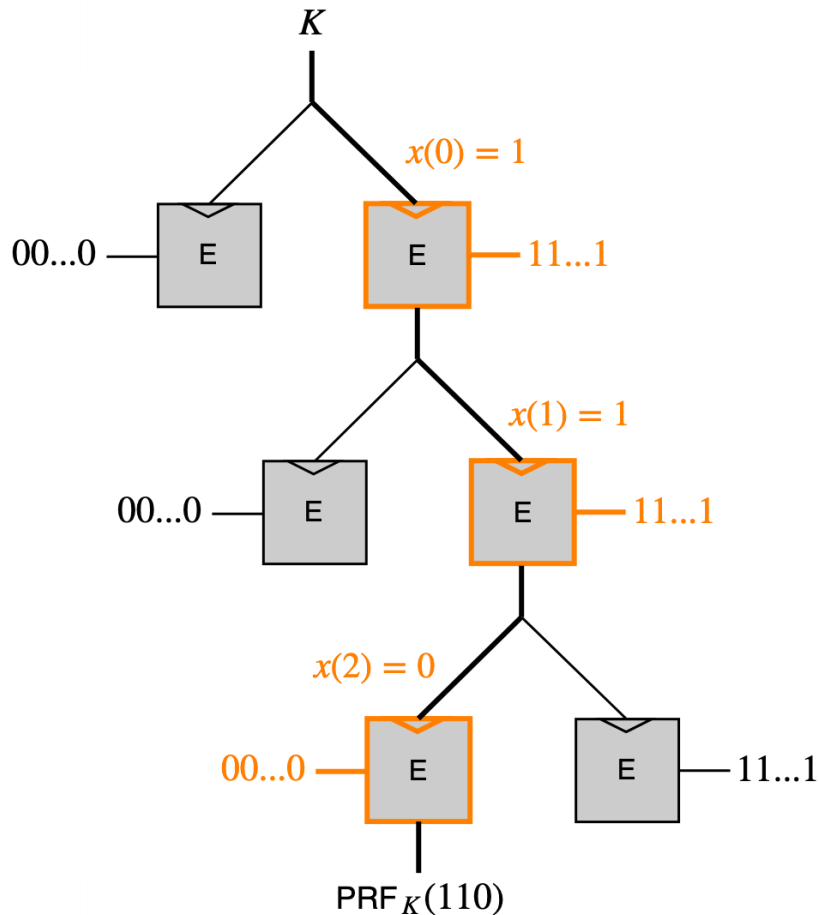
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- We need to understand what can be achieved
  - *Even if results are not ideal* (e.g., no negl. Adv.)
- **Technically: more greyscale view than [MR04]**
  - Challenge leakages allow Message Comparison (MC) attacks which are not always trivial, e.g.,
    - Remote timing attacks: scalar leakages (vs. vectors)
    - Proxy re-encryption: messages are not chosen

- Tree-based leakage-resilient PRF [GGM84,FPS12]



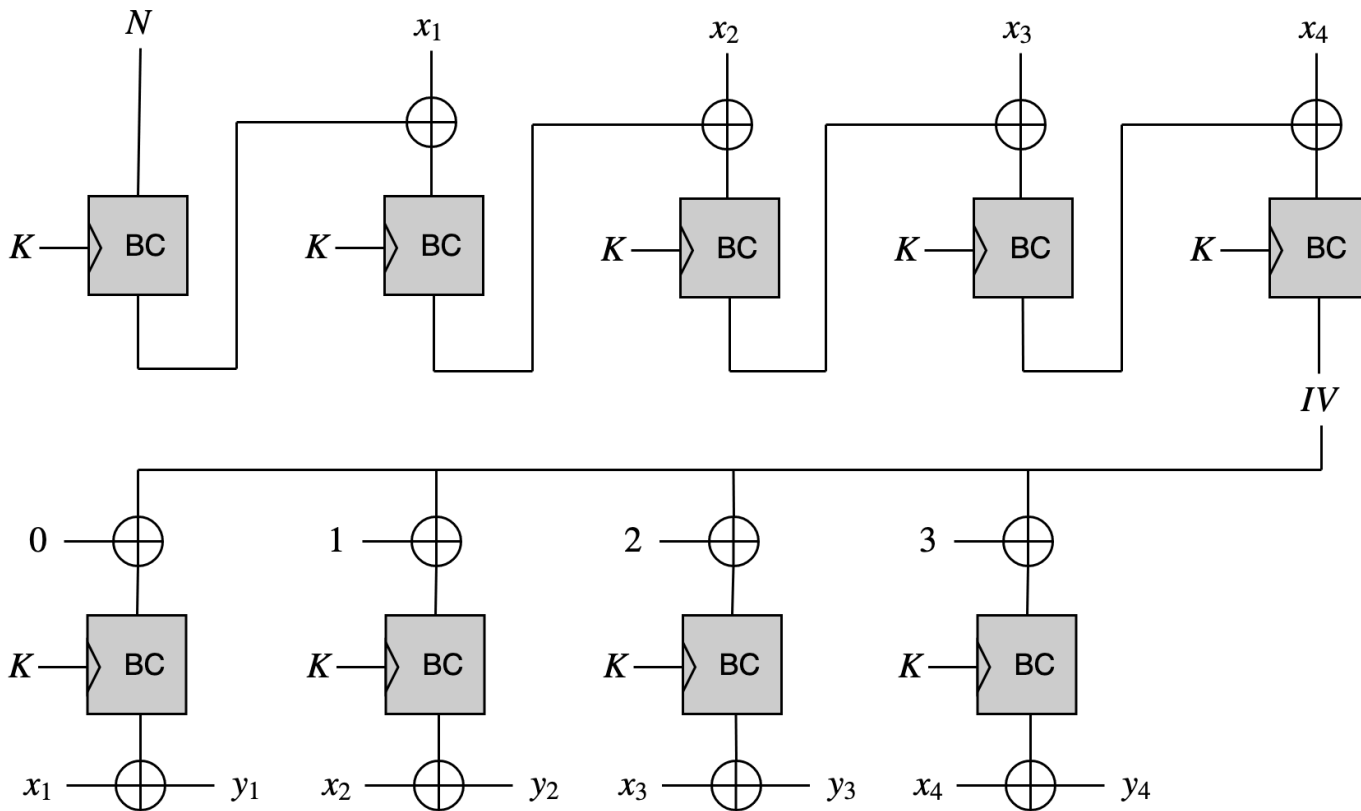
- Tree-based leakage-resilient PRF [GGM84,FPS12]



- Leads to simple **MC** attacks
  - Message encrypted bit per bit  $\Rightarrow$  no algorithmic noise
  - Constant block cipher inputs « all zeros » and « all ones » easy to distinguish with HWs [B12]
- (Yet is quite good against KR)

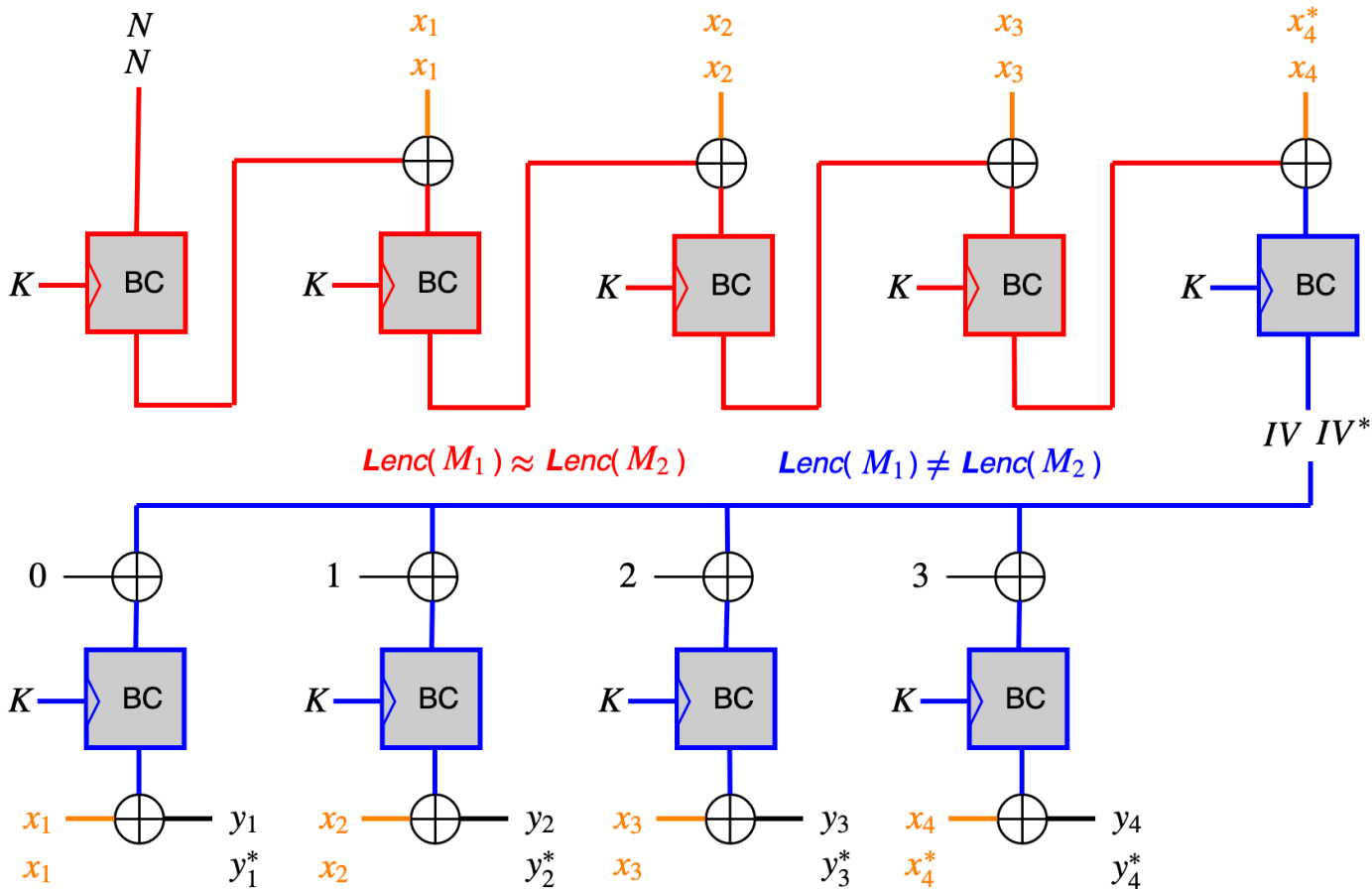
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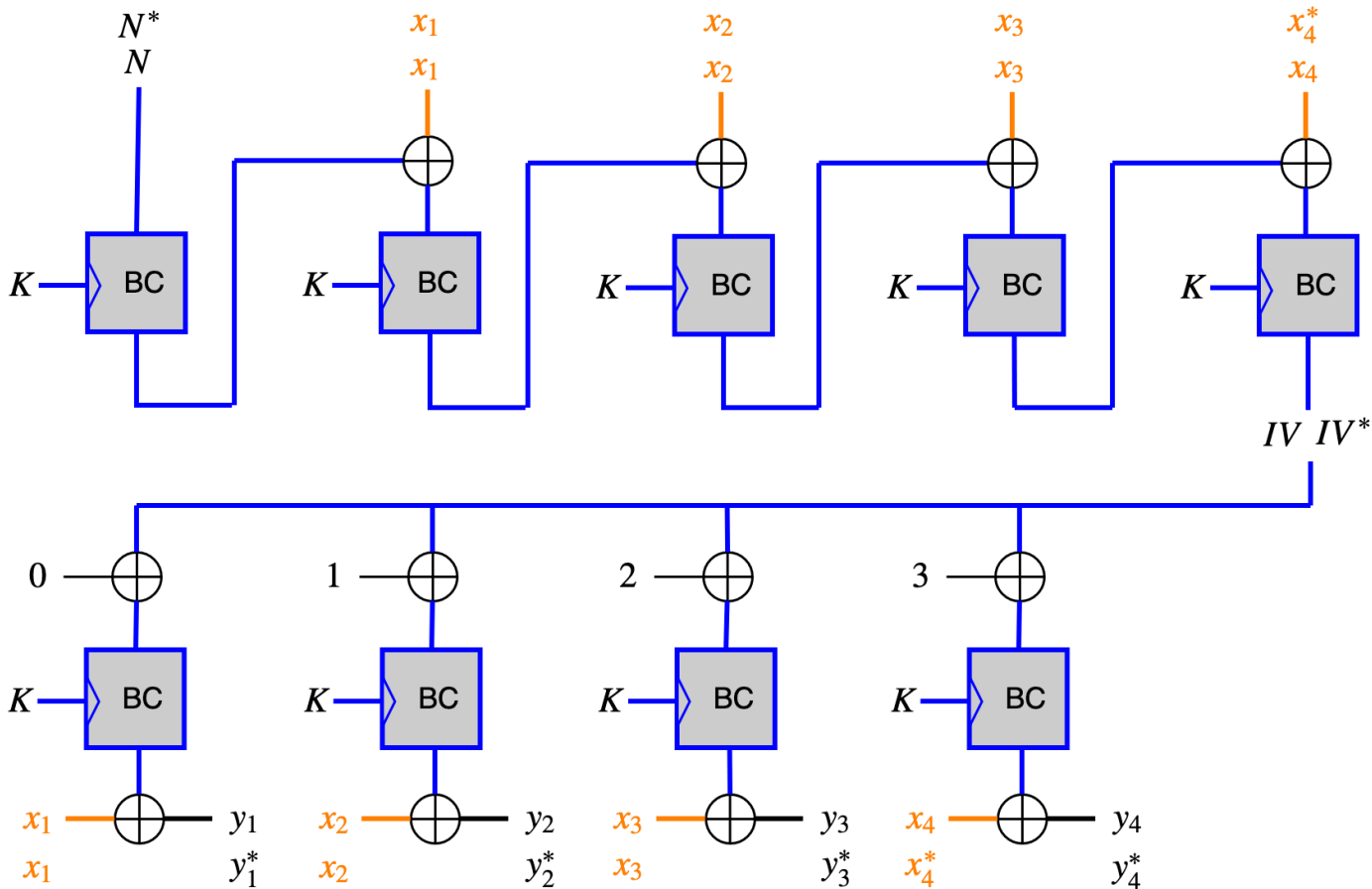


