

# Supercategorification and Odd Khovanov Homology Part 1

Léo Schelstraete

13 october 2020

# 1 Khovanov homology

Jones  
polynomial

$$J\left(\text{trefoil}\right) = -q^{-9} + q^{-5} + q^{-3} + q^{-1}$$

# 1 Khovanov homology

Khovanov  
homology

$$Kh\left(\text{trefoil}\right) = \frac{i \mid \begin{array}{cccc} -3 & -2 & -1 & 0 \end{array}}{Kh_i \mid \begin{array}{cccc} \mathbb{Q}[-9] & \mathbb{Q}[-5] & 0 & \mathbb{Q}[-3] \oplus \mathbb{Q}[-1] \end{array}}$$

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↖  $\left. \begin{array}{l} q\text{-graduation :} \\ \text{qdim}(Kh_{-3}) = q^{-9} \end{array} \right\}$

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# 1 Khovanov homology

Khovanov  
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$$\begin{array}{c}
 Kh(\text{trefoil}) = \begin{array}{c|cccc}
 i & -3 & -2 & -1 & 0 \\
 \hline
 Kh_i & \mathbb{Q}[-9] & \mathbb{Q}[-5] & 0 & \mathbb{Q}[-3] \oplus \mathbb{Q}[-1]
 \end{array} \\
 \left. \begin{array}{l} \text{---} \\ \text{---} \\ \text{---} \end{array} \right\} \begin{array}{l} q\text{-graduation :} \\ q\dim(Kh_{-3}) = q^{-9} \end{array} \\
 \begin{array}{cc}
 (-1)^{-2}q^{-5} & (-1)^0(q^{-3} + q^{-1}) \\
 (-1)^{-3}q^{-9} & \\
 \end{array} \\
 \downarrow \qquad \downarrow \qquad \downarrow \\
 J(\text{trefoil}) = -q^{-9} + q^{-5} + q^{-3} + q^{-1}
 \end{array}$$

Jones  
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# 1 Khovanov homology

Khovanov  
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$$\sum_i (-1)^i q^{\dim(Kh_i)}$$

Jones  
polynomial

$$Kh\left(\text{trefoil}\right) = \frac{i \mid \begin{array}{cccc} -3 & -2 & -1 & 0 \end{array}}{Kh_i \mid \begin{array}{cccc} \mathbb{Q}[-9] & \mathbb{Q}[-5] & 0 & \mathbb{Q}[-3] \oplus \mathbb{Q}[-1] \end{array}}$$

$q$ -graduation :  
 $q\dim(Kh_{-3}) = q^{-9}$

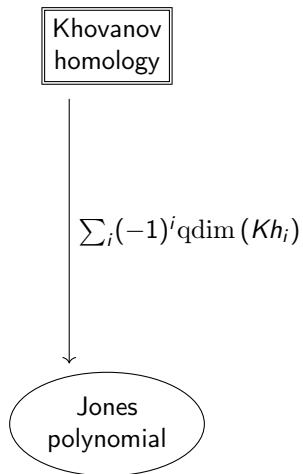
$$(-1)^{-2} q^{-5}$$

$$(-1)^0 (q^{-3} + q^{-1})$$

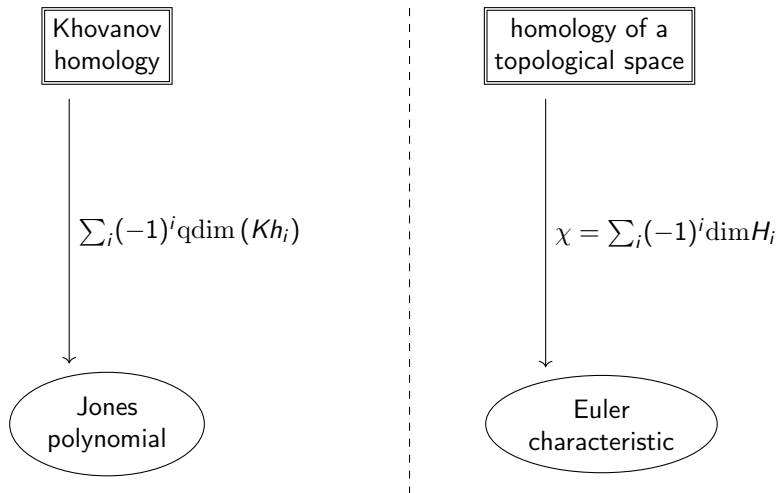
$$(-1)^{-3} q^{-9}$$

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# 1 Khovanov homology



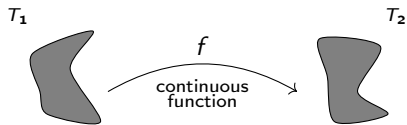
# 1 Khovanov homology





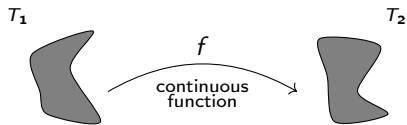
## 2 Categorification

Topological spaces



## 2 Categorification

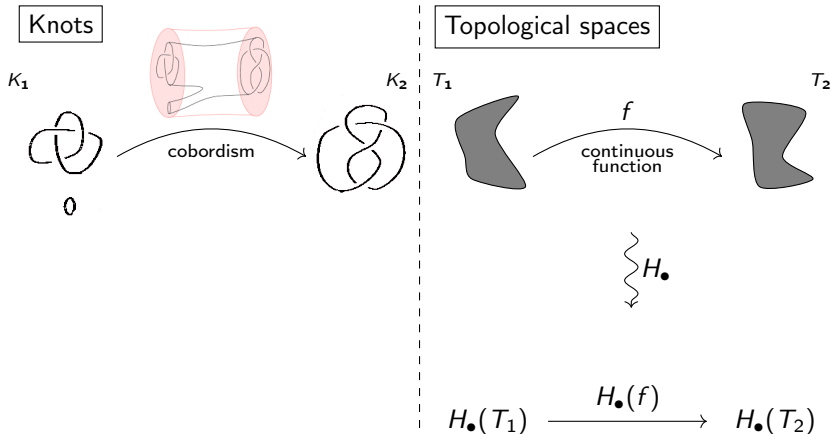
Topological spaces



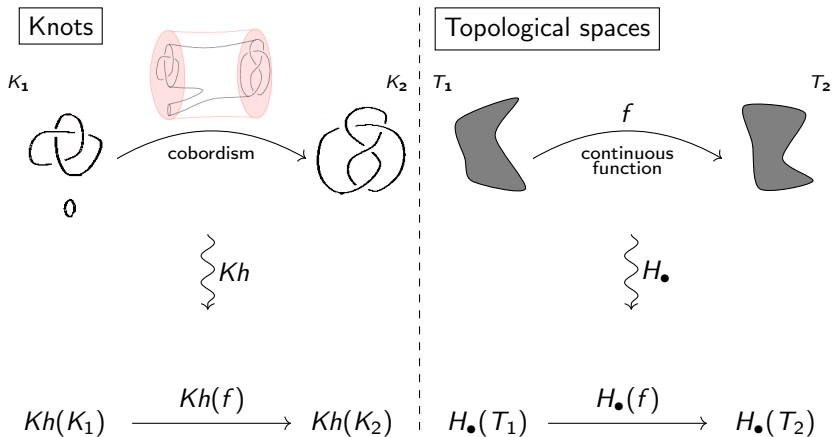
$H_\bullet$

$$H_\bullet(T_1) \xrightarrow{H_\bullet(f)} H_\bullet(T_2)$$

## 2 Categorification

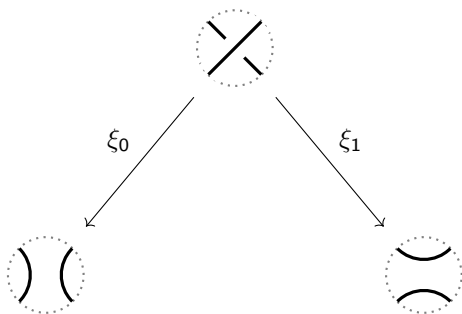


## 2 Categorification



# 1+2 Construction of Khovanov homology

Kauffman state sum of Jones polynomial:

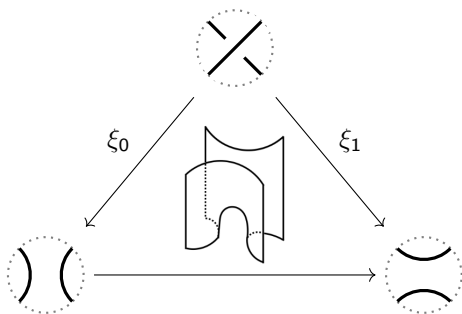


**resolution for  $K$ :**  $\xi \in \{0, 1\}^{\#\text{crossings}}$ , that is a choice of resolution  $\xi_0$  or  $\xi_1$  for each crossing.

$$V(K) = \sum_{\xi} (-1)^{\#\{\xi_1 \text{ in } \xi\}} (q + q^{-1})^{\#\{\text{circles in } \xi\}}$$