- Black box: only identical  $(N, M)$  pairs should be at risk
- Typically achieved by having a 2-pass mode (e.g., SIV)





- With leakage: a **SC** attack against  $M_1 = \{x_1, x_2, x_3, x_4\}$  and  $M_2 = \{x_1, x_2, x_3, x_4^*\}$  leaks that they first blocks are equal



- Fresh challenge nonce circumvent this impossibility
  - Intuition: leaves mostly **MC** attacks and **DPA**s

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- Strongest def.:  $\text{AEML} = \text{CIML2} + \text{CCA}_{mL2} + \text{CCAMI2}$

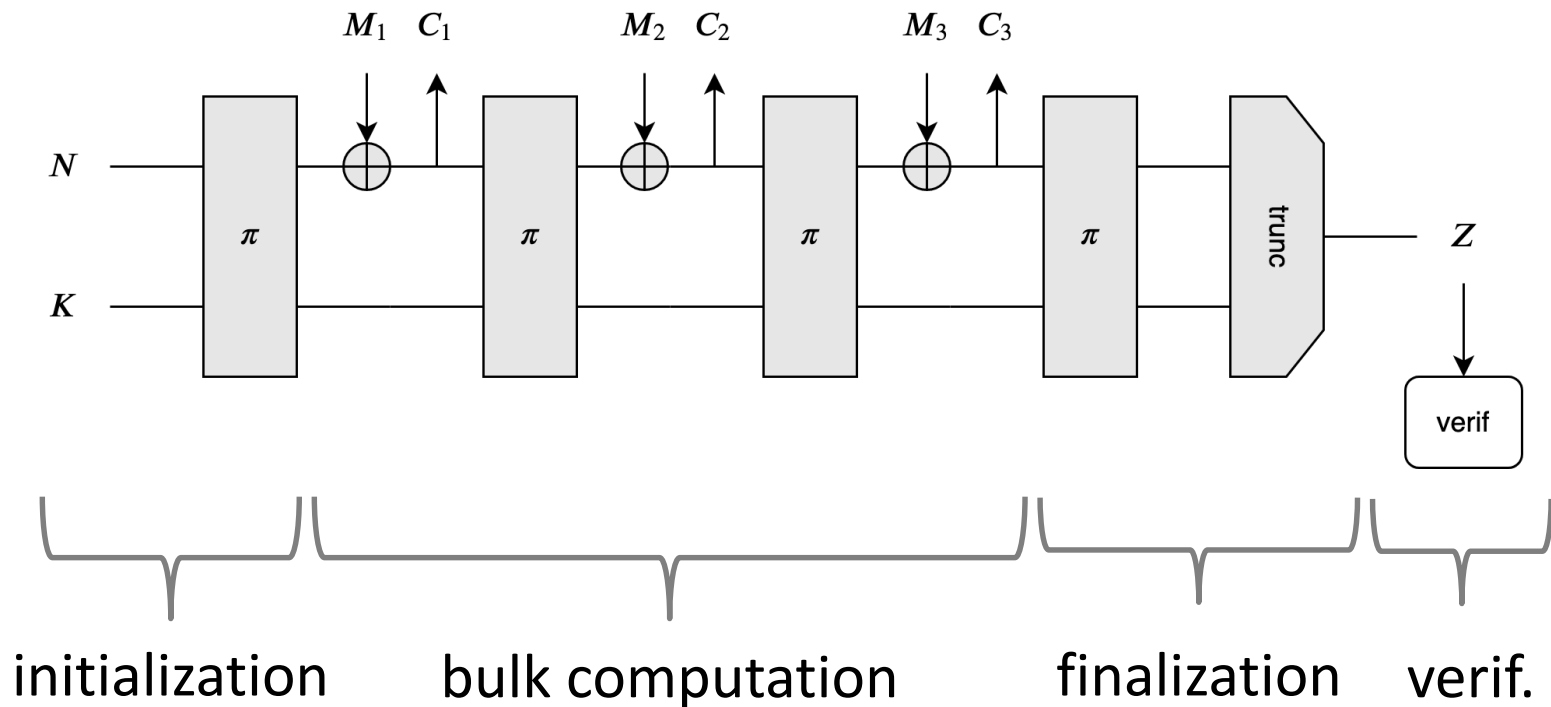
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- Strongest def.: AEmL=CIML2+CCA<sub>m</sub>L2+CCAMI2
- **Weaker variants can be meaningful: for instance AEmL=CIML2+CCA<sub>m</sub>L2 [Be+19], CPA1 [DM19], ...**

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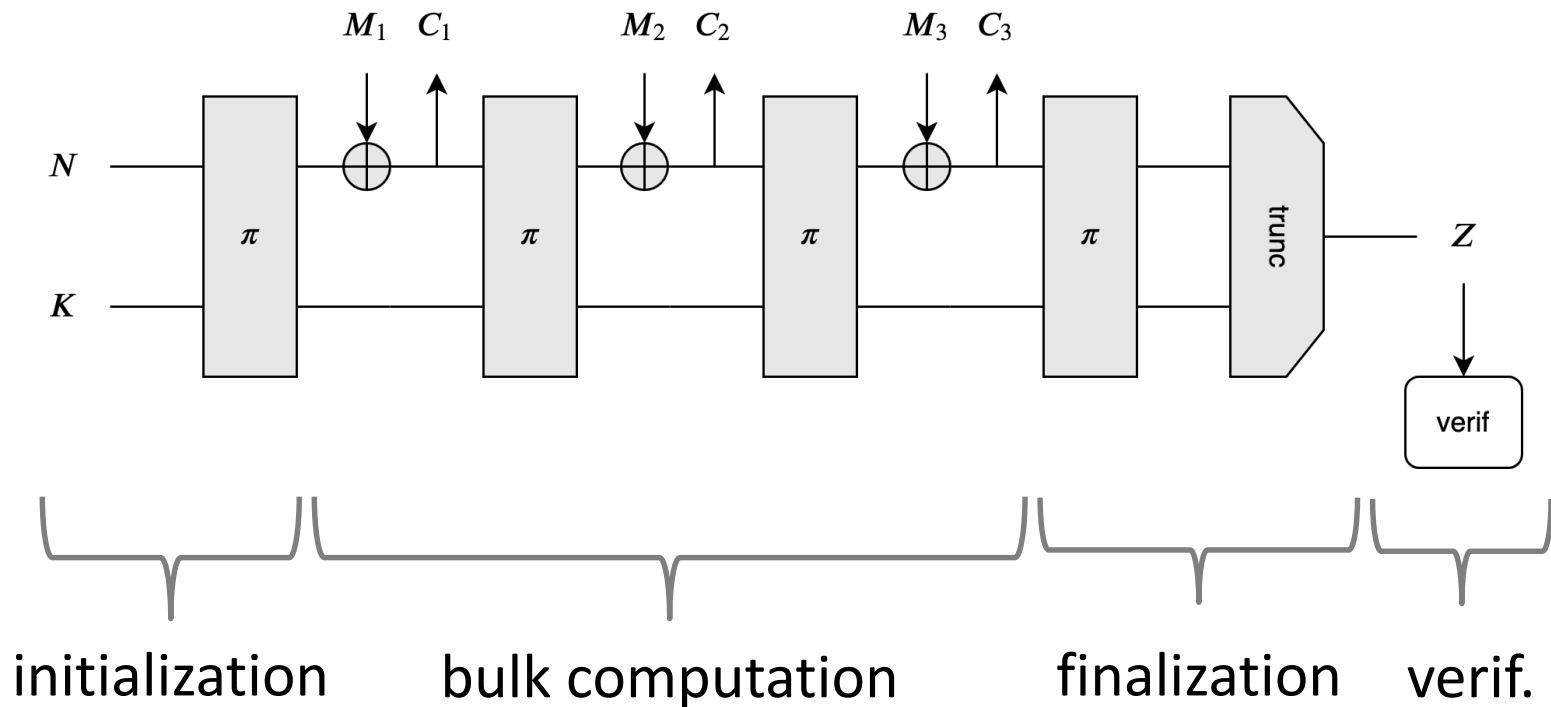
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- Identify main steps, e.g., inner keyed sponge