# 1+2 Construction of Khovanov homology

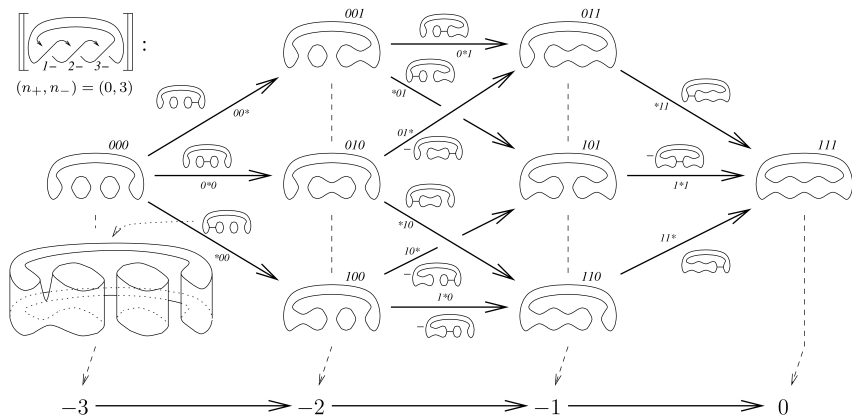
Kauffman state sum of Jones polynomial:



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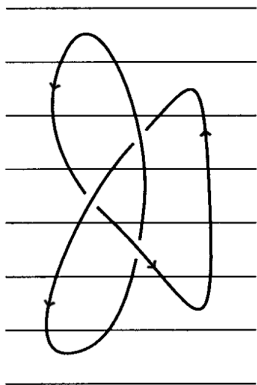
$$V(K) = \sum_{\xi} (-1)^{\#\{\xi_1 \text{ in } \xi\}} (q + q^{-1})^{\#\{\text{circles in } \xi\}}$$

# 1+2 Construction of Khovanov homology



taken from Bar-Natan, "Khovanov's homology for tangles and cobordisms"

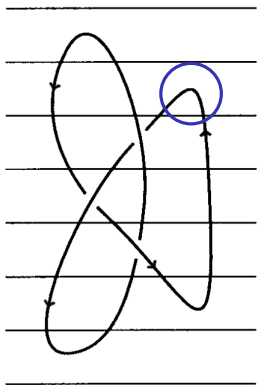
## 2' The slice (or tangle) strategy: classical case



taken from Ohtsuki "quantum invariants"

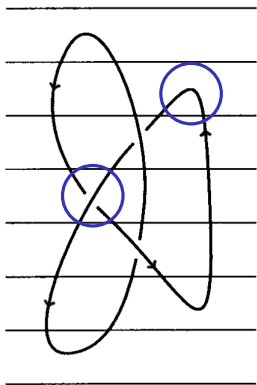


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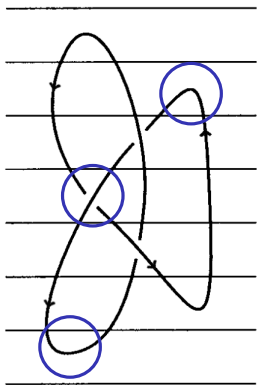
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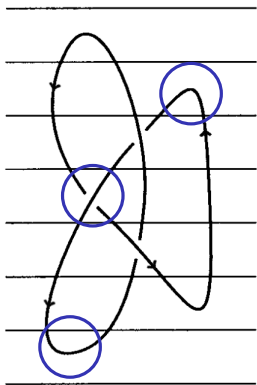
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$$\begin{array}{c} V \\ \uparrow \text{id}_V \\ V \end{array}$$

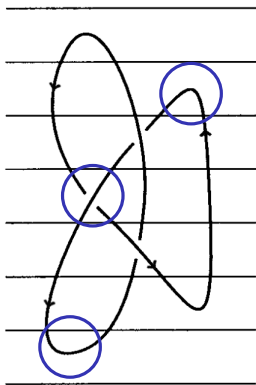
$$\begin{array}{c} V \otimes V \\ \uparrow R \\ V \otimes V \end{array}$$

$$\begin{array}{c} V \otimes V \\ \uparrow R^{-1} \\ V \otimes V \end{array}$$

$$\begin{array}{c} \mathbb{C} \\ \uparrow n \\ V \otimes V \end{array}$$

$$\begin{array}{c} V \otimes V \\ \uparrow u \\ \mathbb{C} \end{array}$$

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$$\begin{array}{c}
 \mathbb{C} \\
 \uparrow n \\
 V \otimes V \\
 \uparrow \text{id}_V \otimes \text{id}_V \otimes n \\
 V \otimes V \otimes V \otimes V \\
 \uparrow \text{id}_V \otimes R^{-1} \otimes \text{id}_V \\
 V \otimes V \otimes V \otimes V \\
 \uparrow R \otimes \text{id}_V \otimes \text{id}_V \\
 V \otimes V \otimes V \otimes V \\
 \uparrow \text{id}_V \otimes R^{-1} \otimes \text{id}_V \\
 V \otimes V \otimes V \otimes V \\
 \uparrow \text{id}_V \otimes \text{id}_V \otimes u \\
 V \otimes V \\
 \uparrow u \\
 \mathbb{C}
 \end{array}$$

taken from Ohtsuki "quantum invariants"

$$\begin{array}{c}
 | \\
 \uparrow \text{id}_V \\
 V
 \end{array}$$

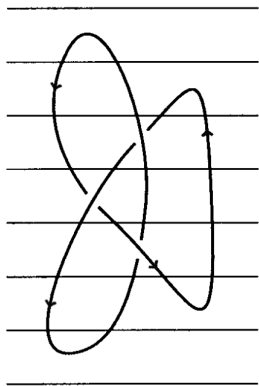
$$\begin{array}{c}
 \text{X} \\
 \uparrow R \\
 V \otimes V
 \end{array}$$

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 \uparrow R^{-1} \\
 V \otimes V
 \end{array}$$

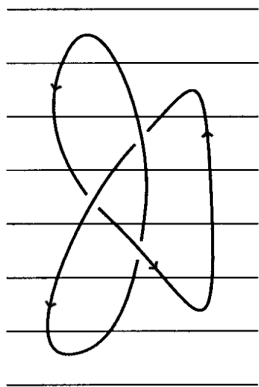
$$\begin{array}{c}
 \cap \\
 \uparrow n \\
 V \otimes V
 \end{array}$$

$$\begin{array}{c}
 \cup \\
 \uparrow u \\
 \mathbb{C}
 \end{array}$$

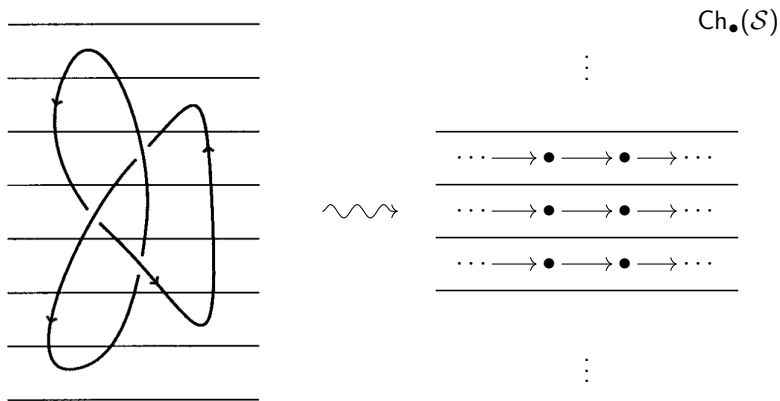
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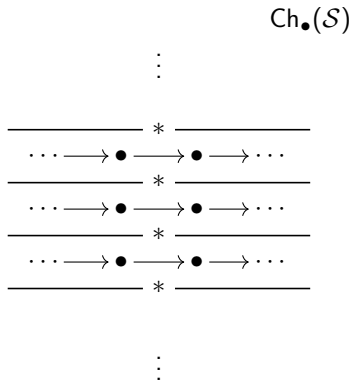
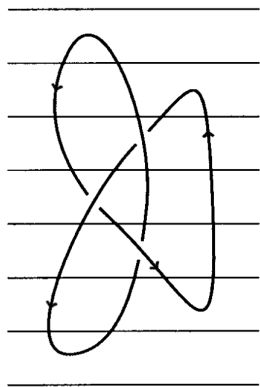


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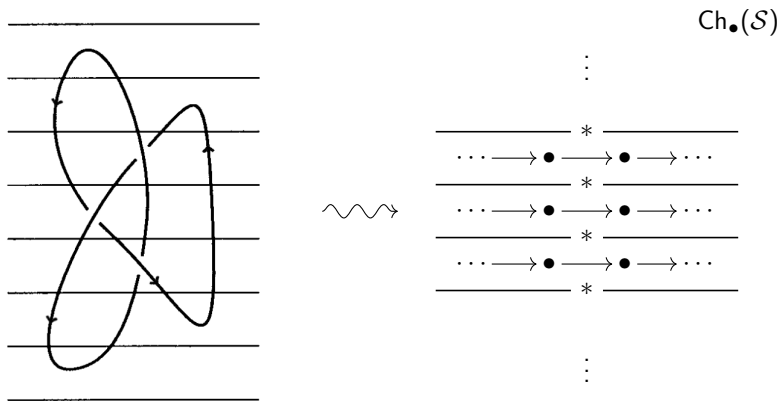