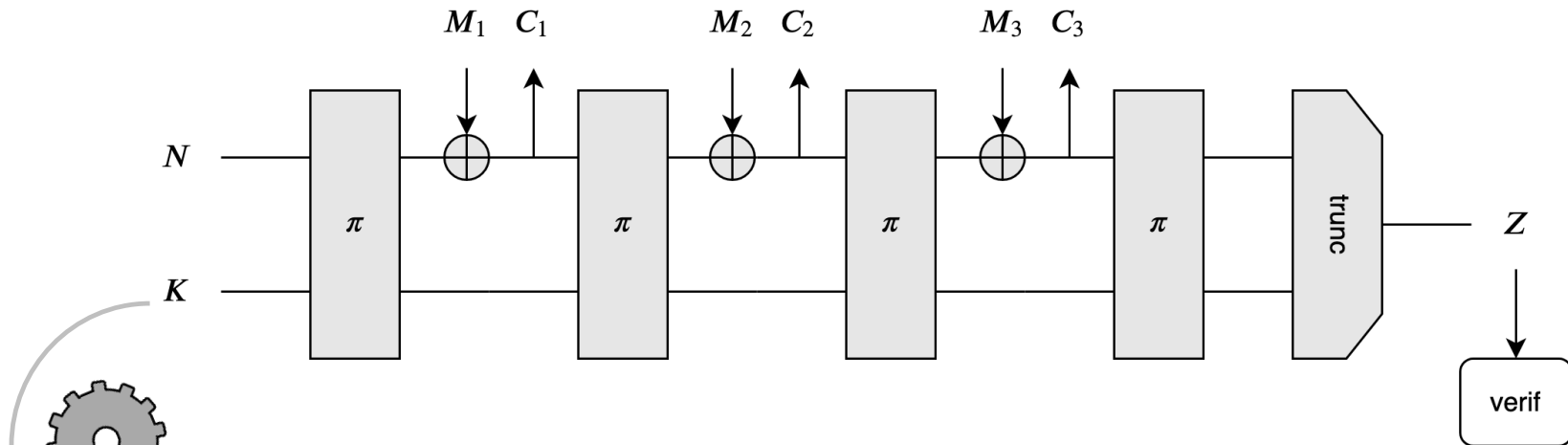


- Identify main steps, e.g., inner keyed sponge



- Choose the target for confidentiality & integrity

- Reduce the mode to (weak) assumptions (tightly)



only computation leaks

leak-free components    bounded leakage

strong unpredictability with leakage

simulatable leakages    hard-to-invert leakages

oracle-free leakages    [...]

- Translate assumptions into necessary design goals

	init./final.	bulk comp.	tag verif.
conf.	DPA (key recovery)	DPA (key recovery) SPA (key recovery) MC	∅
int.	DPA (key recovery)	DPA (key recovery) SPA (key recovery) unbounded leakages	DPA (tag recovery) unbounded leakages

- *Set the target security level ( $2^m$  leakages,  $2^t$  time)*
- *Evaluate implementation cost & performances*

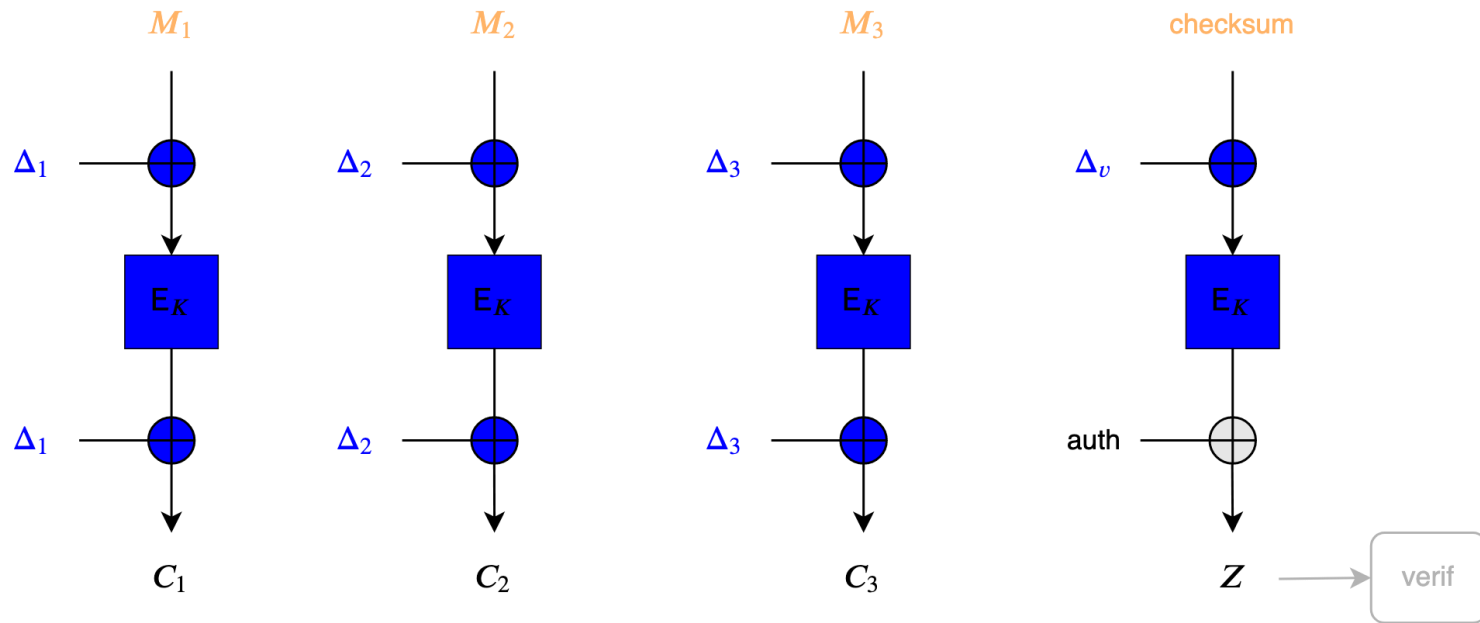
- Approximate performance overheads

	init./final.	bulk comp.	tag verif.
conf.	x 5 – 10 – >100	x 5 – 10 – >100 x 1 – 5 ???	∅
int.	x 5 – 10 – >100	x 5 – 10 – >100 x 1 – 5 x 1	x 5 – 10 – >100 x 1 – 5

- DPA** security: high-order masking, shuffling, ...
- SPA** security: parallel implementations, noise, ...

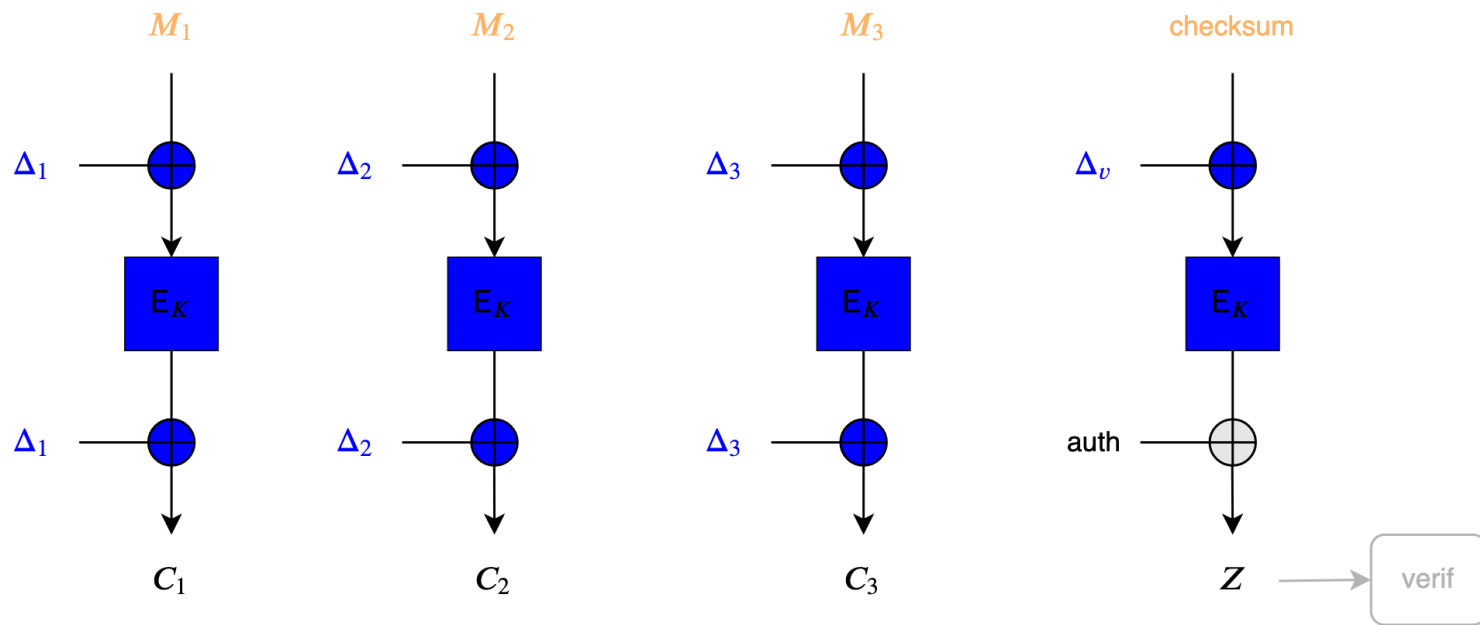
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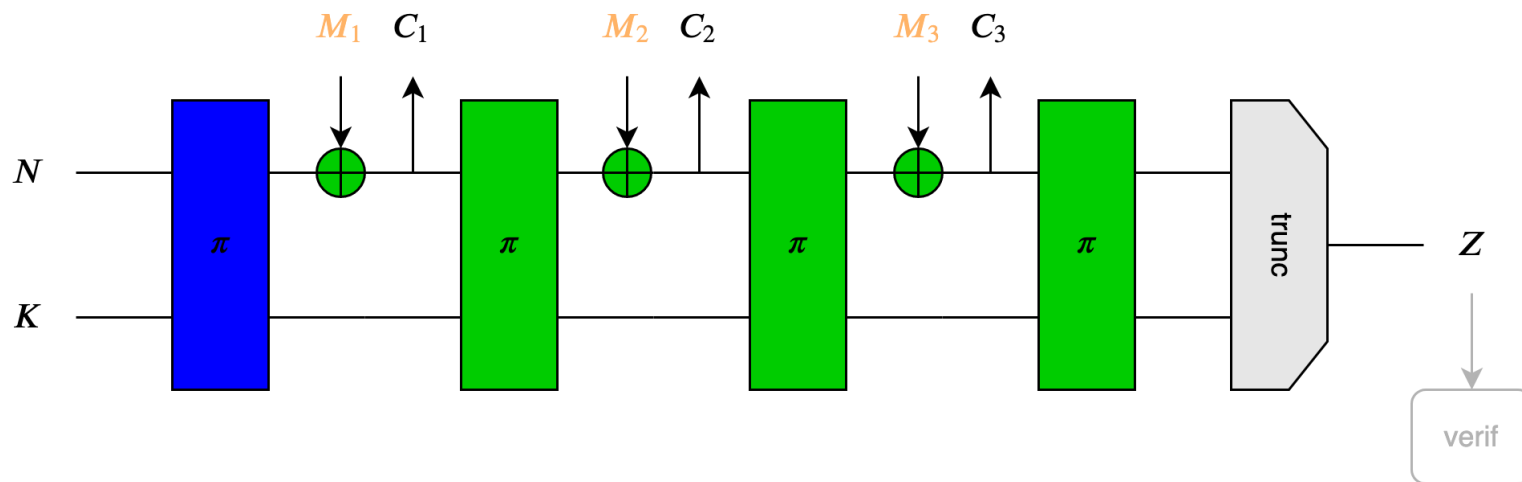
- Needs DPA resistance for all  $E_K$  blocks
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- Others: SKINNY-AEAD, SUNDABE-GIFT, OCB-AES, ...