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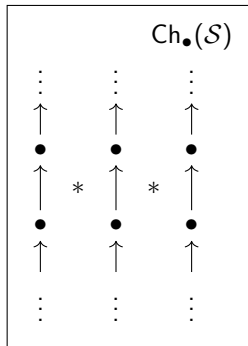


homology  
is an invariant

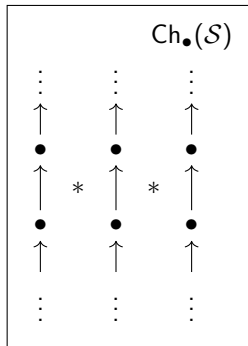


homotopy class  
independent of the diagram

# 2' 2-categories

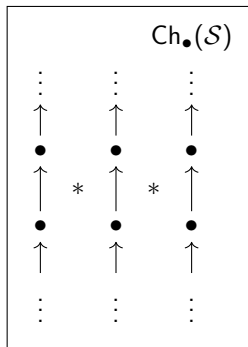


# 2' 2-categories



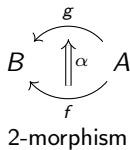
$\Rightarrow \mathcal{S}$  is a 2-category

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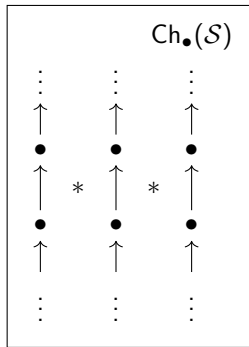


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2-categories

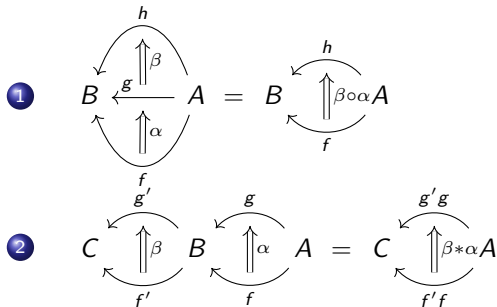
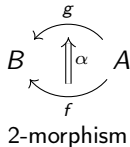


# 2' 2-categories



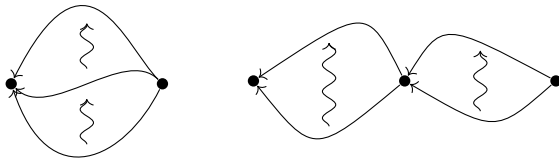
$\Rightarrow S$  is a 2-category

2-categories



# 2' 2-categories: examples

## 1 homotopies:



## 2 natural transformations:

$$\begin{array}{c}
 \begin{array}{c}
 \begin{array}{ccc}
 & h & \\
 \curvearrowright & & \curvearrowleft \\
 B & \xleftarrow{g} & A \\
 \uparrow \beta & & \uparrow \alpha \\
 & \xrightarrow{f} & \\
 \curvearrowleft & & \curvearrowright
 \end{array} \\
 = \\
 \begin{array}{ccc}
 & h & \\
 \curvearrowright & & \curvearrowleft \\
 B & \xrightarrow{\beta \circ \alpha} & A \\
 \uparrow & & \uparrow \\
 & \xrightarrow{f} & \\
 \curvearrowleft & & \curvearrowright
 \end{array}
 \end{array}
 \quad
 \begin{array}{c}
 \begin{array}{ccc}
 & g' & \\
 \curvearrowright & & \curvearrowleft \\
 C & \xrightarrow{\beta} & B \\
 \uparrow & & \uparrow \alpha \\
 & \xrightarrow{f'} & A \\
 \curvearrowleft & & \curvearrowright
 \end{array} \\
 = \\
 \begin{array}{ccc}
 & g' g & \\
 \curvearrowright & & \curvearrowleft \\
 C & \xrightarrow{\beta * \alpha} & A \\
 \uparrow & & \uparrow \\
 & \xrightarrow{f' f} & \\
 \curvearrowleft & & \curvearrowright
 \end{array}
 \end{array}
 \end{array}$$

## 2' Defining the invariant

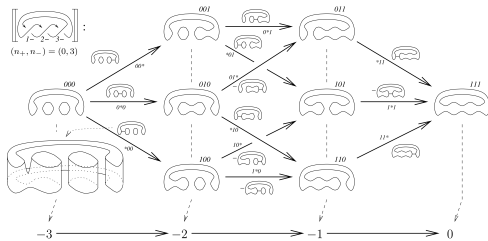
$$\begin{array}{lcl} \cap & \mapsto & [ * ] \\ \cup & \mapsto & [ * ] \\ \times & \mapsto & [ * \rightarrow * ] \end{array}$$



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$$\begin{array}{ccc}
 \cap & \mapsto & [ * ] \\
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 \end{array}$$

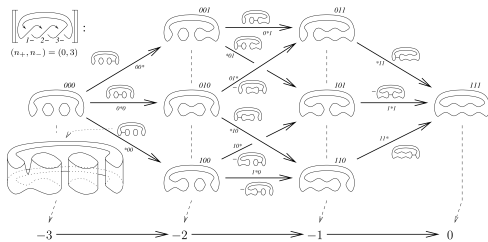
- 1 The complex is a **cube** of dimension  $n$ , where  $n$  is the **number of crossings**  $\Rightarrow$  similar to **Khovanov homology**!



## 2' Defining the invariant

$$\begin{aligned} \cap &\mapsto \left[ \begin{array}{c} * \\ \hline * \end{array} \right] & \cup &\mapsto \left[ \begin{array}{c} * \\ \hline * \end{array} \right] \\ \times &\mapsto \left[ \begin{array}{c} * \longrightarrow * \\ \hline * \longrightarrow * \end{array} \right] \end{aligned}$$

- 1 The complex is a **cube** of dimension  $n$ , where  $n$  is the **number of crossings**  $\Rightarrow$  similar to **Khovanov homology**!



- 2 But we used the **“slice strategy”**, similarly to **quantum algebras**, and  $\mathcal{S}$  is purely **algebraic**.

# Conclusion

- 1 **Khovanov homology** is a *categorification* of the Jones polynomial: it categorifies the Kauffman bracket into a complex of length 1. The Jones polynomial is the *Euler characteristic* of this homology.

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- 2' The right structure to categorify the quantum algebra is a **2-category**. Thanks to this structure, we can sketch a construction that match both Khovanov's construction and the quantum algebra's construction.

# Conclusion

- 1 **Khovanov homology** is a *categorification* of the Jones polynomial: it categorifies the Kauffman bracket into a complex of length 1. The Jones polynomial is the *Euler characteristic* of this homology.
- 2 **Categorification** is the process of turning classical notion into categorical notion. We use this idea to unify the two approaches to the Jones polynomial (Khovanov homology and quantum algebras).
- 2' The right structure to categorify the quantum algebra is a **2-category**. Thanks to this structure, we can sketch a construction that match both Khovanov's construction and the quantum algebra's construction.
- 3 What is odd Khovanov homology? And how to adapt this construction to it (**superstructures**)? See you after the break!

# Supercategorification and Odd Khovanov Homology Part 2

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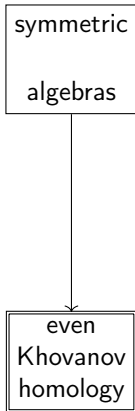
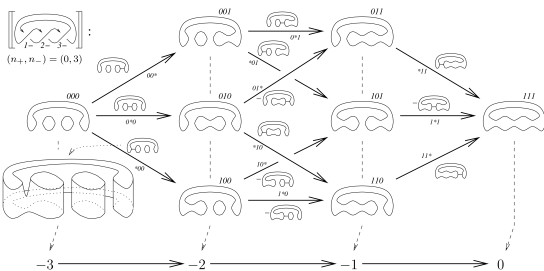
# *TOP* Property of odd Khovanov homology

“super” = ?



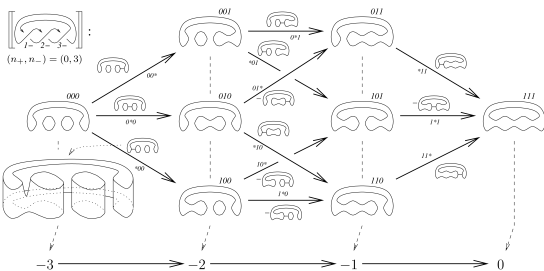
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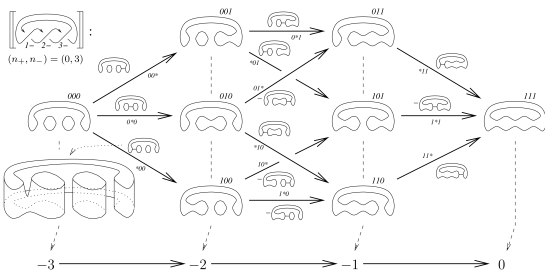


~~symmetric~~  
exterior  
algebras

odd  
Khovanov  
homology

# TOP Property of odd Khovanov homology

“super” = ?