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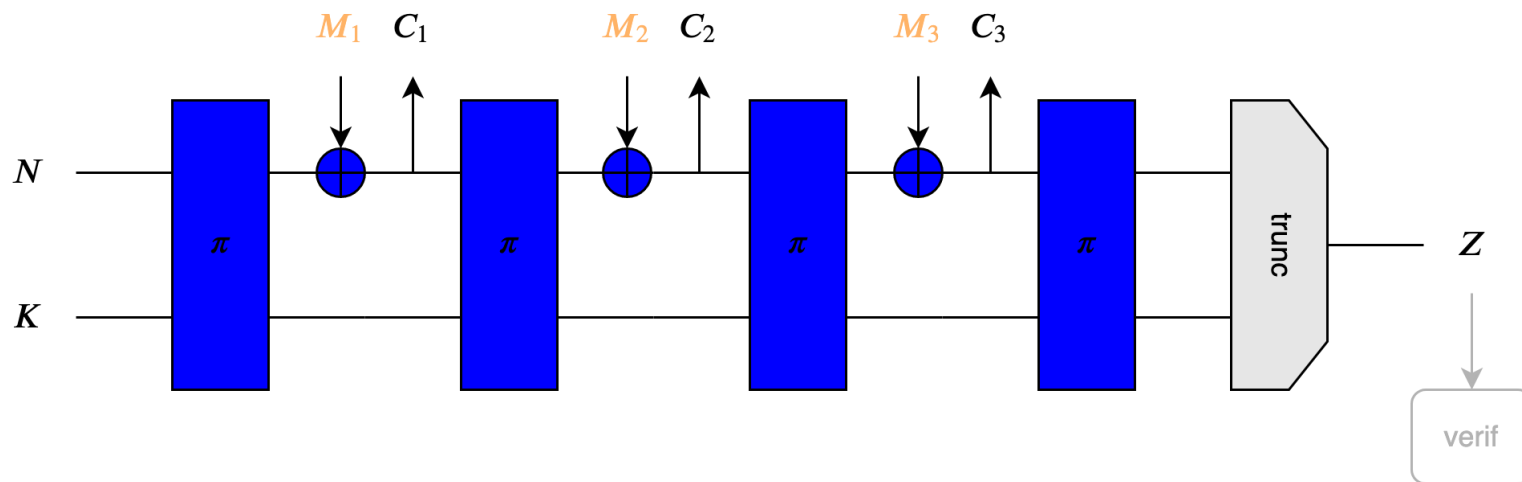
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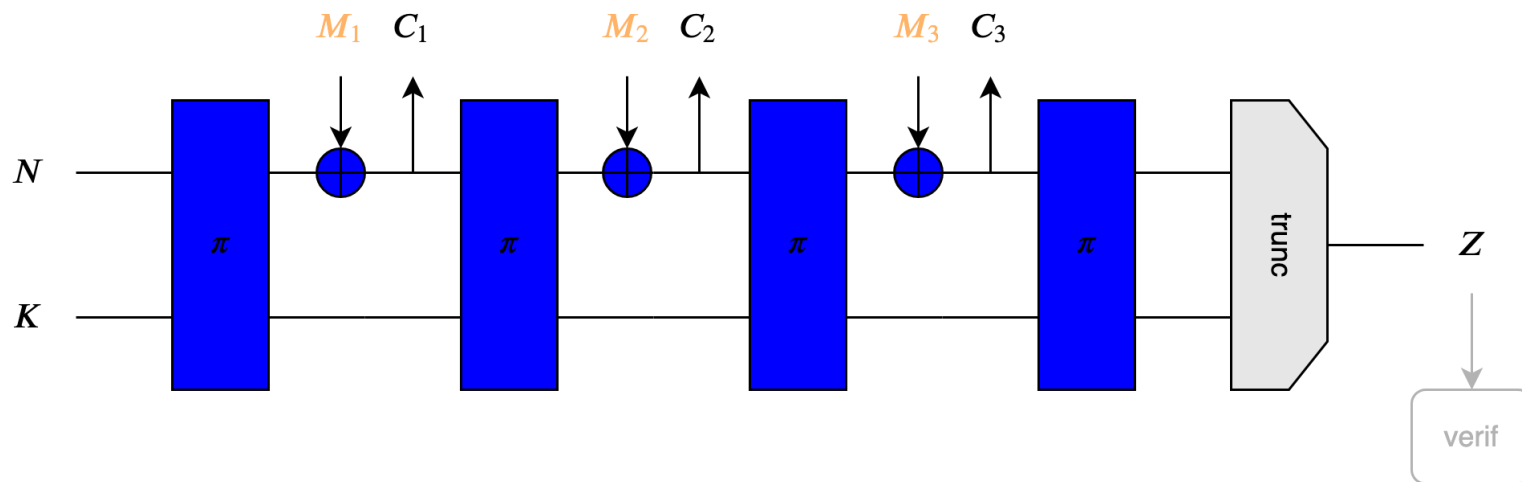
- Bulk computation only requires SPA security
  - Light green: no averaging is possible (fresh states)
- Calling for so-called “levelled” implementations
  - Energy gains thanks to 2 different implementations

- Target: CCAmL1, CIML1 (L in enc only, misuse)



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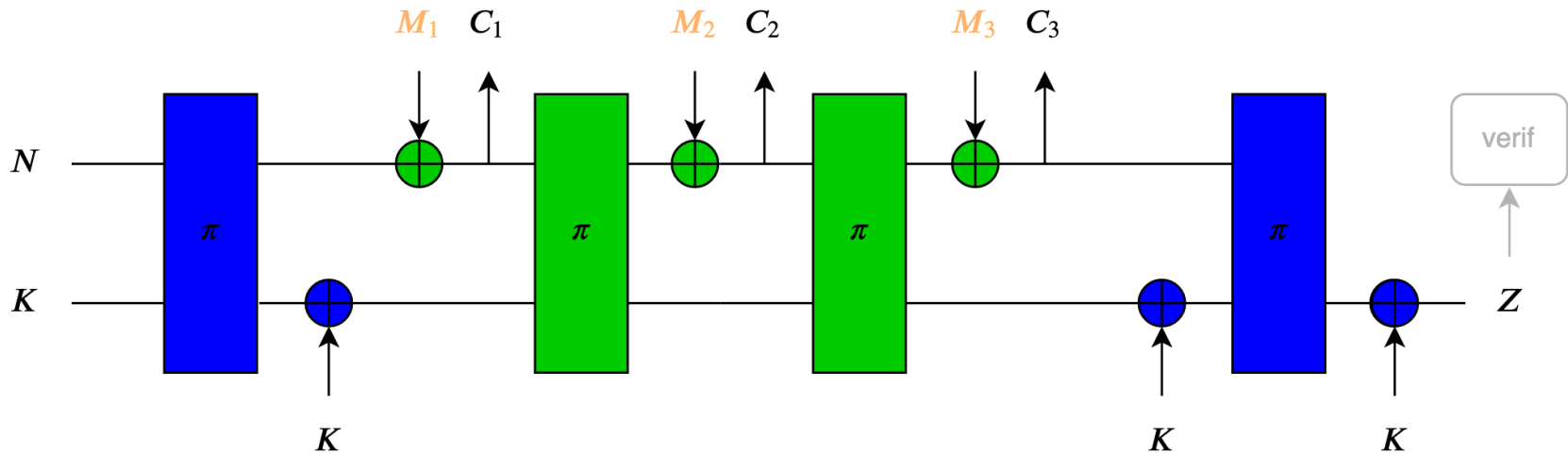


- DPA security needed everywhere with nonce misuse (idem with decryption leakages)
- Others: Gimli, Ketje, Oribatida, ...
  - (Roughly applies to all inner-keyed sponges)

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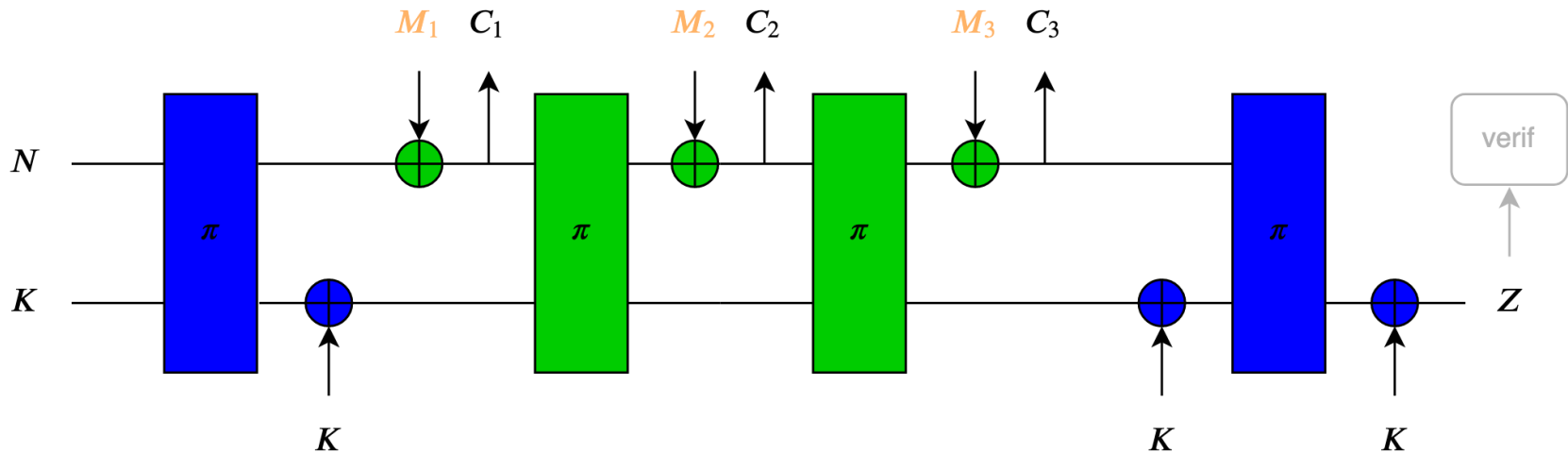
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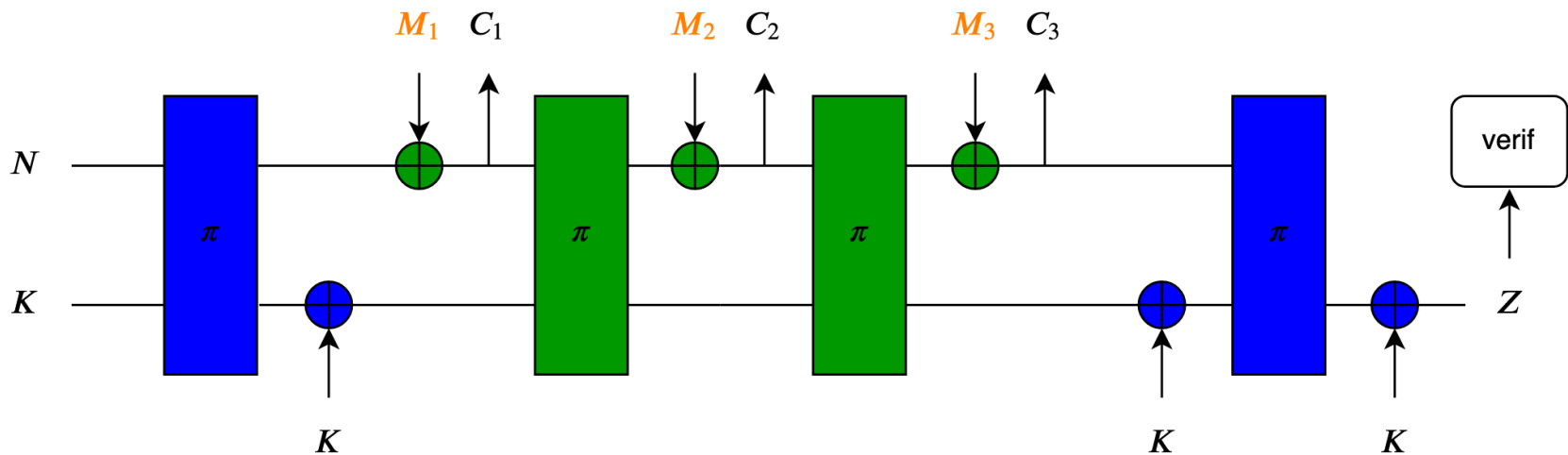
- Similar to inner-keyed sponges

- Target: CCAmL1 (L in enc only, misuse-resilience)



- Strengthened init./final. steps maintain the SPA resistance requirement for the bulk computation with nonce misuse and encryption leakages

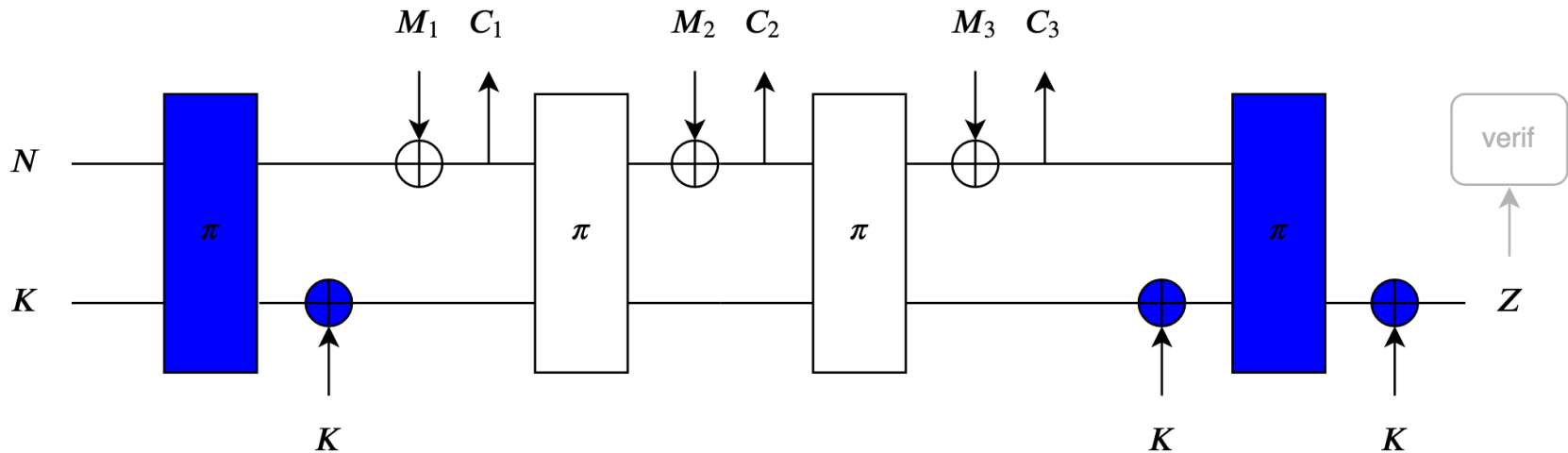
- Target: CCAmL2 (L in enc/dec, misuse-resilience)



- Limited confidentiality with decryption leakages
- Dark orange/green: message decrypted before verification  $\Rightarrow$  the same state can be repeatedly measured, allowing SPA with averaged leakage

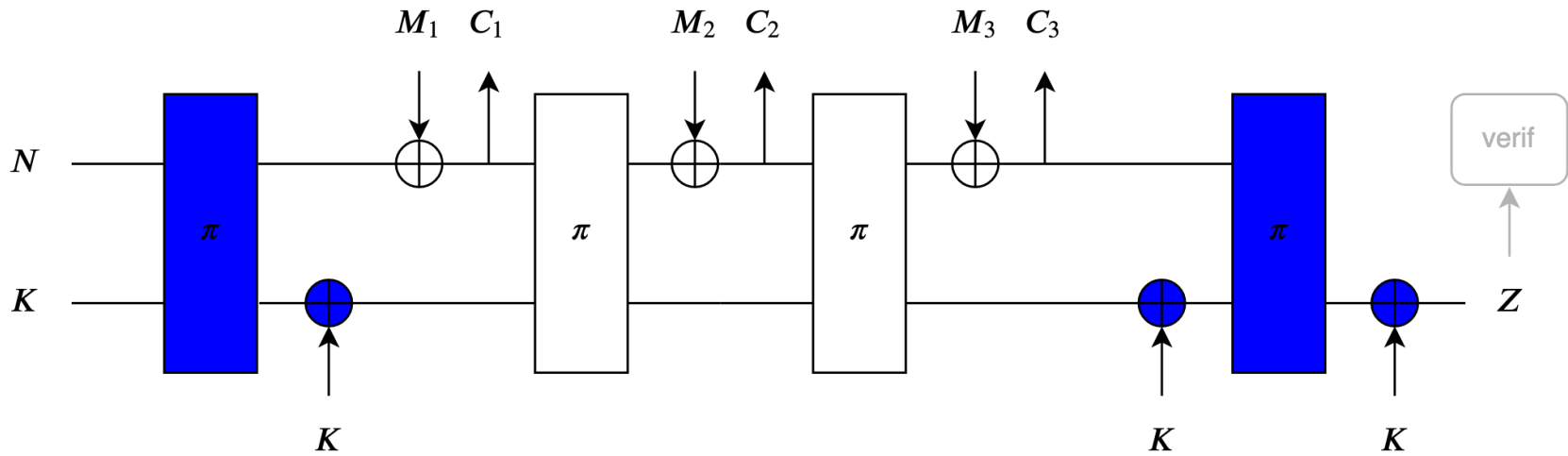


- Target: CIL1 (L in enc only, no misuse)



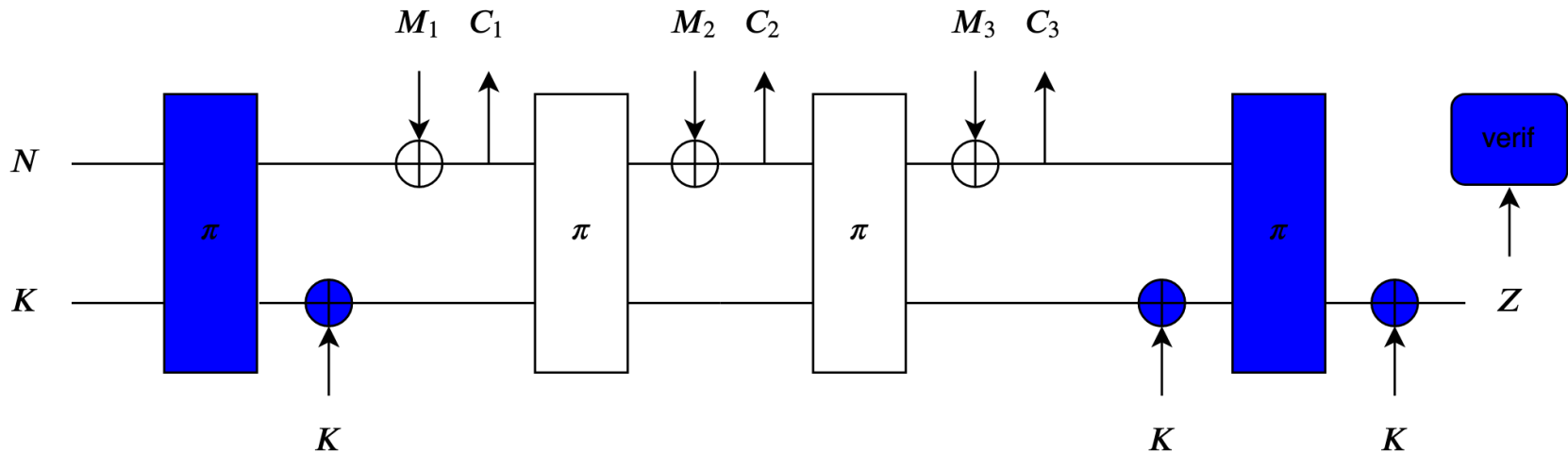
- Bulk computation leakage can be unbounded
- Shows interest of composite definitions!