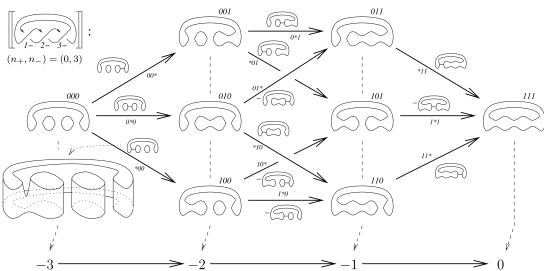
$$x \wedge y = (-1)^{|x||y|} y \wedge x$$

~~symmetric~~  
exterior  
algebras

odd  
Khovanov  
homology

# TOP Property of odd Khovanov homology

“super” = parity



$$x \wedge y = (-1)^{|x||y|} y \wedge x$$

~~symmetric~~  
exterior  
algebras

odd  
Khovanov  
homology

# ALG superstructures: superspaces

A **superspace**  $V$  is a  $\mathbb{Z}/2\mathbb{Z}$ -graded vector space:

- even and odd vectors:

$$V = V_0 \oplus V_1 \quad |v| := \text{grading of } v \text{ (0 or 1)}$$

- $\text{End}(V, V)$  inherits a superspace structure:

even maps := maps preserving the parity

odd maps := maps exchanging the parity

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- **super tensor product:**

$$(V \otimes W)_0 = V_0 \otimes W_0 \oplus V_1 \otimes W_1 \text{ and } (V \otimes W)_1 = V_0 \otimes W_1 \oplus V_1 \otimes W_0$$

$$(f \otimes g)(v \otimes w) := (-1)^{|g||v|} f(v) \otimes g(w)$$

- **super interchange law** (compatibility law between composition and tensor product):

$$(f \otimes g) \circ (h \otimes k) = (-1)^{|g||h|} (f \circ h) \otimes (g \circ k)$$

A **supercategory** is a category where:

- each Hom-set is a superspace
- composition induces an even map:

$$|f \circ g| = |f| + |g|$$

- a **superfunctor** is a functor preserving parity

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- a **superfunctor** is a functor preserving parity

A **monoidal supercategory** is a supercategory...

- ...like a monoidal category (category with a “product” like a tensor product)...
- ...but with the *super* interchange law:

$$(f \otimes g) \circ (h \otimes k) = (-1)^{|g||h|} (f \circ h) \otimes (g \circ k)$$



# ALG superstructures: 2-supercategories

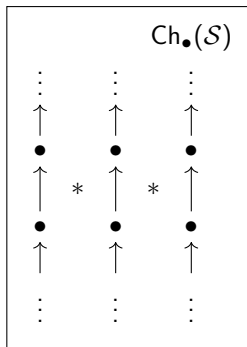
A **2-supercategory** is

- a 2-category whose 2-morphisms have a parity...
- ...and compatibility between horizontal and vertical product is given by the super interchange law:

$$\begin{array}{ccc}
 \begin{array}{c}
 \begin{array}{ccc}
 \begin{array}{c} \curvearrowright \\ \delta \\ \curvearrowleft \end{array} & & \begin{array}{c} \curvearrowright \\ \beta \\ \curvearrowleft \end{array} \\
 C \longleftarrow B & \longleftarrow & A \\
 \circ & & \\
 \begin{array}{ccc}
 \begin{array}{c} \curvearrowleft \\ \gamma \\ \curvearrowright \end{array} & & \begin{array}{c} \curvearrowleft \\ \alpha \\ \curvearrowright \end{array} \\
 C \longleftarrow B & \longleftarrow & A \\
 f' & & f
 \end{array}
 \end{array}
 & = (-1)^{|\beta||\gamma|} & \begin{array}{c}
 \begin{array}{ccc}
 \begin{array}{c} \curvearrowright \\ \delta \\ \curvearrowleft \end{array} & & \begin{array}{c} \curvearrowright \\ \beta \\ \curvearrowleft \end{array} \\
 C \longleftarrow B & * & B \longleftarrow A \\
 f' & & f
 \end{array}
 \end{array}
 \end{array}$$