- Target: CIML1 (L in enc only, misuse-resistance)



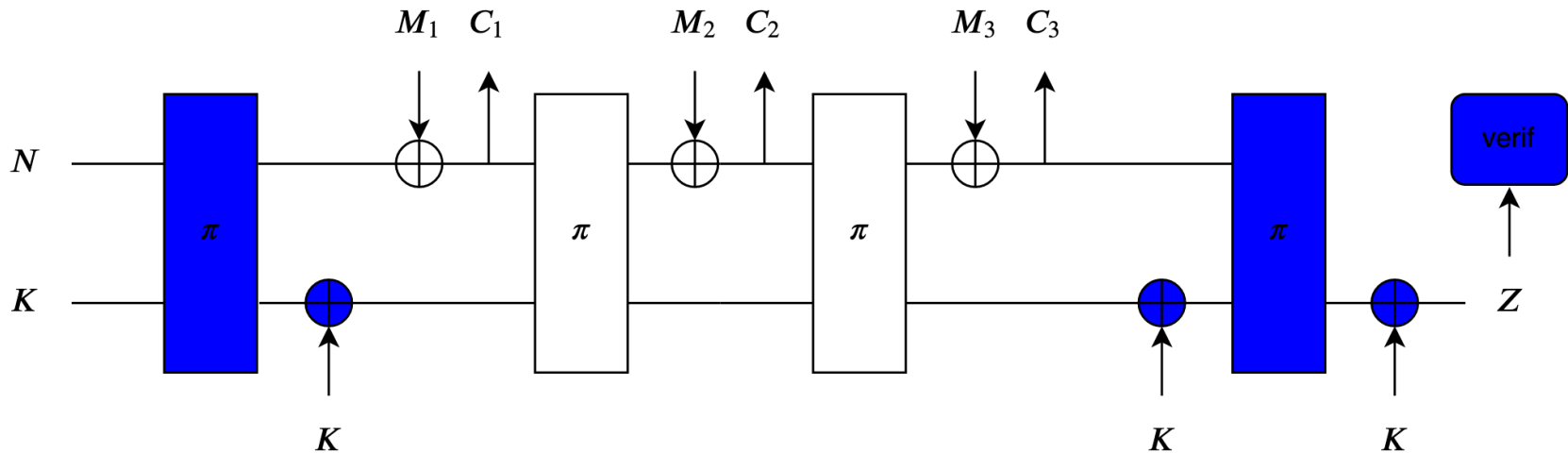
- Same feature (unbounded leakages for the bulk)

- Target: CIML2 (L in enc/dec, misuse-resistance)



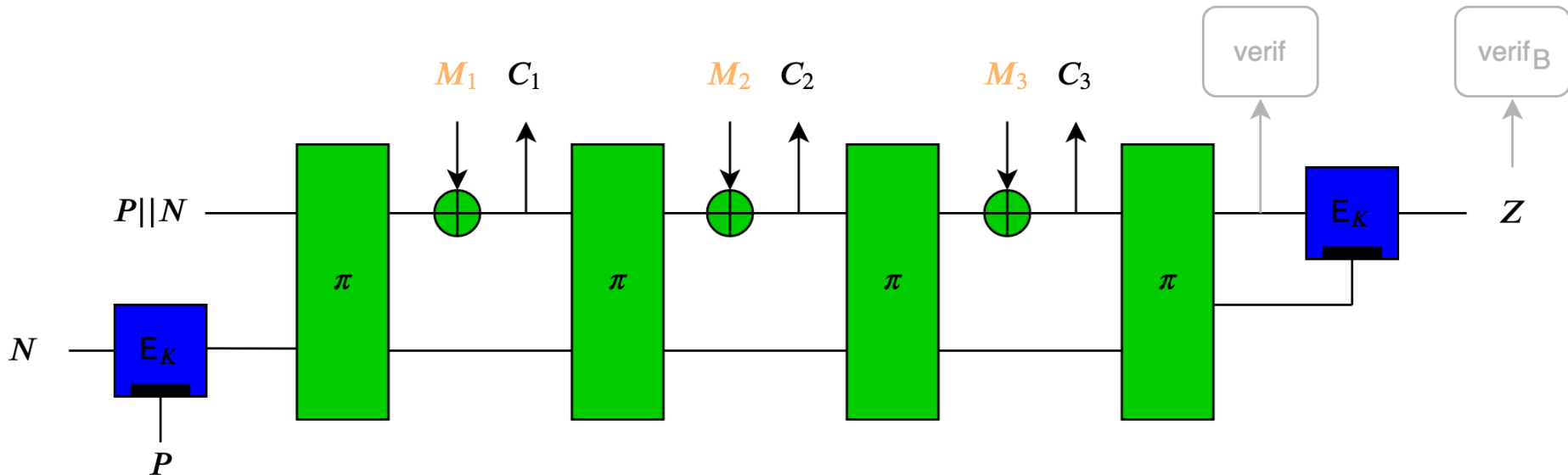
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- Target: CIML2 (L in enc/dec, misuse-resistance)



- Tag verification must be protected against DPA
- Shows key recovery security is not enough!
- Others: ACE, GIBBON, Spix, WAGE, ...

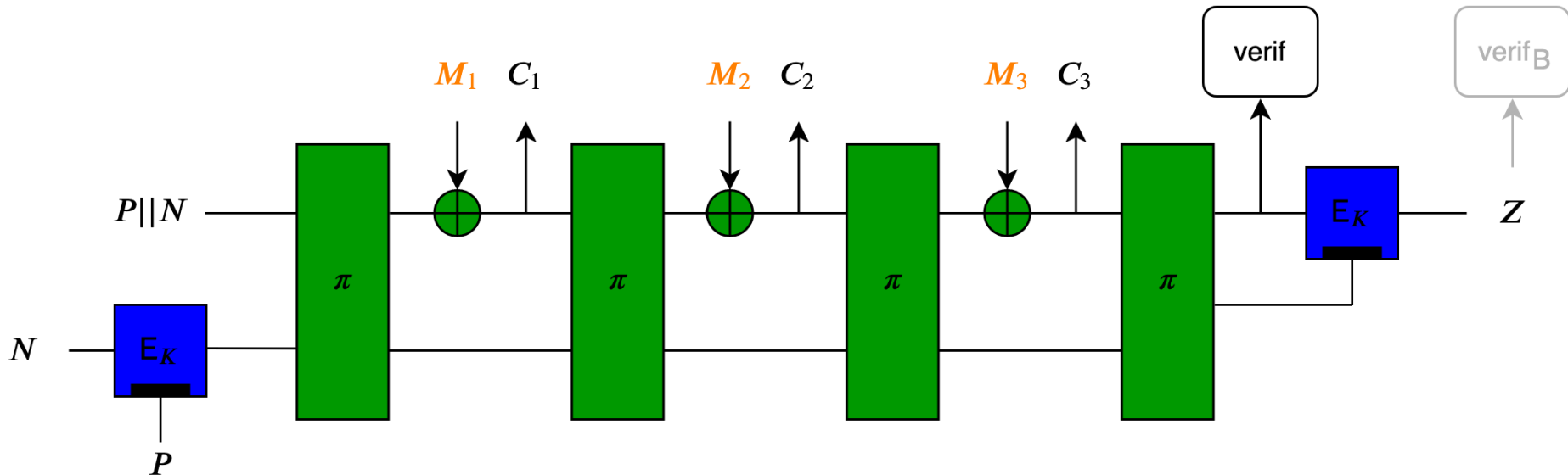
- CCAL1, CCAmL1



$\approx$  further exploiting the leveled implementation concept

- **Similar to ASCON** (but smaller masked state)