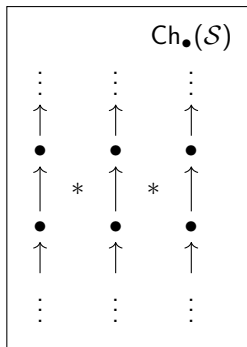
$$(\delta * \beta) \circ (\gamma * \alpha) = (-1)^{|\beta||\gamma|} (\delta \circ \gamma) * (\beta \circ \alpha)$$

# TOP Product of complexes



$\mathcal{S}$  is now a  
*2-supercategory*

# TOP Product of complexes

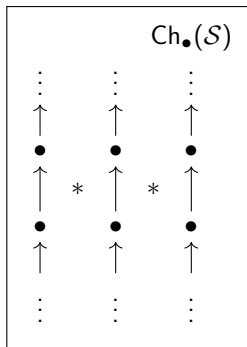


$\mathcal{S}$  is now a  
2-supercategory

$\Rightarrow$  Product of complexes  $A_\bullet * B_\bullet$ ?

$A_\bullet * B_\bullet$  must preserve  
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$\Rightarrow$  Product of complexes  $A_\bullet * B_\bullet?$

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$\Rightarrow$  Need of a definition applicable  
to 2-supercategories!

# ALG Product of complexes

**TASK:** define horizontal product of complexes such that homotopy classes are preserved.

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monoid	$\leftrightarrow$	one-object category
monoidal category	$\leftrightarrow$	one-object 2-category
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**TASK2:** define *tensor* product of complexes such that...

# ALG Product of complexes

$$A^{(0)} \xrightarrow{\alpha} A^{(1)}$$

$$\otimes$$
$$=$$

$$B^{(0)} \xrightarrow{\beta} B^{(1)}$$

$$\begin{array}{ccc} A^{(0)} \otimes B^{(0)} & \xrightarrow{\alpha \otimes 1} & A^{(1)} \otimes B^{(0)} \\ \downarrow 1 \otimes \beta & & \downarrow 1 \otimes \beta \\ A^{(0)} \otimes B^{(1)} & \xrightarrow{\alpha \otimes 1} & A^{(1)} \otimes B^{(1)} \end{array}$$



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$$\begin{aligned} (\alpha \otimes 1) \circ (1 \otimes \beta) &= (1 \otimes \beta) \circ (\alpha \otimes 1) \quad (\text{commutative}) \\ &\Rightarrow \text{Koszul rule (anti-commutative)} \end{aligned}$$

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Assume  $\alpha$  and  $\beta$  are odd:

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$$\begin{aligned}
 (\alpha \otimes 1) \circ (1 \otimes \beta) &= -(1 \otimes \beta) \circ (\alpha \otimes 1) \quad (\text{anti-commutative}) \\
 &\Rightarrow \text{super Koszul rule?}
 \end{aligned}$$

## Theorem

The super Koszul rule:

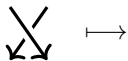
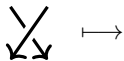
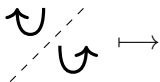
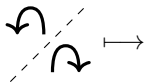
- 1 exists for **homogeneous** complexes (complexes whose differentials are either even or odd)
- 2 is unique, at least for cubes (all choices of signs result in isomorphic complexes)
- 3 preserves homotopy classes: given complexes  $A_\bullet$ ,  $B_\bullet$ ,  $C_\bullet$  and  $D_\bullet$ , if

$$A_\bullet \simeq B_\bullet \quad \text{and} \quad C_\bullet \simeq D_\bullet$$

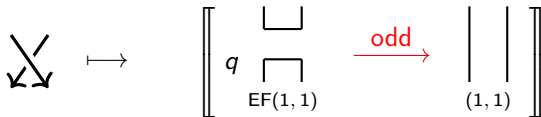
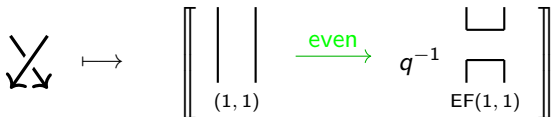
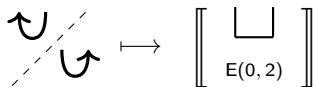
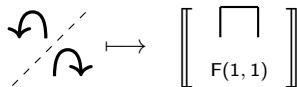
Then

$$A_\bullet \otimes C_\bullet \simeq B_\bullet \otimes D_\bullet$$

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- 2 Is it odd Khovanov homology? Still a conjecture but...
  - coincide on simple examples (and differ from even)
  - as odd Khovanov homology, the invariant can be split into two identical invariants:

$$Kh_{\text{odd}} = Kh'_{\text{odd}} \oplus Kh'_{\text{odd}}.$$

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- 3 Application? Proof of functoriality