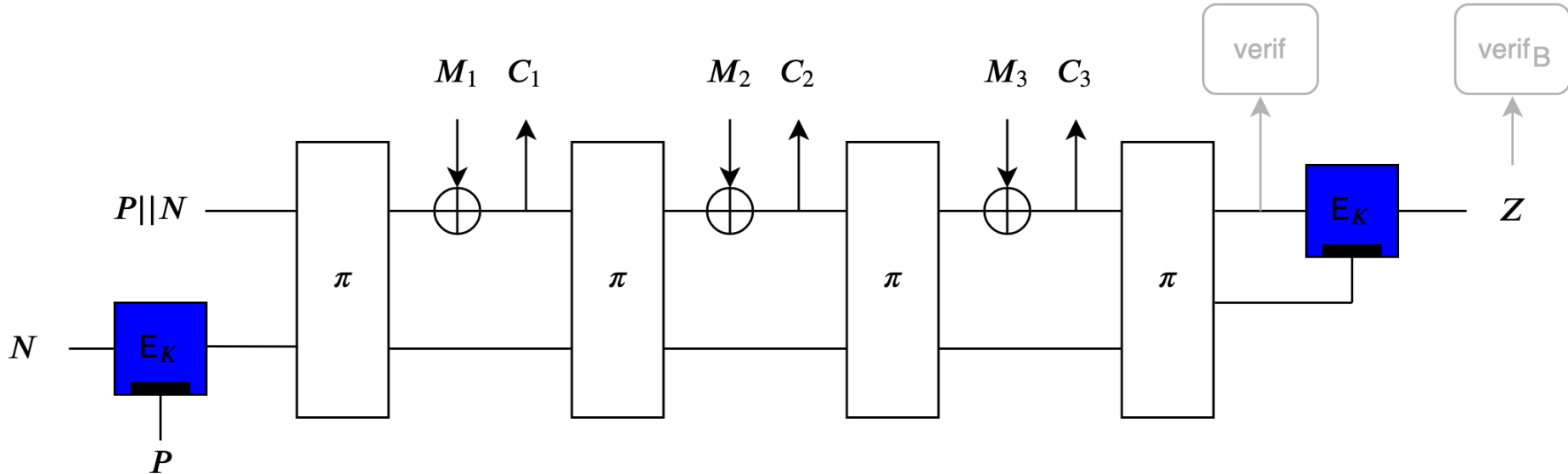
- CCAmL2



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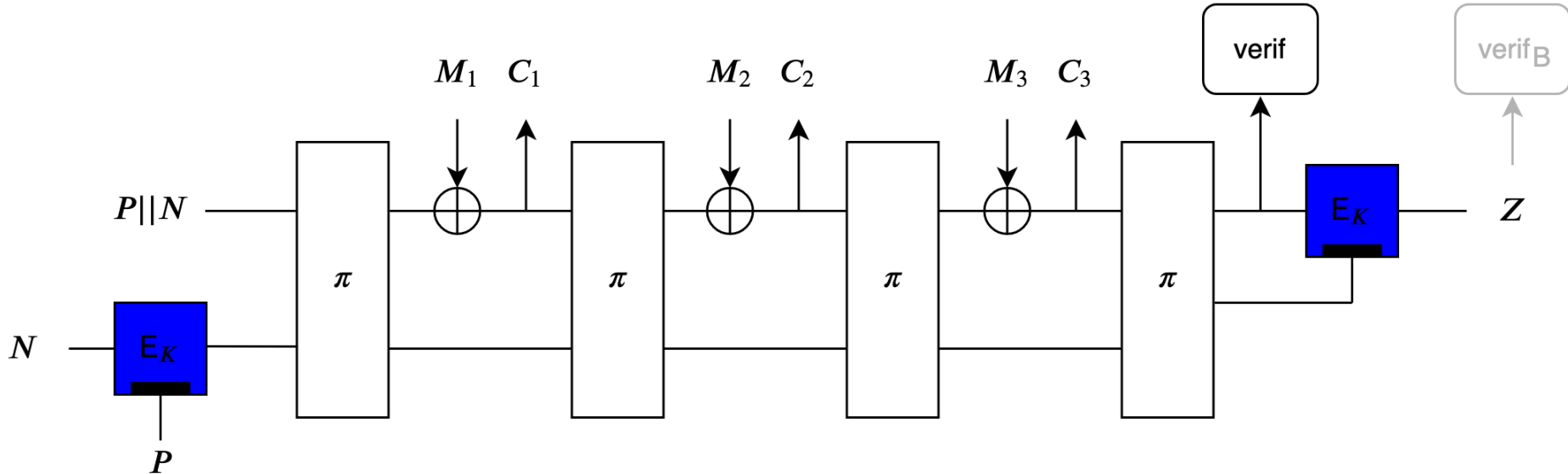
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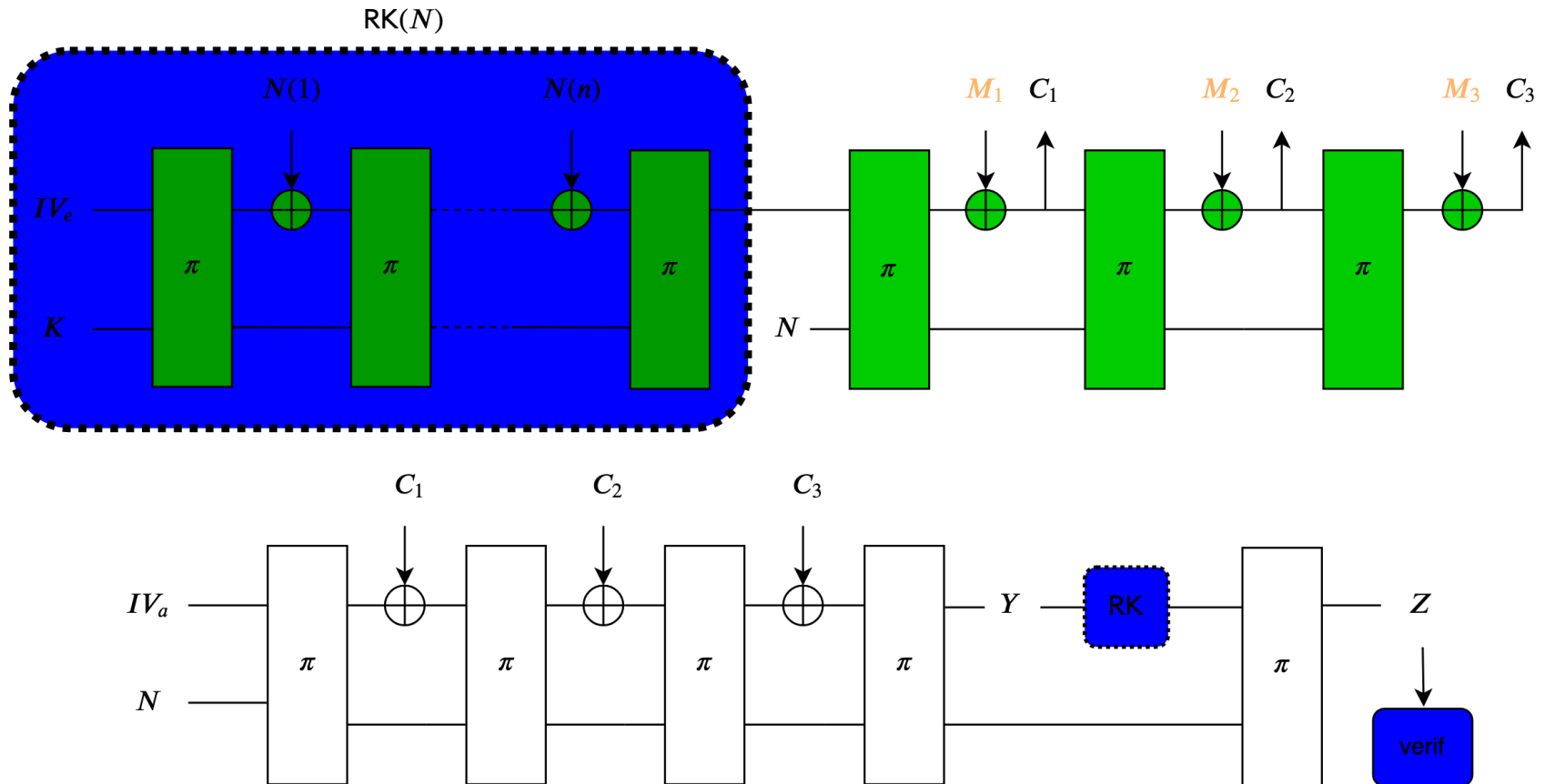
- Tag verification tolerates unbounded leakages
- (Inverse-free DPA resistant tag verif. also possible)
- Others: TBC-only variant (TET)



# Outline

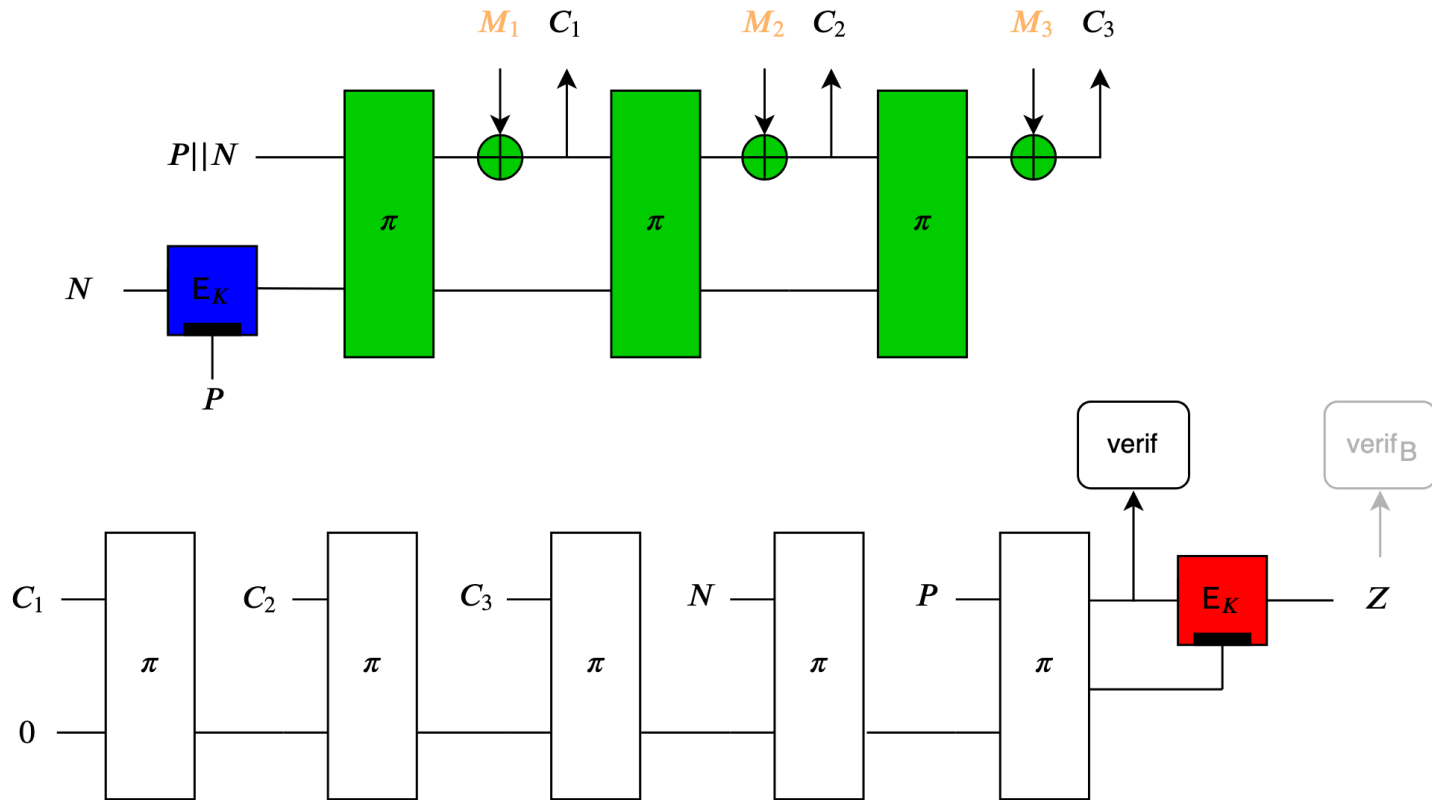
1. Side-channel (**crypt**)analysis: attacks taxonomy
2. Masking **countermeasure**: security vs. cost
3. Security **definitions** (authenticated encryption)
  - a. Nonce-respecting setting (i.e., AEL)
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  - Level 0: no mode-level leakage-resistance
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  - **Level 3: level 2 + two-passes**
2. **Conclusions** (& the need of open evaluations)

- CCAmL2 (L in enc/dec, misuse-resilience)



- 2 pass  $\Rightarrow$  confidentiality in dec. if DPA-resistant verif.

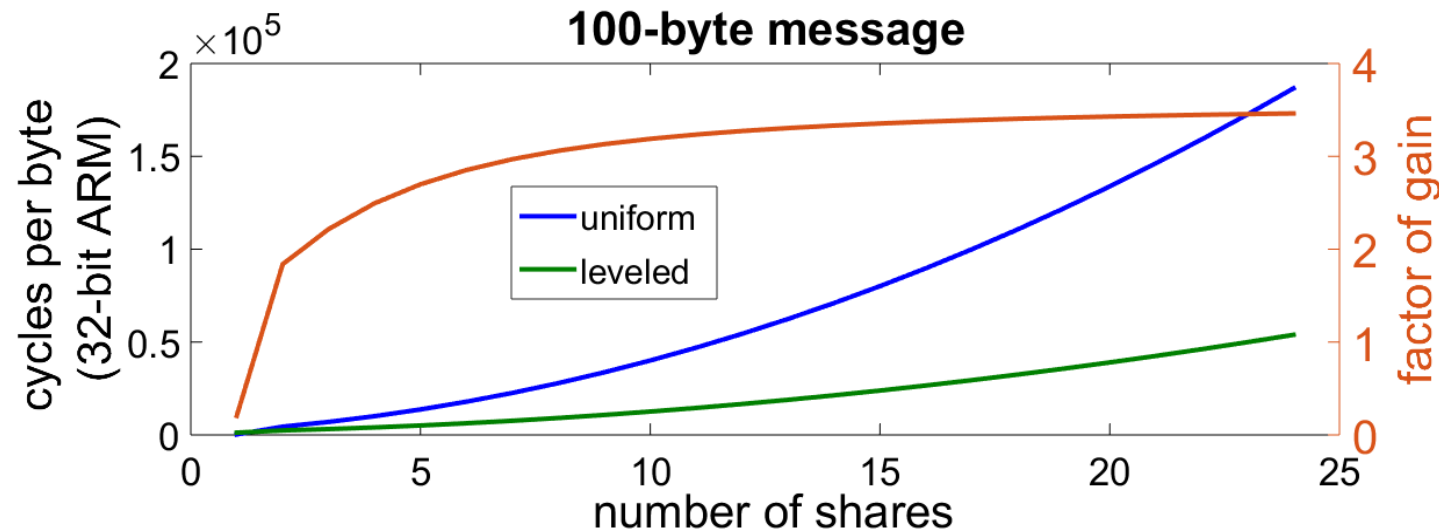
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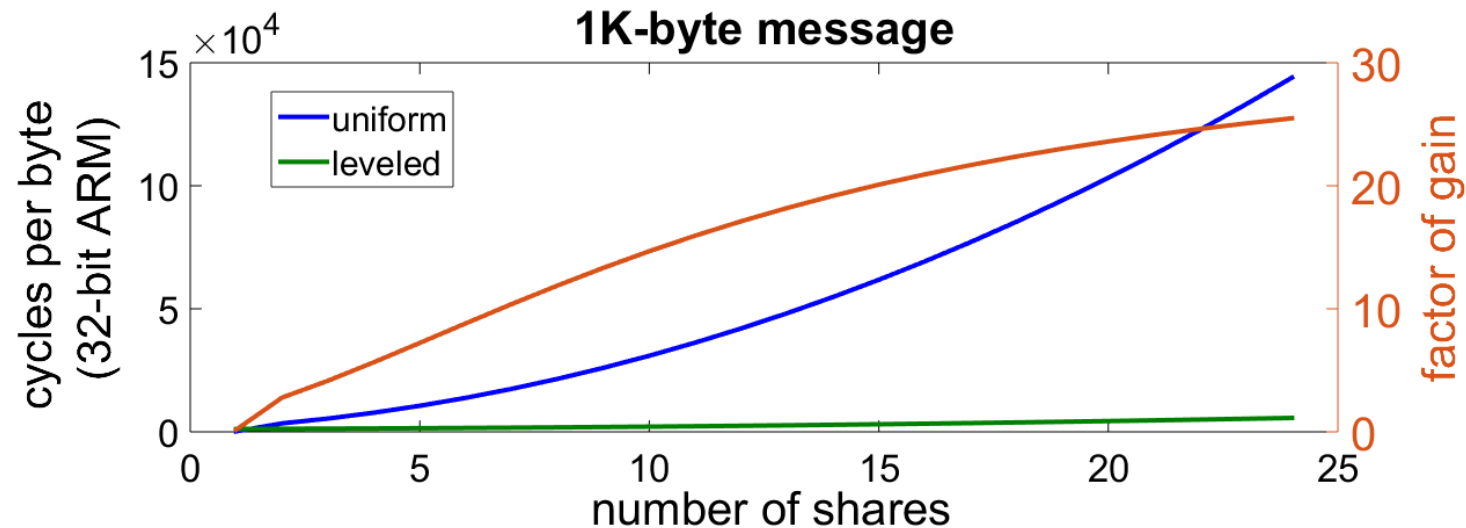
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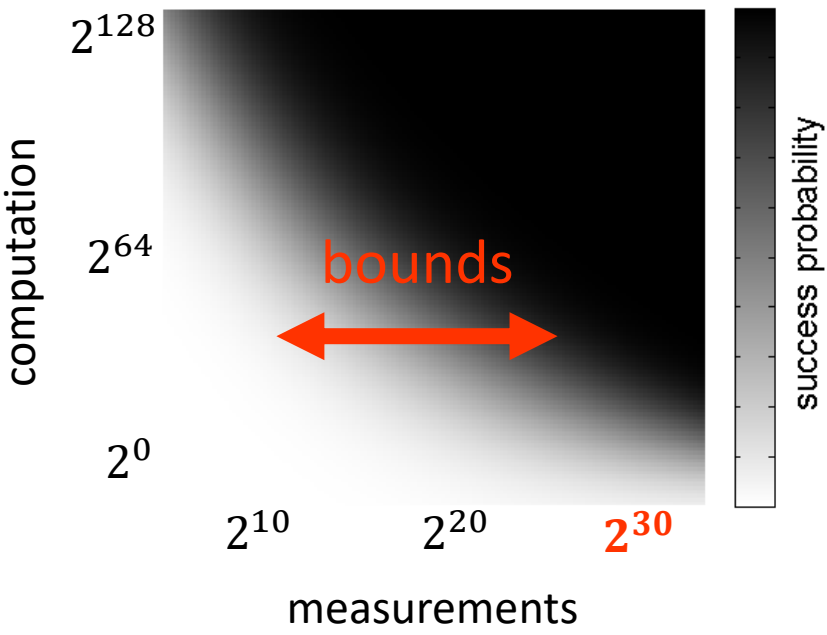
$\Rightarrow$  Hope: strong assumptions in the proofs/analyzes indicate where implementers must put most efforts

- We have good ingredients  $\Rightarrow$  how to mix them?
- Evaluation of AE schemes for various security targets
- Links between the different security notions
- Graceful degradations (for C1ML2, CC1ML2)
- Proofs under weaker physical assumptions
- Application to signatures/PKE?
- Cipher designs / key-homomorphic primitives
- Masking (physical defaults, composition, ...)
- Improved confidentiality for 1-block messages
- Prototype (open source) implementations
- Anything leading to simple(r) hardware guidelines...

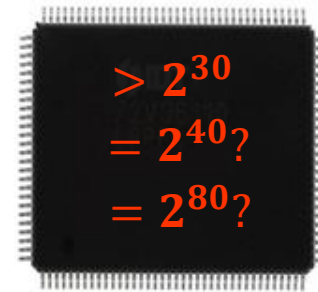
standard practice



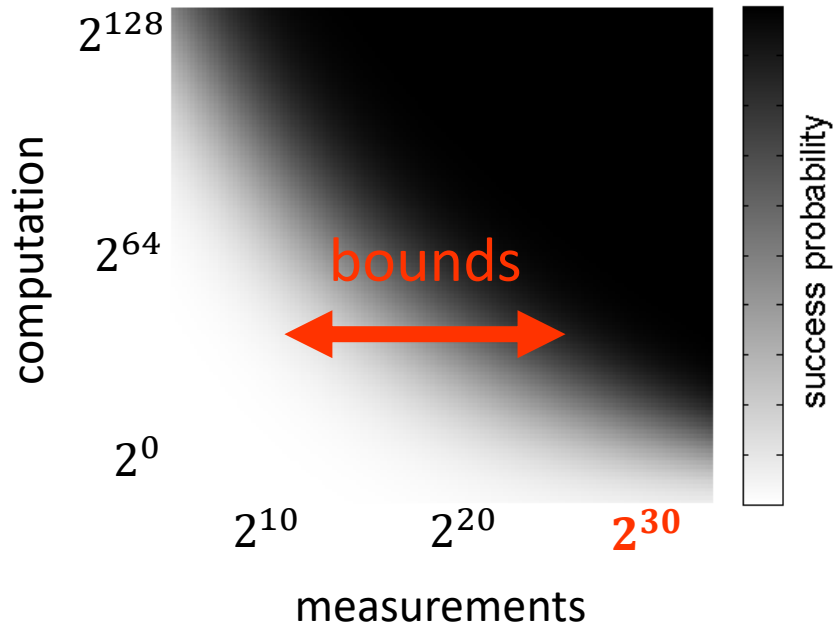
evidence-based evaluations  
*(assumptions tested per device!)*



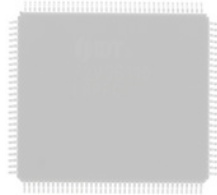
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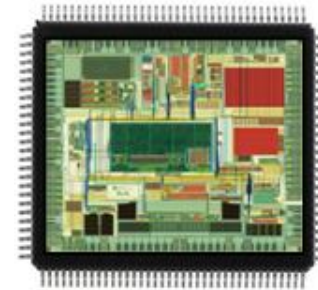
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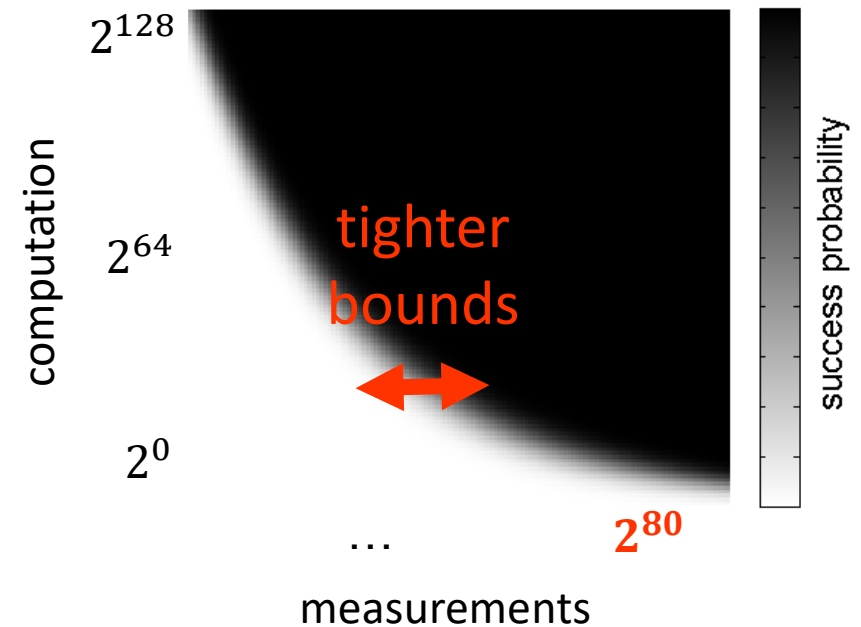
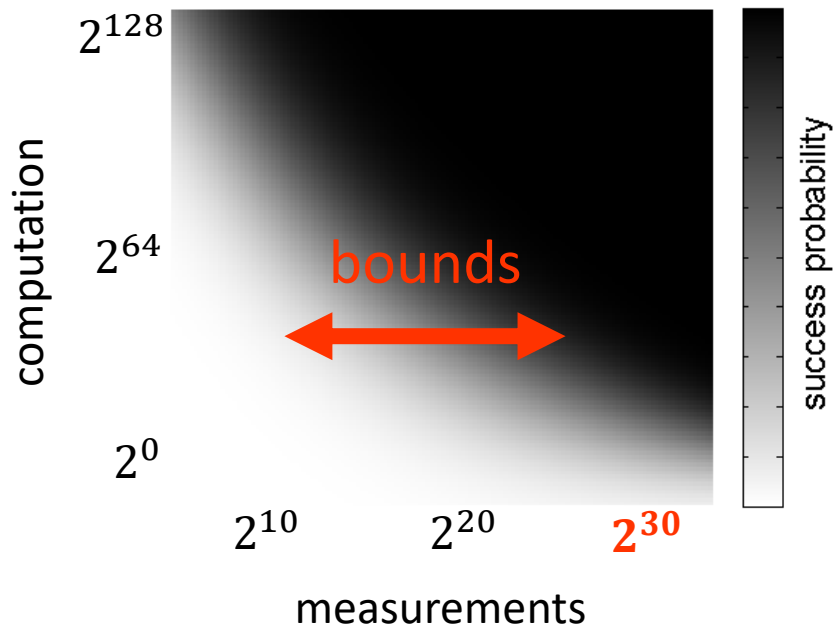


open design & evaluation



evidence-based evaluations  
on reduced versions

proof-based evaluations [DFS15,GS18]



# THANKS

<http://perso.uclouvain.be/fstandae/>